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nature is perceived simply under the “pattern” of the true and the false, i.e., apart from political life as such (4.1.6). Taken together, these several uses of *ratio* imply that human beings have access to “reason” (or “plans”) only from within a perspective that the laws of nature either impose on them or else induce them to impose on themselves, within which they can then discern, arrange and/or follow certain “patterns.”

The foregoing considerations seem consistent as well with *ratio* when rendered as “format” (as when God is said to have appeared to Moses under the “format” of compassion, gentleness, etc., i.e., in terms of those attributes, 2.9.17), “proportion” (as when psyches are said to be under the imperium of the highest power in some “proportion,” i.e., to some extent, 17.1.9), “ratio” (as when Solomon is said to have been ignorant of the exact “ratio” between the circumference and the diameter of a circle, 2.8.9), “rationale” (as when Spinoza refers to the “rationale” of his line of argument, 10.2.51), and “account” (as when the highest law of nature is said to be that each thing endeavor to persevere as it is by taking no “account” of anything other than itself, 16.2.3). The reader is invited to consider further, in this connection, the Glossary entry on “mode,” along with *Ethics*, Pt. II, Prop. 40, Schol. 1 and 2.

soul (*anima*); spirit, psyche (*animus*); mind (*mens*); Spirit (*Spiritus*)

Spinoza uses *animus* (“spirit,” or sometimes “psyche” in the recent sense of the term) where the reader might expect *anima* (“soul”) or *mens* (“mind”).

What is the difference?

The term “soul” occurs only 6 times in the *Treatise* and only when Spinoza is translating or explaining a biblical notion (1.17.18, 20.7, 9; 3.5.16) or else mouthing a traditional theological idiom (P.3.1; 8.1.59). Spinoza never speaks of “soul” in his own name.

The term “spirit” or “psyche,” on the other hand, occurs some 146 times and seems to mean what is left of “soul” once its biblical and traditional theological connotations are dropped. What remains is a set of purely psychological attitudes—evidently comprising, among other things, loyalty (cf. P.3.3), anger (cf. P.4.1, 6), consent (cf. P.5.1, 10, 11, 13), eagerness (cf. P.5.7)—along with the psychological housing for such attitudes (P.1.2, 7, 8, 5.1, 6.1; etc.). Usually, though not always, *animus* is conveniently rendered as “spirit” when referring to this or that attitude and as “psyche” when referring to the housing. Broadly speaking, then, “spirit” is equivalent to human spiritedness or self-assertiveness in one or another of its moods, and “psyche” to human spiritedness or self-assertiveness as such—though here and there I have indicated in a footnote where “spirit” could also be “psyche,” and the reader might well find other instances.

The term “mind” occurs some 145 times and is the intellectual component or counterpart of “spirit” (or “psyche”). On the one hand, it refers to the contents of someone’s mind—e.g., someone’s intention (1.9.9 [second instance]) or someone’s tenet (1.17.8, 21.3)—or, alternatively, to someone’s mind as the sum of its particular contents (1.2.4). On the other hand, it refers to the mind pure and simple (1.9.1, 9 [first instance]; etc.), or perhaps more exactly the scientific mind at work understanding the laws of nature as decreed by God (1.4.1; 4.1.6; 13.1.21; 15.1.15; with 4.4.16).

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Often, too, it may refer to more than one of the foregoing at the same time, as when Spinoza says, using theological language in a professedly unconventional way, that Christ communicated with God “mind to mind” (1.15.4, with 1.14.2-15.1).

The term “Spirit” (always capitalized, to avoid confusion with “spirit”) translates *Spiritus* (always capitalized in Spinoza’s Latin), which in turn translates the biblical word רוּחַ (*ruach*) (see 1.16.2-17.20). In his survey of this Hebrew word’s range of meaning within the biblical text, Spinoza says that it is equivalent to “soul” (1.17.18, 20.7). Sometimes, he adds, it means “spirit” or “mind” as well (1.17.17-18; etc.). Cumulatively, his discussion amounts to the suggestion that there is a biblical imprimatur for merging the meanings of all of the above terms. Nevertheless this suggestion is offset by his repeated depreciation of the understanding afforded by words as such, when compared with the clear and distinct understanding afforded by the laws of nature (1.4.1, 9.8, 16.1, 23.1; 4.4.27; 7.3.8). The net result is to elevate the importance of “mind” in the sense of the mind of the scientist (see above), so as to encourage readers to wonder as they go along whether among the diversity of meanings that appear on the face of all the aforementioned terms, there may be found that of “mind” in the elevated sense, or some approximation to it (cf., e.g. 4.4.45 with the *Treatise*’s further references to Solomon).

tenet, sentence, sentiment, pronouncement (*sententia*)

In Latin, the term “tenet” (*sententia*) means, to begin with, a “sentence,” the grammatical unit of thinking. By extension, it means whatever may be expressed in a sentence—a judicial sentence, for example, or some other pronouncement. In addition, it means a “sentiment,” that is, a statement that conveys either a privately conceived opinion (or feeling) or, alternatively, a publicly shared one. We find all these meanings in the *Treatise*, although they are offset by the overall drift of its theological argument.

The *Treatise* starts with the theological assumption that the Bible is the necessary and sufficient teacher of morality and that it presents its teaching in the form of articles of faith, or dogmas, which correspond to specific biblical statements (or “sentences”). These, then, are the Bible’s “tenets” in both the theological and the grammatical senses of the term. The *Treatise* goes on to argue that, in order to resolve politically troublesome disagreements about the meaning of the Bible’s theological “tenets,” we need only acquire philological clarity about the meaning of the Bible’s grammatical “sentences.” Such is the task of Spinoza’s newfound biblical criticism and of the biblical theology that he bases on it.

As for the resulting ambiguities and their implications for his overall argument, I have discussed the main one in some detail in my Interpretive Essay (see my comments on Ch. 1, 4, 5, 7, 14 and 20).

I should add that the Latin *sententia* is cognate with the verb *sentire*, which means both “to think” and “to feel” (and which I have discussed in the next Glossary entry).

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think, feel (*sentire*); be thinking (*cogitare*); deem (*putare*); figure, regard (v.) (*aestimari*); figure (n.) (*figura*); respect, regard (n.) (*respectus*)

Broadly speaking, the *Treatise* is an argument in favor of freedom of thought—not only theological and political, but also philosophical. To see the full range and complexity of its argument, then, we should note the various ways there are to “think” in Spinoza’s Latin.

In one way, “to think” (*sentire*) is to express an opinion that is of public interest and so possibly controversial, a theological or political opinion—in the language of the *Treatise*, a “tenet” (*sententia*; see the previous Glossary entry). Wherever feasible, I have preferred to translate this verb more literally as “to feel.”

In another way, “to be thinking” (*cogitare*) is to be to considering something in a theoretical or contemplative way. I have tried to distinguish this term from the foregoing by using the progressive form of the verb—as when one “is thinking,” e.g., about God, or parhelia, or an author’s intentions (1.9.13; 2.8.7; 7.10.6).

Then again, to arrive at one’s own private conclusion is “to deem” (*putare*)—as when the biblical Abraham “deemed” he was going to sacrifice his son (1.10.2) or Jonah “deemed” he would flee God’s sight (2.9.27), or when Spinoza himself “deems” on the basis of his own scholarly scrutiny that King Solomon was as revered as any biblical prophet (4.4.34).

Finally, when referring to thinking in the sense of sizing something up, evaluating it or making an educated guess about it, Spinoza uses *aestimari*, which I have translated as either “to figure,” as when Spinoza “figures” that everyone knows that the ancient Hebrews located the soul and the understanding in the heart (3.5.16), or “to regard,” as when he says that, unlike prophetic or revealed knowledge, natural knowledge is not well “regarded” by human beings (1.2.3, 21.4). The noun “figure,” however, is *figura*, as when God is said to have no “figure,” i.e., image (1.9.12). Similarly, the noun “regard” is *respectus* (usually translated as “respect”), as when judges are expected to judge a case without “regard” for persons (16.7.5).

vulgar (*vulgus*); spread (*vulgare*); philosopher (*philosophus*)

When applied to human beings, the term “vulgar” refers to non-philosophers. The term “philosopher” is often ambiguous, however. I have tried to establish the latter’s meaning with some care in my Interpretive Essay, particularly in my comments on P.6.1 and in the transition to those on Ch. 1, to which I refer the reader here.

In Latin, the verb *vulgare* (“spread”)—meaning to publicize widely in speech or writing—is cognate with “vulgar,” as well as with “Vulgate,” the traditional Catholic translation of the Bible into Latin.

welfare, salvation (*salus*)

The Latin noun *salus* has both a theological meaning (“salvation”) and a political meaning (“welfare”). Both meanings are very often found in the same word simultaneously. Spinoza’s use of *salus* throughout the *Treatise* thus amounts to a pun. As in ordinary puns, the ambiguities and their full implications are not always

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evident at first glance. Add to the foregoing that the discovery of multiple meanings tends to be unexpected. Not all readers, then, will discover these in the same instant (or at all).

Thus, in the initial appearance of *salus*, Spinoza is evidently speaking of those who are deceived by monarchs that have co-opted religion to serve their own political interests, into fighting in servitude to those interests as though they were fighting for their “salvation” (P.3.1). Almost immediately, toward the end of that same sentence in Spinoza’s original Latin, he goes on to describe those who are condemned to death by religious censorship laws as being sacrificed to the hatred and savagery of their adversaries, rather than for the public “welfare” (*ibid.*). The reader is thereby invited to look again at the former instance of the term, to see whether its meaning is closer to the latter instance’s than might have appeared at first. On second reading, then, the former instance turns out to be about whether fighting for one’s supposed “salvation” is the same as to be fighting for one’s “welfare”—whether the public’s or, again, one’s own. Meanwhile the second instance may now be seen as having to do, in addition, with whether as things stand one’s religious “salvation” and the public “welfare” are congruent or even compatible, and if not whether they can be brought more into line with each other, as the *Treatise* as a whole tries to do.

In light of the systematic ambiguities that seem built into these first two instances of *salus*, it would not be unreasonable for readers of the *Treatise* to expect similar ambiguities throughout.

worship (n.), cult, cultivation (*cultus*); worship (v.), cultivate, etc. (*colere*)

As a noun, “worship” refers, in the first instance, to what Spinoza calls “outward worship” (P.4.1; 5.3.11; 19.1.3, 21), the rituals or ceremonies belonging to this or that organized religion. Likewise as a verb, it refers to performing those rituals or ceremonies (12.2.2; 17.3.11; etc.). But Spinoza uses the same noun to refer to the “cult” of obedience inculcated in the ancient Israelites by Moses’ law (17.12.19), as well as to the “cultivation” of the understanding (5.1.20), of reason (16.5.3), of justice and charity (18.4.5; 19.1.5, 7) and of piety itself (19.2.7). So too the corresponding verb, besides having similar uses, refers to “cultivating” such things as natural science (6.1.3; 20.4.19), Bible interpretation (7.11.13), land (8.1.19), and the arts (20.4.19); and the same verb also refers to how one “treats” others (17.8.5; 19.2.6, 8).

Possible synonyms for Spinoza’s noun in its multiple uses are “devotion,” “dedication,” and “veneration”; but Spinoza uses these terms as nouns or verbs as well (see Index of Terms). We are left to consider the likelihood that the term in question is indispensable for Spinoza and that its multiple uses are deliberately connected, so that “worshipping” something and “cultivating” it are somehow interchangeable. This last possibility goes along with the drift of the *Treatise*’s theological argument, which has to do with replacing, so far as possible, the “worship” of God—in what Spinoza regards as the superstitious meaning of the term—by means of the “cultivation” of justice and charity as mandated by God so as to conform with, or rather subserve, the requirements of the political imperium.

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... life's actions often allowing for no delay, it is a very certain truth that when it is not in our power to discern the truest opinions, we have to follow the most probable ones; and even though we did not notice more probability in some than in the others, nevertheless we had to decide on some and, inasmuch as they relate to practice, consider them afterward as no longer doubtful but as very true and very certain because the reason that made us decide is found to be such.¹

As if, in truth, we were to admit nothing as true for setting up our life wisely which could be called into doubt from any reason for doubting it, or in that most of our actions were not rather uncertain and full of hazard.²

Spinoza's *Theologico-Political Treatise* (1670) is the philosophical founding-document of both modern liberal democracy³ and modern biblical criticism.⁴ As a result, it is also the philosophical founding-document of modern liberal religion.

Spinoza published the *Treatise* anonymously and with a pseudonymous publisher, as a precaution against the notoriety it might cause him.⁵ Notoriety soon followed anyway, once word spread in his native Amsterdam and beyond that he was its author. Telltale signs of his authorship are indeed visible here and there in the *Treatise* itself.

¹ René Descartes, *Discours de la méthode*, Pt. III, ¶ 3 (*Discours de la méthode / Discourse on the Method*, ed. and trans. G. Heffernan [Notre Dame, Ind.: University of Notre Dame Press, 1994], 42; translation mine, M.Y.); see the second maxim of his *morale par provision*.

² 15.1.57. Cf. 20.4.16. For an explanation of the citation format used in the present translation, see the last paragraph of the Translator's Remarks.

³ Lewis S. Feuer, *Spinoza and the Rise of Liberalism* (Boston: Beacon, 1958), 101-108; Leo Strauss, *Spinoza's Critique of Religion* (New York: Schocken, 1965), 16f.; Stanley Rosen, "Benedict Spinoza," in *History of Political Philosophy*, ed. L. Strauss and J. Cropsey (3rd ed.; Chicago: University of Chicago Press, 1987), 456-75; Steven B. Smith, *Spinoza, Liberalism, and the Question of Jewish Identity* (New Haven: Yale University Press, 1997), 22-25, 121-22, 131-37.

⁴ Strauss, *Spinoza's Critique of Religion*; Richard H. Popkin, "Spinoza and Bible Scholarship," in *The Books of Nature and Scripture*, ed. J.E. Force and R.H. Popkin (Dordrecht, 1994), 1-20, or *The Cambridge Companion to Spinoza*, ed. D. Garrett (Cambridge: Cambridge University Press, 1996), 383-407; Jonathan I. Israel, *Radical Enlightenment: Philosophy and the Making of Modernity 1650-1750* (Oxford: Oxford University Press, 2001), 202, 447-56; Paul J. Bagley, "Spinoza, Biblical Criticism, and the Enlightenment," in *Modern Enlightenment and the Rule of Reason*, ed. J. McCarthy (Washington, D.C.: Catholic University of America Press, 1998), 124-49.

⁵ For an overview, see Pierre-François Moreau, "Spinoza's Reception and Influence," in *The Cambridge Companion to Spinoza*, 408-33; also, as a supplement, Bagley, "Spinoza, Philosophic Communication, and the Practice of Esotericism," in *Piety, Peace, and the Freedom to Philosophize*, ed. Bagley (Dordrecht: Kluwer, 1999), 233-69. On Spinoza's immediate notoriety in the Netherlands, see Wiep van Bunge, "On the Early Dutch Reception of the *Tractatus Theologico-Politicus*," *Studia Spinozana* 5 (1989): 225-51; on his subsequent notoriety in Germany, see Frederic C. Beiser, *The Fate of Reason: German Philosophy from Kant to Fichte* (Cambridge, Mass.: Harvard University Press, 1987), 48-61.

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We might even suspect that he inserted them deliberately. Spinoza calls particular attention to himself from three points of view. From a religious point of view, he alludes to his Jewish background by occasionally recalling his earlier education in the literary sources he is criticizing (including the Hebrew Bible, rabbinic commentators, Kabbalah, and especially Maimonides' *Mishneh Torah* and *Guide of the Perplexed*), though he now no longer takes his bearings by them.⁶ From a political point of view, he includes what amounts to a civic booster's description of his home town, as a flourishing example of a city where the freedom of the businessman, not the frown of religious authority, rightly rules.⁷ And from a philosophical point of view, he makes a point of asking the "Philosopher reader" for whom his book is meant, as well as his country's official censors to whom it is available, to indicate if there are doubts about the political, religious and moral wholesomeness of its author (P.7.2, 20.8.2). Let us see how far these personal identification marks help us understand the *Treatise's* overall argument.

Spinoza's Religious Starting Point

In 1656, at age 23, Spinoza was publicly excommunicated from Amsterdam's Jewish community, where he had been born and raised. The excommunication document speaks of "the horrible heresies he practiced and taught . . .," though it does not spell them out.⁸ Unlike, say, Uriel da Costa,⁹ who had been excommunicated in 1623 and humiliated to the point of suicide after being ceremonially reaccepted (and subsequently re-excommunicated) in 1639-40, Spinoza never sought to return to Jewish orthodoxy by repenting of his heresies. Nor did he convert to Christianity. Instead he reportedly wrote a defense of those heresies. It was never published or preserved, however, and we can only surmise in what way, if any, it may have germinated into the *Theologico-Political Treatise*. Around 1665, Spinoza wrote in a private letter that he was at work on a treatise concerning his views about the Bible. He summarizes his motives as follows:¹⁰

1. The prejudices of the theologians. For I know they very much prevent human beings from applying their spirit to philosophy. Therefore, I am busy exposing those prejudices and removing them from the minds of the more prudent.

⁶ P.5 1, 1.9.1-2, 7.10.9-10, 9.1.57, 65, 12.1.9 (Bible); 1.9.1-2, 8.1.7 (commentators); 9.1.62 (Kabbalah); 15.1 8n (Maimonides), with P.3.4-5.13.

⁷ 20.6.4, with P.3.3, 7.1-2, 20.7.1-8.1.

⁸ The excommunication document is translated in full in Frederick Pollock, *Spinoza: His Life and Philosophy* (London: Duckworth, 1899), 17f.; Yirmiyahu Yovel, *Spinoza and Other Heretics: The Marrano of Reason* (Princeton: Princeton University Press, 1989), 3; Wim Klever, "Spinoza's Life and Works," in *The Cambridge Companion to Spinoza*, 16; Margaret Gullan-Whur, *Within Reason: A Life of Spinoza* (New York: St Martin's, 1998), 70f.; Steven Nadler, *Spinoza: A Life* (Cambridge, Eng.: Cambridge University Press, 1999), 120.

⁹ See da Costa's autobiography, *Exemplar Humanae Vitae* (1640), in *Die Schriften des Uriel da Costa*, ed. C. Gebhardt (Amsterdam: Societas Spinozana, 1922), 105-23; trans. John Whiston (1740), reprinted as Appendix 3 of Uriel da Costa, *Examination of the Pharisaic Traditions*, trans. H.P. Salomon and I.S.D. Sassoon (Leiden: Brill, 1993), 556-64; see also the translators' Introduction, 1-50.

¹⁰ Letter #30 (*Opera*, IV, 166). Cf. Spinoza, *The Letters*, trans. S. Shirley (Indianapolis: Hackett, 1995), 185f.

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2. The opinion the vulgar have of me—they do not stop charging me with atheism; I am also forced to turn away this charge, so far as can be done.
3. The freedom of philosophizing and of saying what we think. I long to assert this in every mode—it is being suppressed hereabouts, owing to the extreme authority and whininess of the preachers.

Of these motives—removing theological prejudices from potential philosophers, clearing his name of the charge of atheism, and standing up for the freedom of philosophizing and self-expression in the face of overbearing clerics or rabbis—only the central one seems directly personal. Even so, Spinoza does not seem to have separated the defense of his personal honor (motive two) from his further literary roles as mentor to budding truth-seekers (motive one) and freethinker in defiance of pious truth-stiflers (motive three). Such, at any rate, is his reputation as it has come down to us in our own day, long after the uproar the *Treatise* caused when it first appeared. Nevertheless the foregoing biographical evidence, while consistent with Spinoza's religious views as he lets us glimpse them in the *Treatise*, does not go very far in accounting for those views.

Instead of relying mainly on outside evidence to explain Spinoza's religious views (or lack of them) in the *Treatise*, then, perhaps we are better off looking first and foremost at what he says in the *Treatise* itself. Where he is all too brief, as in his testimony about his early religious education, it may help to keep in mind either the immediate context of the argument at hand or a related statement elsewhere in the *Treatise*, or both. Elsewhere in the *Treatise*, for example, Spinoza tells us in no uncertain terms what he thinks about at least two thoughtful Jewish authorities whom he had studied earlier—Ibn Ezra, of whom he approves, and Maimonides, of whom he disapproves; some headway in understanding Spinoza's mature views may follow from our looking at the reasons he himself gives for his announced agreements and disagreements with these and other authorities.¹¹ As for our concomitant need to consider the immediate contexts of his various self-disclosures, we may also be helped by noticing Spinoza's repeated claim that he has written the *Treatise* painstakingly and in an orderly way, both Chapter by Chapter and as regards the work as a whole.¹²

Let us consider, to begin with, Spinoza's claim to orderliness as regards the work as a whole. Of the *Treatise*'s twenty Chapters, the first fifteen evidently treat theological matters. These include defining—actually, redefining—such traditional theological terms as prophecy, prophets, Israel's chosenness, divine law, religious ceremonies and histories, and miracles (Ch. 1-6); establishing a new science of biblical criticism modeled on modern natural science (Ch. 7-11); and, finally, supplying a non-sectarian theology based on the new biblical criticism and meant to be free of anything theologically or politically controversial (Ch. 12-15). Why, we may ask, does Spinoza

¹¹ 8 1.7-27, 90, with 2.9.14, 7.11 4, 10.2.4, 6, 15, 20 (Ibn Ezra); 1.10.3, 5.4.19-22, 7.11.21-39, 15.1.7, 35, with 10.2.2, A 25 (Maimonides).

¹² P.5.1, 7.2; P.3.4, 6.1, with 2.2.1, 3.2.1, 5.4.1, 6.1.7, 8.1.7, 79, 9.1.56, 14.1 6, 15.1.22, 16.1 3, 17.5.12.

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see fit to treat these matters in just the order he does, and in just so many Chapters as he does? Why, moreover, does he apparently wait until three-quarters of the way though the *Treatise* before turning, in the remaining Chapters, to strictly political matters: the democratic basis of all political society (Ch. 16); a review and assessment of the biblical theocracy (Ch. 17-18); and the respective limits of religious and political authority (Ch. 19-20)? Answering these questions invites us to look further at how the overall sequence of Chapters fits with the *Treatise*'s combined theological and political subject matter.

According to the *Treatise*'s subtitle, Spinoza aims to show that religious and political life not only allows but requires "the freedom of philosophizing." This includes, in the present instance, freeing those who engage in philosophy or science from unwarranted theological influence. Spinoza indicates in his Preface that he has written the *Treatise* for a philosophical reader whose philosophizing is hampered by the belief that reason has to serve as handmaid to theology. What stands in the way of the freedom of philosophizing, in other words, is the reader's own prior theological commitments. Spinoza must loosen his reader's attachment to the received theology. Especially—though not only—given the overbearing character of the prevailing religious authorities, success depends on his proceeding cautiously. The *Treatise* therefore starts by accommodating its argument to theological premises accepted by its reader beforehand, and works from there step by step to its rather untheological conclusion. To judge by the number and titles of the *Treatise*'s chapters, then, fifteen theological steps are needed before Spinoza can begin to enter into its strictly political subject-matter directly. Accordingly, the *Treatise*'s first fifteen Chapters, rather than any simply extraneous evidence, seem the proper place to start for investigating why, from Spinoza's point of view, he distanced himself or found himself at some distance from the prevailing religions.

We shall try to retrace those steps in outline, once we have considered the relevance of his two other personal self-disclosures in the *Treatise*.

Spinoza's Political Starting Point

The *Treatise*'s culminating description of the city of Amsterdam is a further clue to the identity of its author. In touting the virtues of Amsterdam's commercial life, Spinoza shows himself to be a partisan in the political rift dividing the Netherlands of his day—between monarchists and republicans, or more exactly between the landed nobility and orthodox Calvinist clergy who supported William of Orange, the country's acknowledged military leader, and the free-trading merchants and Remonstrant (or liberal Calvinist) sects who supported Jan de Witt, the Netherlands' Grand Pensionary (or chief administrator) from 1653 until his assassination in 1672. Biographers report Spinoza's barely controlled outbursts of anger and sorrow during the mob violence surrounding the assassination, which might easily have ended fatally for him as well.¹³ With de Witt's removal, we are also told, Spinoza stood to lose a state-authorized

¹³ [J. Lucas,] *The Oldest Biography of Spinoza*, ed. A. Wolf (London: Allen & Unwin, 1927), 117f., 114 (trans. 65f., 61f.); cf. J. Colerus, *The Life of Benedict de Spinoza*, trans. Pollock, in *Spinoza*, 397f., with the report of Leibniz's quoted by Pollock, 35n.

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pension that served as or supplemented his modest personal income; fortunately, his high-minded refusal to beg for its continuance won the hearts of de Witt's immediate successors, who decided the matter in his favor. All the same, there is something odd about the *Treatise's* description of Amsterdam which is not fully accounted for by either Spinoza's political partisanship or his economic self-interest. According to the *Treatise*, Amsterdam is a cosmopolitan commercial city whose inhabitants display an easygoing religious and ethnic diversity. Yet the *Treatise* elsewhere alludes to the ongoing quarrels between monarchists and republicans, and (immediately following its glowing description of Amsterdam, no less) between Calvinists and Remonstrants (P.3.1-3, 18.4.8-20, 20.6.4). Either Spinoza's account of his native city is little more than a glossy chamber-of-commerce blurb, then, or it is intentionally elliptical—as if by “city of Amsterdam” he meant no more than what we mean today when we speak of the City of London or Wall Street. To suggest that the business of Amsterdam is simply business, as Spinoza does, is in either case to overlook the acknowledged fault-lines separating his country's entrenched political and religious factions. Here again, to come to terms with Spinoza's momentary self-disclosure, we are forced to consider more closely the evidence supplied by the *Treatise* itself.

Spinoza's description of Amsterdam occurs toward the end of Chapter 20, the *Treatise's* last. It is meant to illustrate the theologically neutered political order at which his larger argument aims:

. . . Take, for example, the city of Amsterdam, which, to its considerable enhancement and with the admiration of all nations, experiences the fruits of [religious and political] freedom. For in this most flourishing Republic and most outstanding city, all human beings of whatever nation and sect live with the greatest harmony; and for them to trust their goods to someone, they care to know only whether he is rich or poor and whether he is used to acting in good faith or by a ruse. Otherwise Religion or sect does not move them at all, since it does not help at all in winning or losing a cause before a judge; and no sect is so altogether hateful whose devotees (so long as they harm no one and pay each what is owed and live honorably) are not protected by the public authority and enforcement of the magistrates. . . . [20.6.4]

The immediate point of Spinoza's description is clear enough, and even trite nowadays: Let's get religion out of public life, as they do in Amsterdam, so that sectarian differences will not intrude on citizens' private freedom to buy and sell with one another and, in that way, to profit in common. But this practical proposal overlays a philosophical question that is central to the *Treatise*: How, and how far, can we successfully replace religion with commerce as the social bond?

In his appeal to Amsterdam, Spinoza is describing what we are meant to take as a *fait accompli*. Amsterdam's model religious and political freedoms are said to be enhanced by the city's thriving commercial spirit. Commerce, it seems, has displaced religion considerably in the hearts and minds of its citizens. As a consequence,

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Spinoza's Amsterdam is ethnically and religiously diverse. Its diversity is no barrier to cooperation among its citizens, however, since if Spinoza is correct, the city itself is little more than a huge, bustling marketplace for trading goods and services. Here, he says, "all human beings" regardless of nationality or religion "live with the greatest harmony." Spinoza does not say that there are no conflicts among them, but only that such conflicts as there are tend to be over business matters instead of political or religious ones. That is, Amsterdamers live with the greatest harmony that might be expected among human beings for all practical purposes, a harmony that in no way excludes the need for courts to settle lawsuits among business competitors. The freedom of Spinoza's Amsterdam is thus, most noticeably, the freedom to choose business associates, and the most serious decisions his Amsterdamers face concern how to choose them.

But note how Spinoza says they make up their minds. They "care to know only whether [someone] is rich or poor and usually acts in good faith or by a ruse." Spinoza's words invite a second glance. Does he mean that they choose only those who are rich and honest, or perhaps poor but honest? Not necessarily, for there are two other possibilities as well: rich and full of ruses, or poor and full of ruses. In Amsterdam, one is evidently free to choose any or all types. The only limitations on one's choices are the existence of lawcourts and the "enforcement of the magistrates." Nevertheless these may not be sufficient to daunt ruse-happy Amsterdamers, whether rich or poor, who might well risk defying the laws wherever necessary or possible to make a business profit. To the extent that Amsterdam's political and religious authorities in turn are guided simply by the need to foster and preserve the freedom of Amsterdam's marketplace, it is hard to see where they could be of much help in resolving the fundamental moral ambivalence of the marketplace. How then, we must ask, could political and religious freedom in Amsterdam (or any other place like it) amount to much more than moral indifference?

In the face of this difficulty, Spinoza could easily reply that Chapter 14 of his *Treatise* derives from the biblical text a "catholic or universal faith" consisting of seven religious "dogmas" (14.1.36-46). These are to function as a commonly acceptable catechism in support of the political and religious tolerance suitable for liberal societies like Spinoza's Amsterdam. The dogmas are these: (1) a supremely just and merciful God exists; (2) God alone requires our highest devotion, admiration and love; (3) God is everywhere; (4) God is all-powerful; (5) worshiping God consists solely in justice and charitableness, or in love of neighbor; (6) such worship alone brings salvation, whereas submitting to pleasure brings undoing; and (7) God pardons the sins of those who repent. As we shall see in more detail, Spinoza seems to have arrived at these dogmas by counting the frequency-of-occurrence of biblical "tenets" (*sententiae*)—that is, of opinions articulated at least once in the biblical text and isolable in the form of sound-bites. The seven listed, being in effect the most frequent, appear least likely to be disagreed over by anyone professing allegiance to some biblical sect. They are acceptable because they can claim to be non-controversial, or doctrinally trivial, and utterly consistent with the *Treatise's* proposed method for reading the Bible, which, as we shall see, identifies the Bible's most basic teachings

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with its most frequently repeated teachings.

All the same, we cannot help wondering whether the dogmas at which Spinoza arrives in this way are adequate for meeting the difficulty just noted. Far from overcoming the moral ambivalence of Amsterdam's marketplace, they seem on closer inspection to share it and even compound it. Consider the following loopholes allowed by dogmas five through seven. What if a business executive claimed, in deference to dogma five, that the profits he generated through some ruse made possible more acts of justice and charitableness than he could have seen to otherwise; and, in deference to dogma six, that if he had meanwhile committed occasional acts of injustice or uncharitableness, he did so not from the motive of personal pleasure but from that of an executive's responsibility to his corporation's owners or stockholders; and finally, in deference to dogma seven, that if it were proved that he had done anything seriously unjust or uncharitable, he would be sure to repent! Who or what is there to judge further the moral or religious merits of his defense? Strictly speaking, we cannot even be sure here of a final judgment by a personal God who knows the secrets of the heart, since Spinoza's statement of these dogmas is meant to be compatible with the assimilation of God to nature as found in his *Ethics Demonstrated in a Geometrical Order*.¹⁴

To find our way through the difficulty presented by Spinoza's evident moral obtuseness, we are forced to consider as we go along something else he says in the *Treatise* about the desirability of elevating commerce over religion in political life. Speaking of the biblical theocracy, he remarks that

. . .the form of such an imperium could perhaps only be useful for those who wanted to live to themselves alone, without outside commerce, and enclose themselves within their own limits and segregate themselves from the rest of the globe, and hardly for those for whom it is necessary to have commerce with others. [18.1.4]

Spinoza ascribes the limitations of the biblical theocracy to its being inhospitable to the need for "outside commerce." In other words, the details of the biblical laws—and by implication biblical morality itself—were originally meant only for a closed or self-contained society, whereas present circumstances according to Spinoza favor an open society. Spinoza's critique of the Bible here is largely political rather than strictly theological. Generalizing, we cannot help wondering to what extent the entire theological argument contained in the *Treatise*'s first fifteen Chapters—of which Spinoza's biblical criticism is the centerpiece—turns out to be dependent on the political argument in favor of liberal democracy as contained in the *Treatise*'s last five Chapters, rather than the other way around as his order of presentation might suggest. If so, we would have to suspect that Spinoza's theological argument for biblical criticism cannot be understood simply in its own terms, but is designed instead to prepare for the more decisive political argument to come. But to arrive at this suspicion, whether sooner (while reading the *Treatise*'s overtly theological Chapters)

¹⁴ *Ethics*, Pt. I, Props. 15, 29 Schol. (*Opera*, II, 56f., 71).

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or later (while reading its overtly political ones), is not yet to be able to answer the further question concerning why, once Spinoza has overcome his reader's initial resistance to the theologically unsettling implications of the argument of the *Treatise* as a whole, some semblance of old-fashioned biblical theology is still needed, even or especially from Spinoza's newfound liberal democratic point of view. We must wait to face this last question until we have considered the related difficulty inherent in Spinoza's third autobiographical disclosure in the *Treatise*, his appeal to its philosophical addressee.

Spinoza's Philosophical Starting Point

It is difficult to know what Spinoza means by asking his "Philosopher reader" to indicate if there are doubts about the political, religious and moral wholesomeness of his argument in the *Treatise*, and then failing to leave his name as author (P.6.1-2). Perhaps we can arrive at what Spinoza has in mind by trying to characterize his chosen reader more exactly.

Yet here we face a further difficulty. Spinoza commends his *Treatise* to its reader in a puzzling way. He emphasizes that the argument of the *Treatise* is both outstanding and useful. He adds, however, that he has not said anything whose main points are not already known more than sufficiently by philosophers. He goes on to say that he is not inclined to recommend his book to the vulgar, that is, to non-philosophers. These are likely to be displeased with it for three reasons: they have strong religious prejudices; they are incorrigibly superstitious; and they stubbornly follow their impulse to praise and blame rather than following reason. Non-philosophical readers are thus likely to misinterpret the *Treatise* and become troublesome to others as a result. In Spinoza's words, such readers are "an obstacle to others who would philosophize more freely if this one thing did not stand in the way: they deem that reason has to serve as handmaid to theology" (P.6.2). Spinoza limits the philosophical usefulness of the *Treatise* to that of clearing away this last obstacle. And yet there remains an apparent inconsistency in Spinoza's repeated references to philosophy here. How can his book be outstanding and useful to a philosophical reader if everything it says except for the details is already well known to philosophers? Then again, if this last is the case, why would acquaintance with those details help such a reader "philosophize more freely"?

To untie this complicated knot, we must see Spinoza's characterization of his intended reader in the context of the argument of the Preface as a whole. Afterwards we can face more directly the difficulties left over from Spinoza's other two self-disclosures: how he finds himself at a considerable remove from both Judaism and Christianity, and why he holds that some semblance of biblical theology is needed nevertheless.

Spinoza's Intended Reader

Spinoza does not mention that he has written his *Treatise* for a philosophical reader until the end of the Preface, after he has outlined the *Treatise's* two main practical proposals—biblical criticism and liberal democracy (P.6.1-2, with P.4.1-5.13,

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14-18). His delay in spelling out his philosophical intention might meanwhile lull the reader into considering the *Treatise* as nothing more than a theologically sophisticated political pamphlet. One has to wonder whether, or in what way, Spinoza's book has the philosophical merit he eventually claims for it. True, as the *Treatise's* subtitle announces beforehand, what connects its combined theological and political proposals is "the freedom of philosophizing." But how can the reader know whether "philosophizing" here means more than asserting the author's partisan opinions or interests quite apart from a full openness to their practical and theoretical implications—whether, in other words, it is anything besides self-promotion or ideology?

The Critique of Superstition

That there is something more is suggested by the Preface's opening sentence: "If human beings could regulate all their affairs with certain counsel, or if fortune were always favorable to them, they would not be bound by any superstition" (P.1.1). Here is a practical project, to be understood in light of its far-reaching theoretical implications. Can we as human beings govern or control everything that touches us—if not automatically, at least by means of "certain" or reliable advice? Put another way, can we become the steady favorites, or non-intermittent beneficiaries, of fortune or chance, as we sometimes wish we could? These two questions are at bottom the same. Spinoza raises the prospect of our having everything we want when and as we want it, and so of achieving complete freedom from worry in our lives. The former way of putting the question places the burden on our own efforts to find good advice. The latter way leaves it to some superhuman supplier and distributor for everything we need. Still, the answer in either case seems to be no. Complete freedom from worry is unlikely, as is evidenced by the ongoing prevalence of superstition in human life. But Spinoza would have us keep taking our bearings by that unlikelihood anyway. His subsequent attack on superstition, which occupies the bulk of the Preface, is guided by the premise that success in freeing ourselves from worry over whether things around us will affect us for good or ill is inversely proportional to the presence of superstition. If and when philosophical considerations proper emerge in the *Treatise*, then, we may expect to see them as part of the overriding question of how to live worry-free.

If superstition is the worry-driven appeal to false gods, then what is bad about it is that it is so deceiving (P.1.2-8). It deepens our worries instead of lifting them. According to Spinoza, people turn to superstitious behavior out of a mixture of overconfidence and desperation. We long for an endless number and variety of good things for ourselves, but all too often we fail to achieve them. One solution to this difficulty might be to restrain or moderate our longings.¹⁵ Yet we tend not to do so. We prefer to hope that fortune will bring the objects of our longings within our reach

¹⁵ Moderation, despite what Spinoza will soon suggest about both classical political philosophy and biblical thought, would seem to be the core of the practical solution they both happen to share. See, for example, Plato, *Republic* 389d-390a, 430d-432a, *Laws* 696a-697c, 711c-712a; Aristotle, *Nicomachean Ethics* 1117b23-1119b18; also Ex. 20:14, Num. 15:37-40, Dt. 5:18, with Moses Maimonides, *Mishneh Torah*, *Sefer Ahavah*, *Hilchot Tsitsit* 3.13 (in Maimonides, *The Book of Adoration*, ed. and trans. Moses Hyamson [Jerusalem: Boys Town Publishers, 1965], 144).

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anyway. When fortune continues to disappoint us, however, we are at a loss to know whether to keep up hope or give in to our worst fears that nothing good will come our way ever. We vacillate between extremes of optimism and pessimism. In good times, says Spinoza, we shun advice as if done an injury by it. In bad times, we seek and take advice indiscriminately, and go so far as to look in odd places—premonitions, dreams, childish idiocies, and so on—for omens to confirm our high or low expectations of our future. In our vacillating, we act as if nature collaborated in furthering or frustrating our private hopes and fears on purpose, and devise strange practices to propitiate the God or gods we believe control nature to intervene on our behalf. We thus imagine nature to be as flighty and impressionable as we are. Whereas God or nature rightly understood would enable us to correct our instability, either or both are construed by the superstitious so as to legitimize it.

Spinoza's critique of superstition might almost pass for the Bible's own.¹⁶ His actual examples of superstitious practices include augury and divination and, by implication and indirect description, idolatry. He mentions the superstitious worship of a "highest Deity" only alongside that of other, lesser gods, as if to leave the impression that his critique merely replicates or extends the biblical polemic against such worship. His chief example for showing the correlation between superstition and fear is Alexander the Great, who began consulting prophets when exposed to military uncertainty in Persia at the Gates of Susa and stopped doing so only after having destroyed the Persian king Darius—but turned to them again when uncertainty recurred, as his allies began to defect, his enemies were threatening, and he himself lay wounded.¹⁷ Immediately after citing this example, Spinoza offers a broad generalization on the basis of claiming to be able to cite "the utmost of examples" that show the same thing as clearly as can be. The resulting generalization hedges and even straddles the line between heathen and biblical prophets. It is that human beings struggle with superstition only in moments of anxiety, that the things they worship then are "nothing but phantasms, and hallucinations of a sad and fearful psyche," and that prophets gain the greatest public credibility, and so pose the greatest challenge to legitimate rulers, during the most severe public crises. Although the Latin term Spinoza uses for prophet here is *vates*, by which he refers to heathen prophets and, eventually, to Israelite prophets who prophesied to heathens as well as to Israelites, this term is soon eclipsed in the *Treatise* by *propheta*, by which he refers to all prophets whether Israelite or non-Israelite (cf. 3.5.23, 25-34). In failing to make clear whether there is any important difference between heathen and biblical prophets, Spinoza in effect merges the two. In any event, we catch our first direct glimpse of the biblical prophets only later on in the Preface, and only after passing through a corridor occupied by heathen prophets as just described.

Looking at heathen and biblical prophets alike from the standpoint of their being obstacles to freedom from worry leads Spinoza to focus on the common political circumstances—or crises—in which all prophets are said to flourish (P.2.1-4). The

¹⁶ See, e.g., Ex. 20:3-5, 32:1-34:17, Lev. 19:31, Dt. 4:15-20, 5:7-10, 13:2-19, 18:9-22, Is. 1:10-17, 44:9-20, Jer. 2:23-28, Ps. 97:7, 115:4-8.

¹⁷ See Quintus Curtius, *History of Alexander* V.4 with VII.7.

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same personal instability that breeds superstition, we are shown, brings political instability as well. This line of thought allows Spinoza a further observation. "Nothing regulates a multitude more effectively than superstition," he remarks, quoting Alexander's biographer Quintus Curtius.¹⁸ Superstition's destructive effects on public life being practically unstoppable, Spinoza limits himself to the possibilities for damage-control. Thus, on the one hand, if a king neglects the superstitions of his people—that is to say, if he ignores them and leaves them unregulated—then he will find himself at one time venerated and at another time execrated at their whim. On the other hand, the worrisome political consequence of such neglect suggests the possibility, or rather the necessity, that kings join in what they cannot defeat, by deliberately adding to the body of popular superstitions with beliefs and practices which are likely to promote veneration rather than execration of themselves, and codifying and enforcing the entire package by law. This second, more stabilizing option amounts to the thoroughgoing politicizing of superstition. Its most successful practitioners, according to Spinoza, have been the Turks, "who consider it a sacrilege even to dispute, and occupy each's judgment with so many prejudices that they leave no place in the mind for sound reason or for doubting anything."

Mention of the extreme example of Turkey sets the Spinozist alternative into proper relief (P.3.1-4). To the Turkish (Muslim) monarchy, Spinoza opposes his own country, the Netherlands. It is a "free republic." As republicans, his fellow countrymen are spared the need to risk their lives and welfare to defend the dubious claim (or "boast") of a single human being, a king, to rule. They are by comparison left to themselves in peace. As free, moreover, they are likewise spared the need to conform to a single set of religious superstitions designed to suit the interests of kings. On the contrary, "occupying each's free judgment with prejudices, or controlling it in any mode, conflicts altogether with the common freedom." A practical difficulty remains, however. It accounts for why Spinoza addresses the *Treatise* to his countrymen in the first place. They need to know how the freedom of religion proper to their republic fits with the further need, as they see it, that religion reinforce the social bond—what Spinoza calls "piety and the peace of the Republic." Their need to know is pressing, since there are still those among Spinoza's countrymen (despite what we have already seen Spinoza say in Chapter 20 of the *Treatise* about Amsterdam itself) who see non-conformity to a single, state-authorized religion as seditious and hence punishable. Spinoza offers them a simple formula. It is that deeds alone should be punishable, not words or thoughts.¹⁹ Religious differences, rightly understood, fall into the latter category. The argument of the *Treatise* as a whole is, among other things, designed to explain and defend Spinoza's formula.

Having come within sight of the philosophical considerations that have led us into Spinoza's Preface in the first place, we may look back for a moment to see more clearly why he has framed his argument leading up to them in terms of the dubious likelihood of complete freedom from worry. It has to do with the Turkish alternative to his formula as just described. The Turkish alternative, to recall, is the most successful

¹⁸ *History of Alexander* IV.10.

¹⁹ Spinoza takes this formulation from Tacitus, *Annals* I.72.

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instance of a political accommodation to superstition. To show the political merits of his own formula most persuasively, Spinoza must show its superiority in every way to its chief old-fashioned rival. What he has called the Turkish religion, or rather some less successful variety of it, continues to attract his contemporaries—those, say, who insist that their country is not a “free” but a “Christian” republic (or monarchy), where this or that religious sect has to prescribe the social bond. He must then confront the “Turkish” alternative in its full force, yet tactfully enough to begin to persuade rather than alienate those among his intended readers who still incline to it.²⁰

Indeed, Spinoza has already confronted that alternative in principle, if most succinctly, in the Preface’s opening sentence, whose elaboration we have been following up to this point. Consider that, of the two ways by which he has induced us there to think about freedom from worry, the second one—that fortune might favor us always—is another way of stating the fundamental assumption promulgated in a superstitious manner by the Turks. Theologically expressed, it is that there is a personal God who exercises particular providence on behalf of his adherents. A little later on in the Preface, as we shall see, Spinoza will say in so many words that, aside from a few external features, he finds very little difference in this regard between Turks on the one hand and Christians, Jews or heathens on the other. Spinoza’s confrontation with the “Turkish” version of the questionable belief that freedom from worry is possible under the guidance of a providential God is his philosophical confrontation with organized or politicized religion as such.

The Need for Biblical Criticism

Having singled out his enemy, organized religion, Spinoza turns to outlining his plan of attack (P.4.1-8). He does so by way of recalling the questions that originally prodded him into writing the *Treatise*. He thus provides a gloss on his overall strategy in the guise of an autobiographical statement.

The gloss, like the *Treatise* itself, is divided into two unequal parts: a longer, theological argument indicating the desirability of biblical criticism, and a shorter, political argument indicating the desirability of liberal democracy.²¹ Both are needed to replace so far as possible the superstitious approach to the problem of worry with Spinoza’s more sober and enlightened approach. To propose biblical criticism without liberal democracy would be to ask society to hand over its old protective garment—the respectable moral teaching contained in the Bible—for minute examination and possible refurbishing, while neglecting to supply a decent substitute for society’s use in the meantime. To propose liberal democracy without biblical criticism, on the other hand, would be to invite moral criticism from superstitious readers of the Bible and to ignore whatever limited endorsement for liberal democracy may be found in the biblical text itself as newly interpreted. Since Spinoza’s intended reader is typically more versed in the intricacies of the biblical text than in the ins-and-outs of practical statesmanship, the theological argument is necessarily prior to and longer than the political one. An important task of Spinoza’s argument is to reverse his reader’s

²⁰ Consider 5 4.15

²¹ See the titles of Ch. 1-15 and 16-20 of the *Treatise*, respectively.

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priorities here.²²

Before writing the *Treatise*, Spinoza tells us autobiographically, he had often wondered how Christianity ever came to be a persecuting religion. Christianity is supposed to be the religion of “love, gladness, peace, continence and faith toward all” (P.4.1). Yet as things stand, Christians are divided into competing sects. They magnify their disagreements. They even bear extreme hatred toward one another on account of them. Christians have become as it were sectarians first and Christians second. Not that the situation is much different, Spinoza adds, among Turks, Jews, or heathens. There are differences in dress, ritual, place of worship, doctrines and oaths, of course; but these are outward trappings. “Otherwise,” he remarks, “life is the same for all” (P.4.2). Spinoza’s remark is double-edged in its depreciation of organized religion. On the one hand, he implies, there is a sameness to human life beneath, and so apart from, any religious veneer. On the other hand, all religions (presumably because of something untoward in that underlying sameness) are like Christianity in tending to persecute the heterodox.

Spinoza never doubted his preliminary or commonsense answer to his question about the cause of Christian persecutions in particular. His answer was pointed and not pretty. It had to do with the vulgarization of Christianity.

Differently stated, persecutions were the inevitable consequence of the politicizing of Christianity, its accommodation to the routine requirements of political life. Once Christianity became an organized religion, vulgar Christians began to look to it for vulgar political rewards. There ensued a fierce competition for those rewards—decent jobs, emoluments of office, popular honors—so that the spread of Christianity was inseparable from the greed and ambition which accompanied it. Churches degenerated into theaters.²³ Teachers of religion gave way to spellbinding orators and castigators. Proper openness to the “divine light” thus being stifled, so too were Christian love, tolerance, and belief in the Bible. All that was left were external rituals, “by which the vulgar seem more to flatter than to adore God,” and “absurd” dogmas, which “seem as if devised with the given task of extinguishing the light of understanding inwardly” (P.4.4). The whole result was complicated by the fact that theologians came to defend Christian dogmas by drawing on biblical prooftexts. These they interpreted as “profound mysteries,” with the help of speculations drawn from Aristotelian and Platonic philosophers (P.4.7). But in assimilating the teachings of the biblical prophets to those of the heathen philosophers, they show, according to Spinoza, that they have lost sight of the original meaning of the biblical text and “do not so much believe in

²² Presupposing the success, or at any rate the viability, of the argument of the *Theologico-Political Treatise*, Spinoza’s *Political Treatise* seeks instead “to demonstrate, by a certain and indubitable plan, what best fits with practice and to deduce it from the condition of human nature itself,” quite apart from theological considerations—though before doing so Spinoza proceeds to restate and demonstrate “apodictically” the relevant doctrines of the *Theologico-Political Treatise* and the *Ethics*. See *Political Treatise* I.4, II.1-24 (in *Opera*, III, 274, 276-84; Spinoza, *The Political Works*, ed. and trans. A.G. Wernham [Oxford: Clarendon Press, 1958], 262-63, 266-83).

²³ Consider, in the light of Spinoza’s subsequent account of the absorption of philosophical controversies into Christian theology, Francis Bacon’s “idols of the theater,” *New Organon*, Pt. I, Aphs. 72-73, 75 (ed. F.H. Anderson [Indianapolis: Bobbs-Merrill, 1960], 59-61, 62); also Bacon’s reference to “theater” in *New Atlantis*, paragraph 6 (ed. Jerry Weinberger [2nd ed.; Arlington Heights, Ill.: Harlan Davidson, 1989], 47f.).

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Scripture as go along with it” (P.4.8). In other words, their professions of faith were neither biblically informed nor religiously sincere; they were hypocritical. Another sign of the foregoing is that, disagreements aside, those theologians were forced to assume at the outset of their arguments that the Bible’s teaching “is everywhere truthful and divine” so long as it fit with their prior, philosophically-induced—and persecution-inducing—conclusions (P.4.8). But this, Spinoza protests indignantly, is to get things backwards. For all these reasons, a full understanding of the problem of Christian persecution and its possible resolution turned out to depend on an adequate recovery of the original meaning of the biblical text.

While calling attention to his having contrived a “method” for interpreting the biblical text, Spinoza does not tell us in the Preface what that method is (P.5.1-13). The point of Spinoza’s discussion here is simply to indicate why his method was needed. Hence he dwells largely on the practical benefits of applying it.

Method was needed for the purpose of enabling Spinoza to focus on the Bible itself, without being distracted by the results of his pre-methodical reflections on the cause of Christian persecutions. Those results were disturbing. He reiterates them briefly, as if for emphasis: reason (“the natural light”) is rejected as impious; men’s words pass for God’s; gullibility masquerades as faith; and Christians are fighting over imported philosophical claims. Spinoza says that he could add “a great many other things,” except that they “would take too long” to spell out (P.5.1). What all this amounts to, it seems, is the ambivalent character of Christianity itself. As things stand, Christianity is a poor guardian of the biblically-inspired morality it espouses. It does not practice what it preaches. It cannot, if Spinoza’s earlier account of the vulgarization of Christianity is correct, since its professed teaching—“love, gladness, peace, continence and faith toward all”—fails to come to grips with the politically corrupting effects of human greed and ambition. Spinoza’s method therefore isolates the biblical text from the received Christian reading of the text in order to discover how far the inadequacies of Christianity which have led to Christian persecutions may be traced to a possible misreading. Spinoza allows his Christian readers to continue to esteem the Bible, while at the same time he encourages them to despise what their religion has done with the Bible. By making available to them the fruits of his method, he draws them *pari passu* into a process of theological self-correction.

Spinoza recalls seven theological questions about the Bible which his method has allowed him to answer “very clearly” while putting the received answers to one side.²⁴ He does not share his own answers to those questions so much as he testifies to the benefits of having reached such answers as he did. The benefits prove to be cumulative. Listing the questions in three groups, he joins the first three questions, separates off the fourth, then joins the remaining three, so as to follow each group of questions with a benefit statement concerning his reported answers. The first three questions were all about biblical prophecy: What was it? Why did God reveal Himself through prophets? Were prophets then accepted because they were wise or because

²⁴ Descartes likewise puts received teachings to one side in raising questions about the biblical text which his method allows him to answer “very clearly” (*bien clairement*); see *Discours de la méthode*/ *Discourse on the Method*, Pt. V, ¶ 2 (Heffernan, 66-67).

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they were pious? Spinoza's brief testimony concerning the benefit of his answers here is that the prophets' teachings were to be taken seriously only in moral matters, not in theoretical ones—on the unstated premise, as regards his answer to the third question at least, that the prophets were pious rather than wise. Spinoza's central question was why the Hebrews were called God's chosen people. His answer here was "nothing else" than that "God chose for them a certain area of the world where they could live securely and advantageously" (P.5.5). The benefit of his arriving at this answer, especially after having already determined that the Bible's authority is limited to moral matters, was that he learned from it that the Mosaic law was entirely bound to the Hebrews' political autonomy and has since lost all obligatory force as a result of the collapse of that autonomy. Finally, Spinoza asked three more questions in order to know whether one should infer from the Bible that human understanding is by nature corrupt. The exact questions were whether the divine law revealed through the prophets and apostles for all human beings was any different from what reason ("the natural light") also teaches, whether miracles go against the order of nature, and whether miracles show God's existence and providence better than natural science does. His answers in each case, being no, emancipated him from the need to look to the Bible for intellectual guidance, just as his previous answers had emancipated him from the need to look to it for moral guidance. At the same time, in an odd way those answers also pointed him toward the *Treatise's* political teaching—liberal democracy—on putatively biblical grounds.

What is odd about how his biblical discoveries pointed Spinoza toward liberal democracy is that it was an argument from silence. The Bible as he now read it is by and large silent about philosophical matters. It teaches simple devotion toward God. Such devotion consists entirely of acts of justice and charity. Since the Bible's teaching is meant for "the spirit of the multitude," its rhetorical style and supporting reasonings must be appropriately simple-minded (P.5.7). The Bible's wish to impose tight moral standards requires it to tolerate loose intellectual ones. To exhort its addressees to obey God wholeheartedly by being just and charitable, that is to say, it has to use language suited to their capacity and opinions. It makes no effort to demonstrate anything with logical rigor. Hence, Spinoza inferred, it does not compete with philosophy on its own footing. Far from clashing with philosophy, it has "nothing in common" with it. Spinoza drew the further theological inference that the Bible leaves its adherents free to pursue philosophical arguments on their own, if they are so inclined. In that way, his theological discussion anticipates the possibility of a political order that would grant such freedom to all explicitly, where the Bible (according to his argument) has done so only implicitly.

Spinoza seems on reflection to have been aware of his own lack of rigor in arguing from the Bible's silence about the freedom of philosophizing to its endorsement of it. He speaks of the need to establish the entire matter "apodictically," that is to say, with certainty (P.5.8). He promises to show in the *Treatise* that the proper way to interpret the Bible is for "its whole knowledge of spiritual matters" to be sought within its own pages ("from itself alone") rather than from what those pages would have us look to in ourselves and the world around us ("what we know by the natural

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light”). The Bible, so construed, teaches only about itself, not about anything outside itself. Its views are “relics of the time,” as opposed to being thoughtful insights into its own or any other time. Why then, one might ask, should anyone ever have believed otherwise about the Bible, so as to insist on political conformity to its supposed teachings about theoretical matters? Spinoza’s answer has to do with the vulgar prejudices traceable to the politicizing of Christianity as he has outlined it earlier. The vulgar, he now remarks, mistake worship of the Bible—of a text that they are in the habit of deferring to superstitiously—for worship of God.²⁵ But practically speaking, he adds, no one can reasonably insist that another conform to this or that opinion on the grounds that he considers it to be the word of God from his having found it mentioned in the Bible. Human beings, Spinoza remarks, differ too widely from one another. Their mental casts are “highly varied”; “one acquiesces better in some opinions and another in others”; and “what moves one to religion moves another to laughter” (P.5.13). It is therefore prudent, not simply pious, he concludes, to leave each to the freedom of his own judgment in theoretical matters, so long as he is just and charitable in practical matters. Spinoza’s dubious theological argument concerning the Bible’s tacit endorsement of philosophy, it now appears, was only preparatory to his arriving at a political argument that could be considered indubitable.

Put differently, Spinoza’s biblical argument in favor of the freedom of philosophizing unsupervised by religion is a rather free use of the Bible. It is an instance of what it asserts. Theologically, it begs the question whether the Bible’s evident silence about philosophy is an indication of permission rather than prohibition. For that reason alone, Spinoza’s appeal to the biblical text is incomplete, his method notwithstanding. The principle that whatever the Bible does not forbid it allows, in other words, stands or falls with the *Treatise*’s political argument as such.

The Need for Liberal Democracy

The Preface’s political argument, though shorter than its theological argument, is likewise divided into three parts (P.5.14-18). The three parts of its theological argument correspond to the stages Spinoza recounts autobiographically as having led to his writing the *Treatise*. Its political argument mirrors those three-stage developments as well. Whereas the Preface’s theological argument traces the origin and development of Spinoza’s questions about religion and politics, its political argument outlines the practical scope and limits of his answers to those questions.

Recall the three stages of Spinoza’s intellectual autobiography. First came his naïve perplexity about Christian persecutions and their cause. Next came the imposition of method onto his reading of the Bible so that he might free himself from his perplexity enough to discover for certain whether the Bible, understood in its own terms, could have been the cause of those persecutions. Finally came his reflections in the aftermath of applying his method. That there was something more to reflect on is clear from the implicit acknowledgement of his lack of rigor in purporting to establish the Bible’s tolerance of philosophizing on the basis of its mere silence about

²⁵ Consider Moses Maimonides, *Guide of the Perplexed* I.31 (trans. S. Pines [Chicago: University of Chicago Press, 1963], 67.)

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philosophizing. Without Spinoza's establishing this last conclusion apodictically, his theological argument would remain, as we have already seen, seriously incomplete. True, one might acquiesce anyway in Spinoza's cumulative suggestion that Christian persecutions are caused not by the biblical text rightly understood, but by theologians abusing that text in the light of quasi-philosophical controversies arising from imported, Aristotelian and Platonic doctrines. But, on the other hand, one might equally infer that there must be something about the biblical text itself, despite Spinoza's effort to exculpate it, which has caused theologians to become receptive to those alien doctrines in the first place.²⁶ Had Spinoza not turned to political considerations at this point, his perplexity about the relation between the doctrinal controversies endemic to philosophy and the justice and charitableness taught by the Bible—which has by now emerged as the underlying concern of his autobiography—would have remained unresolved for him.

The first stage of the Preface's political argument is meant to supply the apodictic demonstration, quite apart from the biblical text, for the desirability of tolerating philosophical—and, derivatively, theological—differences. Spinoza's demonstration appeals to "natural right."²⁷ His premise is that natural right is identical with "the longing and power of each." In other words, nature is said to produce individuals that seek to persevere as individuals with everything at their disposal. Each particular individual is by nature only that individual and nothing else. Hence "no one is bound by right of nature to live on the basis of another's mental cast." Each is, on the contrary, "the avenger of his own freedom"—or, perhaps, "of *its* own freedom," since Spinoza is not limiting himself here to a consideration of human nature but thinks in terms of what humans share with all other beings.²⁸ No individual can rightfully give up that freedom, therefore, except by an act of freedom. But a human individual might well decide to transfer his freedom to another who would "defend" or help maintain his existence on his behalf. If so, he transfers it "together with his right to live on the basis of his own mental cast"; that is, he gives up something of his natural individuality and must now defer to the recipient or recipients of that transfer, whom Spinoza calls the "highest powers." The highest powers in turn acquire from any and all donors an enhanced right to do anything they can to maintain themselves as the new and sole "avengers of right and freedom," even or especially over against their original donors, who are now their subjects. Nevertheless, for the highest powers to act willfully or high-handedly against subjects would be foolish, since "no one can so deprive himself of his power to defend himself that he stops being a human being" (P.5.16). That is to say, no subject can ever forget that he is an individual endeavoring to persevere as such, and so is apt to rebel against the highest powers if the latter in turn happen to forget that about him. This threat sets limits to what the

²⁶ Consider, e.g., Dt. 4:6, with Maimonides, *Guide of the Perplexed* II.11, III.31 (trans. Pines, 276, 524)

²⁷ See also Spinoza's fuller discussion in Ch. 16 of the *Treatise*.

²⁸ Contrast Thomas Hobbes, *De Cive*, ch. 2 (Latin version, ed. H. Warrender [Oxford: Clarendon Press, 1983], 98-107; English version, ed. H. Warrender [Oxford: Clarendon Press, 1983], 51-61); *Leviathan*, ch. 14 (ed. M. Oakeshott [Oxford: Basil Blackwell, 1960], 84ff.).

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highest powers are able—or, what is the same thing here, obliged²⁹—to do vis-à-vis their subjects. The limits may be publicly acknowledged either implicitly, by means of the highest powers' prudent forbearance, or else explicitly, by means of laws. In either case, the subject's calculated surrender of his natural freedom, motivated as it is by his private worry over how to persevere as an individual, turns out to be the safest guarantee of that freedom. At the same time, given the circumstances Spinoza has been describing all along, it is also—unlike superstition—the safest guarantee of political stability.

That Spinoza recognizes the theological bearing of the foregoing demonstration is evident in the second stage of his political argument. It consists of a single statement: "These things having been considered, I go on to the Republic of the Hebrews, which I describe at enough length to show how, for what reason and by whose decree, religion began to have the force of right, and also other things in passing which seemed worthwhile as information." (P.5.17) This statement indicates Spinoza's intention, in the body of the *Treatise*, to extend the priority given to freedom in his general understanding of politics to the Bible's own understanding of politics in particular.³⁰ For example, he now speaks of the biblical polity as a republic, whereas during his earlier discussion of the politicizing of superstition he had implied that all political religions were originally founded with a view to the advantage of kings and so must have been monarchies.³¹ At the same time, he promises to show the process by which biblical religion first became politicized, with the implication that biblical religion once had a pristine, pre-political phase, which readers are for the moment allowed to identify with the "natural" individualism Spinoza has just finished spelling out in the first stage of the Preface's political argument.³²

The third stage of Spinoza's political argument in the Preface also consists of a single sentence immediately following the previous stage (P.5.18). It returns matters to the first stage of the theological argument, just as the second stage of the Preface's political argument, with its focus on the Bible, returned matters to the corresponding stage of his theological argument. This final stage of the political argument promises to resolve Spinoza's original perplexity about Christian persecutions in a practical way, as a result of his combined arguments so far.

The practical solution he offers is twofold. First, the highest powers are said to have exclusive authority not only over public life but also over religion.³³ Second, however, they exercise that authority best by allowing complete freedom of speech and opinion—including, of course, freedom of religious belief as the *Treatise* now understands it.³⁴ The first part of Spinoza's solution here would authorize rulers to

²⁹ A sign of Spinoza's identifying moral obligation with political power is his systematically ambiguous use throughout the *Treatise* of the verb *teneri* ("to be bound"), as in the Preface's opening sentence, to mean both "duty-bound" and "constrained"

³⁰ See Ch. 17-18 of the *Treatise*.

³¹ The titles of Ch. 17 and 18 of the *Treatise* both speak of the "Republic of the Hebrews."

³² 17.5.1-7 associates that phase with the two month period between the Israelites' liberation from Egyptian slavery and the promulgation of their law at Mount Sinai (see Ex. 15:22-19:9)

³³ See the argument of Ch. 19 of the *Treatise*.

³⁴ See the argument of Ch. 20 of the *Treatise*.

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prevent doctrinal controversies from leading to sectarian persecutions, though the second part would not let them do so by suppressing doctrinal differences in the first place. Exactly how they are to exercise their authority over religion, Spinoza in the Preface leaves open for the time being.³⁵ Meanwhile the two pieces of advice are offered in preference to any that would impose or perpetuate the teaching of some political religion or other as the social bond pure and simple.

Obviously Spinoza's solution puts a great premium on the prudence of the rulers, or else on their openness to sound advice, rather than simply on, say, explicit constitutional guarantees to protect the ruled. More than that, he requires that rulers be sufficiently liberated from the attractions of political religion and sufficiently drawn to freedom as the be-all and end-all of political life. Practically speaking, that is the direction of the *Treatise's* political argument as such. Spinoza offers a safe haven from the political turbulence all too often resulting from religious controversies, by encouraging rulers and ruled alike to govern their lives instead by the putatively "certain" or worry-free advice his Preface has been promoting all along as the viable alternative to political religion. Correspondingly, he steers them away from dependence on "fortune," where the superstitious have in effect misplaced their trust while believing themselves to be soliciting and awaiting the favors of some personal God or gods.³⁶

Even so, it is remarkable how little Spinoza has actually said in a general way about the certain advice they are being led to seek, as opposed to how much he has said about the superstition they are being advised to shun. For some reason, he leaves readers to learn from him piecemeal. He limits himself to providing particular illustrations of more or less—often less—reliable advice. Besides the advice he has just given about how to safeguard religious freedom in a republic, Spinoza's illustrations include several examples we have already looked at in passing: Alexander's turning and returning to prophets, the founding of political religions, the vulgarization of Christianity, Spinoza's autobiographical account of how he came to reinterpret the Bible, and the apodictic account he has just given about the origins of political life. A provisional understanding of what he means by "certain counsel" must be distilled from these six examples.

What do they have in common? They are all historical or developmental accounts. In each case, Spinoza replaces a naive or worried view of a potentially worrisome situation—the outcome of a war, the superstitious character of organized religion, Christian persecutions, what the Bible says, the goal of political life—with an account of how that situation grew to be as worrisome as it is. Knowing step-by-step what made that situation suggests in principle how it might be unmade or remade.³⁷ It implies that worriers may have some control over the conditions that have made them

³⁵ Recall, however, that Ch. 14 of the *Treatise* will supply them with a list of approved dogmas by which the public actions of the various sects may be tested for legitimacy—though the test is problematic, as we have already seen.

³⁶ From this point of view, the *Treatise* may be seen as a theological extension of Machiavelli's advice to rely on one's own arms rather than on fortune. See especially Niccolò Machiavelli, *The Prince*, Ch. 6-7, 25 (trans. H. C. Mansfield, Jr. [Chicago: University of Chicago Press, 1985], 21-33, 98-101); *Discourses on Livy* II.1, III.31 (trans. H. C. Mansfield, Jr., and N. Tarcov [Chicago: University of Chicago Press, 1996], 126, 283f.).

³⁷ Cf. Francis Bacon, *New Organon*, Pt. I, Aphs. 3, 129 (Anderson, 39, 117-19).

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worry in the first place. With some further effort on their part, they may be in a position to change those conditions, to make them less worrisome. That prospect, in itself, serves to dissipate worry somewhat.

The order in which Spinoza has presented his illustrations, moreover, does not seem to be haphazard. Each new illustration in the series includes an example of advice followed which is, on the whole, more reliable than that of the previous illustration. Least reliable was the example of the anxiety-ridden Alexander's following his own advice in consulting prognosticators at the Gates of Susa. More reliable was the advice followed by those kings who co-opted superstition in the interest of their own political stability—though at the cost, at least in the case of the Turks, of freedom of opinion. Christianity, next in the series of Spinoza's illustrations, may be said to be an improvement over the Turks by reason of its tolerance of philosophical controversies (at least if Spinoza is correct in claiming that the Bible itself tolerates them); yet it was inadvisable according to Spinoza for Christianity to have let itself be so beset by such controversies as to have betrayed its original teaching of love for all human beings by becoming a persecuting religion. As for the Bible itself as newly interpreted by means of the method Spinoza recommends imposing on it, the view that it teaches only justice and charitableness is seen to be a marked improvement over the various philosophically-inspired interpretations that have underwritten Christian persecutions; but as we have also seen, Spinoza is unable to establish with complete certainty his contrasting claim that the Bible contains a simple moral teaching that is at bottom permissive rather than prohibitive. More reliable than any of the foregoing, it seems, is the apodictic account Spinoza has just offered concerning the character of political life—as the calculated surrender of one's individual freedom with a view to enhancing one's prospects as part of a political society. This last, in turn, is the putatively reliable basis for Spinoza's formula for combining stable political sovereignty with religious freedom—that is, his culminating advice that those who hold the highest power exercise that power to regulate subjects' deeds where necessary but leave their words alone.

Still, the most one could conclude from Spinoza's having presented the notion of certain advice by means of those six illustrations is that any one piece of advice is only *comparatively* more reliable than another for freeing its practitioner from worry. Absolute freedom from worry does not seem to be entirely within the *Treatise's* purview—an observation that is compatible with Spinoza's initial supposition that superstition is likely to persist in political life. It follows that Spinoza's political argument here has the character of a series of calculations or projections whose results are never guaranteed with absolute finality. Each piece of advice is infinitely revisable. Each may bring with it new worries—though these may be minor compared to the original worry that brought the need for advice to begin with—and so each may in turn call for progressively new and more refined advice.

The Need for Philosophy

We are now in a position to resolve Spinoza's apparent inconsistencies in his repeated references to philosophy near the end of the Preface, by recalling his most obvious references to philosophy earlier on (P.6.1-2, with 4.7-8). Besides arguing that

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the Bible itself is neutral or indifferent on the question of the role of philosophy in human life, Spinoza has also drawn attention to the Aristotelians and Platonists said to have infiltrated post-biblical theology.³⁸ Since all Christian theologians are thereby said to make use of some Aristotelian or Platonic doctrine or other whether they know it or not, it follows that those doctrines and their authors are what ultimately stand in the way of the proper recovery of the original, unphilosophical meaning of the biblical text according to Spinoza, its simple teaching of justice and charitableness. Hence Aristotle and Plato and their theological epigones must be the true target of Spinoza's war against religious superstition—given, at any rate, that an all-out war against superstition itself is not winnable. Only by discrediting the assumption among theologians that Aristotelian and Platonic philosophy is helpful for understanding the Bible³⁹ and replacing that assumption with advice that includes as its centerpiece the results of his own method of reading the Bible, can Spinoza persuade his reader that both theology and philosophy are better off in the circumstances if they leave each other alone.

But if so, then the “Philosopher reader,” the “Philosophers” and the “others who would philosophize more freely” are all identical, so far as the *Treatise* is concerned. They are theologians, or else theologically sophisticated Christians, who can be brought to share Spinoza's own dissatisfaction with the discrepancy between Christian love and Christian persecutions, and so are open to his suggestion as outlined in the Preface and reinforced by the accumulated details of the *Treatise* that the cause of that discrepancy is the improper intrusion of Aristotelian and Platonic philosophy into theology.

Yet recall that such readers are, from the Preface's point of view, only partly philosophical. They are also partly, perhaps even mostly, superstitious. To that extent, so Spinoza has been telling us, they are readers who are led more by their worries than by their reason. Hence Spinoza's final words in the Preface try to free them from any particular worry over the possibly impious effects of his having published the *Treatise* to begin with.

Such is the point of the Preface's concluding invitation for readers to examine the *Treatise* as a whole and let Spinoza know (though he has published it anonymously) if they find anything in it that is at odds with the country's laws or stands in the way of the common welfare (P.7.1-2). He knows that he is a “human being,” he says, and “could have erred.” Yet he has “been painstakingly careful” not to err. Assuming he has been successful in avoiding errors, he adds, whatever he has written “would altogether answer to the laws of the fatherland, to piety, and to good morals.” Spinoza's concluding words here are quite ambiguous. To “answer” to the laws, for example, could mean either to obey them or to criticize them with a view to improving them. Likewise, to ask his readers to look for anything he has said which would undermine the established laws or challenge putatively salutary practices could mean either to solicit a stamp of approval or to stimulate public debate. Also, if Spinoza's

³⁸ See also 13.1.7, with 1.10.3, 5.4.20, 7.11.21-22, as well as the *Treatise*'s extensive critique of Maimonides, 1.10.3, 5.4.19-22, 7.11.21-39, 10.2.1-6, 15.1.1-35.

³⁹ Recall, however, note 15, above

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words themselves are unerring, or insofar as they are, it may be that he has meant all of the above. But if this last is indeed the case, then it suggests that the *Treatise* as a whole has been written so as to illustrate the public benefits of the very freedom of philosophizing proposed and illustrated by his argument. Only in a liberal democracy conforming to the conditions he describes could one routinely criticize laws publicly while still obeying them, and receive a stamp of approval precisely for stimulating public debate in a philosophical manner, as he has meant to do.

Arguably, the possible errors that Spinoza is hoping to have avoided (but is evidently not sure) do not refer simply to the theological or political indiscretions to which his freedom of philosophizing may be prone and which would accordingly be subject to moral or legal sanctions. They may also refer to possible technical errors in his proffered advice in the argument of the *Treatise*, which would then need to be corrected by means of improved methods of solving the theological or political worries that his errors will then have left unsolved. If so, Spinoza seems to be freely admitting his own fallibility. To understand why he might choose to do so here, we must keep in mind what we have already seen to be the infinitely revisable character of his calculations in formulating the “certain counsel” that the Preface itself has been offering, in effect, since its opening sentence. To the extent to which Spinoza will nevertheless have persuaded his readers that his argument, all told, addresses their worries better than the prevailing theologies and even better than the biblical text itself when looked at apart from his method, those readers will have made progress in forsaking superstition and embracing instead the philosophical alternative he has been making available for them all along.

Spinoza’s Alienation from Religious Orthodoxy

Closely connected with the difficulty just discussed—concerning Spinoza’s intended addressee—is the second difficulty left over from our initial attempts to fathom his personal self-disclosures in the *Treatise*. It concerns the reasons for his deliberate alienation from both Judaism and Christianity. Consider the following further observation. Spinoza’s term “Philosopher reader” is not merely a well-chosen description of the reader of the *Treatise* as such. Ironically, given the claim we have already seen him make in the Preface to the effect that post-biblical theology has been corrupted by the intrusion of Platonic-Aristotelian philosophy, the term also fits any and all pious readers of the Bible who stand under the influence of that theology. Such readers are, without being fully aware of it, in the habit of reading the Bible so as to confirm this or that philosophical, or philosophically derived, teaching. Accordingly, they fail to absorb the biblical teaching in its pure form. Chapters 1-15 of the *Treatise* are, among other things, Spinoza’s elaborate attempt to disabuse its readers of the habit in question. For that reason, as I have already suggested, those same fifteen Chapters, rather than any set of sources external to the *Treatise*, provide the most promising vantage point for viewing Spinoza’s self-imposed or self-maintained distance from his pious contemporaries.

Keeping in mind Spinoza’s claim to orderliness in the *Treatise*, let us try to survey

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that distance as found in the theological argument of Chapters 1-15 itself. Suppose we were to start by looking for distance markers that any theologically alert reader might notice. We could simply pick out a plausible sequence of Spinoza's own, readily understood statements on theologically related topics, while temporarily disregarding the *Treatise's* further reasoning in support of those statements. The resulting sequence would resemble a series of aphorisms—like those of Spinoza's philosophical predecessor Francis Bacon, for example, or, for that matter, such successors as Lessing, Marx, and Nietzsche. One such excerpt per Chapter would do for the moment, given that Spinoza's claim to orderliness extends not just to the sequence of Chapters as a whole but also to each Chapter in turn. Our task would then be to comment on how each excerpt fits both within the orderly argument of its own Chapter and within the orderly sequence of Chapters.

What follow, then, are Spinoza's theological "aphorisms" (as we shall call them), together with our commentary on each one. Since Spinoza offers his *Treatise* as a philosophical answer to the question of how to avail ourselves of certain or worry-free advice, our comments will take their bearings by that question too. We shall look to see how Spinoza's "aphorisms" point to the pattern of argument illustrated in advance by the Preface, which has provided a set of examples of increasingly certain—though on reflection revisable—proposals for resolving various theologico-political worries (or, to speak more philosophically, questions) that have come up in religious and political life. If Spinoza's argument in the body of his *Treatise* runs true to form, then we may expect to see a similar pattern in each of the Chapters under consideration: confrontation with a worry (or question), followed by a proposal for rethinking that worry (or question) so as to reduce it to a manageable certainty, followed by a review leading to a new confrontation with any leftover worries (or questions).

Because Chapter, or step, 1 anticipates where Spinoza is going in all the remaining Chapters, we will examine the pertinent features of its argument in somewhat more detail than the others'.

Step 1: Prophecy or Revelation as Non-Science

. . . it is to be noted first and foremost that the Jews never make mention of intermediate or particular causes, and do not care about them; but because of religion and piety, or (as the vulgar are used to saying) devotion, they always have recourse to God. For if, for example, they have made money in business, they say it has been bestowed on them by God; if they long for anything to happen, they say God has disposed their heart; and if they even think something, they say God has said it to them. [1.5.5]

Spinoza intervenes in his own argument in Chapter 1, to advise against speaking about the Bible except on the basis of the clear evidence of the biblical text (1.5.4). He qualifies his advice with an observation—what we have just cited as our first "aphorism"—about the Jews' unscientific manner of speaking. In the immediate context, Spinoza seems to be referring to Jews as writers of the Bible, though in the

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larger context he may also be referring to Jews (and Christians) as readers of the Bible. Biblical writers, he observes, are indifferent to causes in the scientific sense of the term. They often fail to narrate the “intermediate or particular” details necessary for explaining the matters found in the biblical narratives. For example, they speak of business profits as gifts from God, of human longings as God’s disposing the human heart, and of thoughts in the minds of human beings as something God tells them. Their way of explaining tends to fall short of the knowable particulars. Biblical writers are indiscriminate in ascribing to God anything and everything that happens to them. They are devout rather than scientific. As for the examples that Spinoza has just mentioned, his way of mentioning them reinforces the very point he is making. He does not mention any biblical texts in particular. He generalizes so as to require us to investigate or recollect the texts on our own, if we wish. His generalized examples, like the biblical texts he is criticizing, do not supply the full details to which they refer. They leave our desire to know unsatisfied. Spinoza’s loosely imitating the Bible’s manner of speaking here illustrates in the reader’s own idiom, and not just via the biblical Hebrew sprinkled liberally throughout his subsequent theological argument, how the Bible falls short of the sure knowledge pious readers may offhand be inclined to take it for.

Spinoza’s advice about how to read the Bible, or rather how not to read it, occurs at the transition between the Chapter 1’s introductory remarks, stating the plan and defining the terms of its argument, and the detailed execution of that argument (1.1.1-5.6, 6.1-21.5). The introductory remarks have to do with knowledge of God as provided by prophets and others in general. The details, etc., have to do with such knowledge as is found in the Bible in particular. What we have called our first “aphorism” points to a gap between knowledge of God and the biblical source—a gap that by Spinoza’s standards is fillable, if at all, only by the further considerations he brings forward in Chapter 1.

The introductory remarks begin with two definitions. Prophecy or revelation is defined as “the certain knowledge of some matter revealed by God to human beings” (1.1.1). A prophet, then, is “one who interprets the revealed things of God to those who are unable to have the certain knowledge of the matters revealed by God and so can only embrace the matters being revealed by mere faith” (1.1.2). Spinoza treats prophecy, the subject of his first definition, in Chapter 1; he postpones treating prophets, the subject of his second definition, till Chapter 2. Chapter 1 goes on to draw conclusions that are explicitly said to “follow” from the definition of prophecy (1.2.2). In this way, Chapter 1 resembles, say, Part I of the *Ethics*, where many propositions are likewise deduced from a few axioms and definitions stipulated up front. In the *Treatise* as in the *Ethics*, Spinoza argues “geometrically” or (to use the *Treatise*’s word) “mathematically,”⁴⁰ i.e., deductively. Nevertheless he makes a point of inserting the aforementioned advice against misreading the Bible into his deductive argument here. Such arguments, it seems, do not stand alone. We must therefore face the question of how the deductively ordered features of Chapter 1—or any

⁴⁰ 15 1.57, 58. In contrast, the Bible’s own teaching is not subject to “mathematical” demonstration; cf. 2.3.8, 5.1, 8 9, 14.1 53, 15.1.45, 56, 60 with 11.1.55.

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Chapter—combine with Spinoza's argument as a whole.

For a preliminary answer, let us compare the opening statement of the Preface, where Spinoza does not argue deductively so much as he sets forth the occasion for his having written the *Treatise*. "If human beings could regulate all their affairs with certain counsel," the Preface begins, "or if fortune were always favorable to them..." The Preface begins with a hypothetical statement containing a disjunction in its protasis, not a categorical statement containing a simple definition as in Chapter 1. The obvious similarity between the Preface's opening statement and Chapter 1's is their common subject-matter rather than their logical form or function. Both speak of certainty—the Preface of the desirability of certain advice, Chapter 1 of the revelation of certain knowledge. As for certain advice, the Preface as a whole implies that, as things stand, it remains something of an oxymoron. The one-sidedness and incompleteness of the various examples that occupy the Preface suggest that advice in religious and political matters has so far always been more or less randomly obtained and, to that extent, uncertain. Improved certainty therefore requires reflection on the conditions of its own possibility. In other words, it demands prior deliberation or "caution" with a view to arriving at a method for directing and regulating our minds so that we may act more efficiently and confidently. Still, the Preface also assumes that the practical success of any method cannot be known at the outset, given that superstition and the religious and political passions surrounding it are an ever present obstacle. Success in availing ourselves of more certain advice, then, can only come about by first seeing how far we might be able to circumvent that obstacle by channeling or redirecting those passions. Such is the theologico-political task of the *Treatise* as a whole. Not method per se, but cautious reflections on the possibility of method in the passion-driven circumstances of private and public life occasion Spinoza's initial departure from the theologico-political orthodoxy he inherits.

Differently stated, the *Treatise* is a thought-experiment to discover how to control the religious and political passions that stand in the way of "the freedom of philosophizing," so as to be able to supply philosophically or scientifically informed—i.e., more certain—advice. It is a freely chosen project, whose success is not known at the outset but is knowable only if and as it is undertaken. The geometry-like definitions that begin Chapter 1, together with the deductive inferences that follow from them, mark the beginning of Spinoza's self-conscious attempt to execute that project.

Accordingly, Chapter 1 divides into three main parts: a drawing of general conclusions from Spinoza's quasi-geometrical definition of prophecy or revelation; a confirmation of those conclusions by means of extensive evidence from the biblical text; and a review of those same conclusions, which are now said to be able to be affirmed "without misgiving," i.e., with certainty (1.1.1-5.6, 6.1-21.5, 22.1-24.8). Spinoza's review boils down to the single conclusion that so-called prophetic or revealed knowledge is essentially a product of the prophets' imaginations, that is, knowledge of a lower rank than science and so of a lesser certainty than scientific certainty. The stage is thereby set for Chapter 2, which treats the prophets' lower-rank certainty as such. Meanwhile Chapter 1 not only treats what the biblical prophets

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knew for certain, but does so in a manner that purports to be certain, i.e., orderly or methodical. It is or claims to be an orderly discussion of order—or at least of as much orderliness as may be discovered in the certainties claimed by the prophets. As Spinoza says repeatedly, biblical prophecies conspicuously lack orderliness: they are dreamlike, mysterious, hieroglyphic, etc. (1.8.5, 9.15, 13.1). What then lets Spinoza claim more orderliness in understanding the biblical prophecies than is apparent in them as they stand? The enhanced orderliness he seeks would not be possible unless it were somehow introduced or imposed by Spinoza himself.

Consider, in this regard, the three preliminary conclusions Spinoza deduces from his quasi-geometrical definition of prophecy or revelation as the certain knowledge revealed by God to human beings. First it follows, rather startlingly perhaps, that natural knowledge can also be called prophecy: both can be called “divine,” he says, since we know anything only to the extent that the knowledge is dictated to us by God’s nature insofar as we share in it “objectively” (i.e., as a concept in our minds) and by the laws that follow from God’s nature; prophetic knowledge differs only in extending beyond the limits of that knowledge as well. As if to reassure the reader that this first conclusion does not entirely abolish the distinction between prophecy and other forms of knowledge, Spinoza draws a second conclusion: namely, scientists—whom he calls the “propagators” of natural knowledge—are not prophets after all, since unlike prophets they are not the exclusive bearers of the knowledge they pass on to others, but what they know can also be known first-hand by others. Finally, Spinoza adds a third conclusion, which again seems to be as much about non-prophetic knowledge as about prophecy or revelation: namely, the “first cause” of divine revelation is not God per se but our own mind, since our ability to conceive “the idea and nature of God” and act in accordance with it is the basis of our being able to frame laws for both explaining nature and governing human life. Evidently there is more to Spinoza’s original definition of prophecy than first meets the eye. From a definition whose center of gravity is certain knowledge, Spinoza draws a series of conclusions which shifts that center from prophecy or revelation as such into the human mind. We seem headed toward the notion that, despite first appearances, prophecy or revelation is at its core a natural or human phenomenon, subject to explanation and possible governance accordingly. Such indeed is the overall drift of the *Treatise*’s theological argument. Spinoza seems to have chosen his original definition freely with that drift in mind.

Like the results of the quasi-geometrical argument of the first part of Chapter 1, so too the results of the empirical argument of its second part look, on reflection, to be premeditated. Spinoza surrounds his actual gathering of evidence by, on the one hand, his preliminary advice about how to read the Bible and, on the other hand, a retrospective summary of what has been made “transparent” by that evidence (1.5.1-6, 6.1-20-27, 21.1-5). His preliminary advice is—to recall our first “aphorism”—that we not attribute anything to the prophets except what they explicitly say. His retrospective summary is that the “phrases of Scripture” which attribute God’s Spirit to the prophets mean nothing more than that the prophets’ words and images were the by-product of an extremely pious imagination shaped by the psychological fact that

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they took the Mosaic laws very seriously. Eye-opening as the theological implications of this last conclusion may be, Spinoza claims no more than to have derived it from the textual evidence properly construed. At the same time, we seem to have moved even further from our original theological starting-point. That this latest move is continuous with the one begun earlier not only logically but also theologically (or rather anti-theologically) is suggested by a second look at Spinoza's transitional warning that introduces the textual evidence itself. Not everything the Bible says is to be taken as revealed knowledge, he has advised, but only what is explicitly said to be such or what follows from the details of the narrative as being such. This advice is based in turn on the aforementioned observation about the Jews' manner of speaking which was to be noted "first and foremost." As the basis of his advice against mistaking pious habits of speech for actual revelations, Spinoza's observation seems methodologically uncontroversial. Nevertheless his way of formulating it is strikingly imprecise: "the Jews never make mention of intermediate or particular causes..." Among the ambiguities that result are these: By "the Jews," does he mean the biblical speakers or narrators, or post-biblical Jews? By "never make mention," does he mean in the biblical text itself, as might be established with some precision, or in common speech, as seems ascertainable only anecdotally? Finally, by the putatively unmentioned "intermediate or particular causes," does he mean that the speakers are utterly unaware of such causes, or that they might be aware of them but only tacitly or implicitly? That these ambiguities are not resolved right away does not exclude the likelihood that Spinoza understands them fully for what they are and that, along with similarly expressed ambiguities, they permeate the logical and rhetorical fabric of the *Treatise*. Again and again in the *Treatise*, Spinoza has an ambiguous way of getting at something precise.

In its precise meaning, our first "aphorism" is equivalent to a shorthand description not just of pious habits of speech broadly understood, but of Spinoza's scientific approach to the biblical text strictly understood. It is a statement of methodological intent, as is indicated by the precise meaning of the term "phrases of Scripture" in Spinoza's retrospective summary (1.21.1). By "phrases of Scripture," he turns out to mean individual verses or passages containing simple narrative or imperative statements. These are the biblical sound-bites, as we have already characterized them, from which Spinoza's biblical criticism is constructed. Soon he will call them *sententiae*—the Latin term has a grammatical meaning, "sentences," as well as a theological or political meaning, "tenets." In the present Chapter, his citing of biblical statements falls into two main sets: first, statements containing revelations in the form of either plain words or figures of speech or both, where the revelations may be seen to be either true, in the sense of referring to something outside the prophet's imagination, or not (1.6.1-9.16, 10.1-11.1, 12.1-16.1); second, statements indicating the intra-biblical meaning of the expression "Spirit of God" (1.16.2-21.5). From the first set of statements Spinoza infers that, among the prophets, only Moses had true revelations. From the second set, which illustrate the various meanings-in-use for the expression "Spirit of God" via those for "Spirit" and "of God," Spinoza is able to corroborate his psychologizing conclusion about the purely imaginative character of prophecies

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other than Moses'. Still, we cannot help noticing in hindsight that this last conclusion seems to have been predetermined by, among other things, Spinoza's rather limited selection of evidence: by invoking the expression "Spirit of God," which the Hebrew Bible itself never applies to Moses, Spinoza elaborates the distinction between Moses' revelations and the other prophets' by what appears to be little more than an argument from silence. To see more clearly how Spinoza's narrowly focused way of citing biblical statements both conforms to the methodological procedures he imposes and furthers his larger theologico-political project, let us follow in some detail a thread of his argument which emerges in the course of his discussion of the first set of statements he cites, those said to contain revelations in plain words only (1.7.1-9.16).

The first statement cited is Exodus 25:22, where Moses hears God promise to meet him privately in front of the ark of the Tabernacle and tell him "the Laws he wanted to prescribe to the Hebrews" (1.7.1-3). Spinoza infers that the voice Moses heard must have been a true one, since Moses never failed to find God ready and waiting for him there whenever he wanted. Spinoza does not provide any further evidence for this last premise, though it may well rest on nothing more than a straightforward comparison with pertinent statements elsewhere in the biblical narratives. Still, he does not bother to cite those statements. A biblically literate reader might wonder why. A plausible answer is that, by limiting himself entirely to the immediate evidence and not complicating matters either textually or theologically, Spinoza offers this first inference as a simple model for the interpretation of all subsequently cited statements. If so, his purpose must be practical as much as theoretical. Spinoza presents his strictly exegetical argument here in the manner of the scientists to whom he has referred earlier, whose claims to knowledge, unlike the claims of prophets or theologians, can be thoroughly double-checked. In so doing, he invites his reader to see how far what looks like a potentially controversial theological claim can be replaced by a methodologically more certain one.

Spinoza's more than exegetical intent is also evident in the second statement he cites. At I Samuel 3:21, in a situation analogous to Moses', the young Samuel hears God speaking to him at the sanctuary in Shiloh (1.8.1-2). Spinoza elaborates the passage to show how the two situations prove dissimilar. He introduces his discussion by saying, "I would suspect that the voice by which God called Samuel was a true one." Yet he proceeds to argue that such suspicion is unwarranted for two reasons. First, he says, "we are compelled" to distinguish between Moses' prophecy and those of the other prophets. Spinoza's brief expression here leaves it open whether "we are compelled" on theological or merely textual grounds. Only after he has cited almost his entire list of passages showing that prophecies occur either in plain words or in figures of speech or both, does he conclude his list by citing Numbers 12:6-7 and Deuteronomy 34:10, the very passages he could easily have cited in the first place to distinguish Moses' prophecy, as the only "true" prophecy, from the others' (1.13.1-2). He even suggests that, had he cited either of these same passages before, all the others would have been unnecessary. Perhaps Spinoza is being remarkably careless here. On the other hand, perhaps his need to cite just those passages he does cite and in the order he cites them becomes clear from the warp and woof of his discussion of them.

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Such is indeed the case with Spinoza's second reason for not suspecting that Samuel heard a true voice. Namely, Samuel kept confusing God's voice with his priestly mentor Eli's, "which he was very used to hearing." As if to underscore Samuel's psychological confusion, but also to indicate an odd likeness between Samuel and himself, Spinoza adds that, "having been called three times by God, he suspected [*sic*] that he was being called by Eli" (1.8.3). Samuel and Spinoza are alike, it seems, in being susceptible to false suspicions—Spinoza falsely suspecting that the voice Samuel heard was real, Samuel falsely suspecting that it was Eli's. Spinoza skips the rest of the narrative, where Samuel is advised by Eli that the voice he heard must have been God's since it was not Eli's. From a strictly exegetical point of view, Spinoza's omission seems traceable to his tacit recognition that Eli's advice, though persuasive to Samuel, remains open to doubt in not taking into account that the voice Samuel heard resembled Eli's. Spinoza's claim that God's voice could only have come from Samuel's imagination is closer to the evidence, yet only if the evidence excludes the further possibility that God could have sounded like Eli had he wanted to—an exclusion Spinoza addresses only implicitly and only in a subsequent discussion of something else he "once suspected," in connection with the fourth and final biblical passage he cites concerning prophecies occurring in plain words (1.9.2). Meanwhile it looks as if the common thread that unifies Spinoza's entire discussion of this particular passage and links it with others he cites concerning revelations in plain words is the question of how to eliminate false suspicions, i.e., unnecessary worries or doubts. Spinoza's general answer, as we have already seen (and as Ch. 7 will spell out more precisely), involves reducing the biblical text so far as possible to statements about whose meaning it is no longer necessary to have suspicions, and then piecing together the larger meaning of the text from these so as to keep further suspicions at bay. Scrupulously adhering to this method would, by Spinoza's lights, reduce our need to suffer from theological and/or textual uncertainties. It would minimize our having to say "I would suspect..." At the same time, Spinoza seems to have reported his old suspicions on the supposition that we would not be convinced of the advantage of his method for minimizing suspicions unless we were first induced to have them.

The third statement Spinoza cites, Genesis 20:6 concerning God's speaking to the Canaanite king Abimelech in his dreams, is remarkable for the brevity of Spinoza's discussion of it, especially in contrast with the lengthy discussion that follows concerning the Decalogue in both its versions (Ex. 20:2-17, Dt. 5:6-21), which ends the list of passages Spinoza cites to show prophecies occurring in plain words, but which requires him at the same time to cite several more passages so as to dispel what he "once suspected" about the Decalogue itself (1.8.3-5, 9.1-15). Since the brevity of what Spinoza says about Genesis 20:6 serves to set off his lengthy discussion of the Decalogue, let us look at his old suspicion about the latter first.

What Spinoza once suspected about the Decalogue was the traditional Jewish opinion that God's voice as heard by the Israelites at Mount Sinai was not a true voice but only a wordless sound (the Hebrew word for "voice" also means "sound") and that the Israelites meanwhile perceived the laws of the Decalogue purely by means of the mind. Spinoza was inclined to favor this opinion since he saw that the words of the

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Decalogue in Exodus vary from those in Deuteronomy. Given that God only spoke once, Spinoza inferred that what the Decalogue means to say is not the words themselves but the “tenets” implied by the words—the *sententiae* in the theological rather than the grammatical sense. He tells us why he later changed his mind: “unless we want to impugn the force of Scripture,” he says somewhat ambiguously, “it is to be altogether granted that the Israelites heard a true voice.” (1.9.14) Spinoza’s ambiguity here turns on what is meant by “the force of Scripture.” At first glance, it could mean the literal sense of the biblical text, as is suggested by his proceeding to cite a parallel passage, “Face to face did God speak with you” (Dt. 4:5), which connotes something like two human beings speaking to each other by means of their bodies. But this line of interpretation simply raises the further question of whether, despite what Spinoza has asserted earlier about pious Jewish habits of speech and their apparent indifference to intermediate causes, the biblical author is nevertheless using the expression “face to face” metaphorically rather than literally. The metaphorical interpretation is defended above all by Maimonides (*Guide of the Perplexed* II.33, with I.37), whom Spinoza seems to be engaging in a behind-the-scenes fight. In a pattern we have already seen taking shape in the *Treatise*—of letting a philosophic issue first emerge as an ambiguity that later turns out to have been anticipated so that Spinoza can eventually resolve it on his own terms—Spinoza puts off resolving this issue, or even mentioning Maimonides’ name, until he has laid a firmer or more certain basis for doing so.⁴¹

All the same, a second glance at Spinoza’s expression “the force of Scripture” finds him already laying that basis, in the words that immediately follow about why he changed his mind: the Israelites’ hearing of a true voice, he now says, “is to be altogether granted.” In other words, the reason why Spinoza now believes that the voice of the Decalogue must have been a “truly created” voice is that the passage in question is written so as to have what it says be “altogether granted,” i.e., simply believed or unhesitatingly obeyed, even or especially by those of the meanest intellectual capacity, whom it would be unnecessary and even counterproductive to address with the sort of subtlety Maimonides and others attribute to the biblical text. This line of interpretation, to be sure, begs the further question of whether everyone present at Mount Sinai, or alternatively everyone addressed by the biblical author, fits Spinoza’s low-level description—a question he waits to take up in earnest till Chapter 2. Meanwhile only after promising to give in Chapter 8 of the *Treatise* a cause consistent with his overall approach for why the two wordings of the Decalogue differ (see 8.1.87-97), does he admit that his argument so far has not been adequate. The leftover difficulty, as Spinoza now states it, has to do with how a voice merely created by God could “express the essence or existence of God” to those who had no prior knowledge of God (a version of the same difficulty that surfaced momentarily during Spinoza’s earlier discussion of God’s voice as heard by Samuel). Spinoza’s theologically shocking

⁴¹ At 1.14.3-16.1, Spinoza contrasts Moses’ communicating with God “face to face” with Christ’s communicating “mind to mind,” though he is quick to warn that he is not speaking of “what some Churches state” about Christ, and confesses that he does not grasp it. Eventually he accounts for the differences between Moses’ and Christ’s theological teachings with reference to the strictly political circumstances occasioning them; see 19.1 10-22, with 2 10.6-9, 4.4.22-31, 5.1.13-14, 21-23, 3.1-11, 7.5 8-14, 11 1.2, 13-36, 12.2.28, and the argument of Ch. 19 as a whole.

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though methodologically consistent solution to this difficulty is, in short, that the Law of Moses does not teach the incorporeal, imageless essence of God as such but only enjoins obedience to God with the help of forceful anthropomorphic imagery. Although Spinoza makes an effort to blunt this shock by citing a number of further statements as prooftexts, only in Chapter 2 and following will he go on to defend his answer more fully by gradually developing the definition of the prophet first announced in Chapter 1. On the one hand, prophets thereby appeal rhetorically to the crude anthropomorphisms of the Israelites for strictly political purposes, to secure their obedience to the law. On the other hand, in so doing the prophets are also said to adumbrate the teaching that the divine law is engraved not in stone but on the heart, i.e., in the human mind—a teaching that, in Spinoza's hands, turns out to fit rather neatly with the account of purely natural knowledge he has touched on while first drawing out the implications of his definition of prophecy at the beginning of Chapter 1.⁴² All in all, we continue to be struck by how an argument that pays such close attention to theological nuances ends up being so blatantly untheological.

Returning to the third biblical passage Spinoza cites, Genesis 20:6, occurring as it does between his elaborate discussion of the passage concerning Samuel and his elaborate discussion of the Decalogue, we may say that Spinoza's very brevity in discussing it seems instructive. He says nothing, for example, about the occasion within the Genesis narrative for Abimelech's hearing God's voice in a dream—about the need to protect Abraham's wife whom Abimelech had taken into his harem on hearing from Abraham himself that she was his sister, or about Abraham's related worries about the moral abominations of the nations then living in the Promised Land, whose lands God had promised to his descendants on that account. Why then, of all passages that could have been cited, is Abimelech's on Spinoza's list? What if anything, besides fitting the formal definition of prophecy, does Abimelech have in common with Moses, Samuel, and again Moses? Perhaps the correct answer here is that all three were or became prophetic rulers; but beyond that, especially given Spinoza's silence, a better answer seems to be that they have little if anything in common. Differently stated, formally or "mathematically" the biblical passage Spinoza cites concerning Abimelech fits with those concerning Moses and Samuel, but substantively or empirically the fit seems forced; and if we may generalize from the viewpoint of Spinoza's new and more certain biblical hermeneutic, the same may be said about the Bible as a whole: its passages do not necessarily fit together smoothly; their orderliness is problematic. As part of his overall project, Spinoza looks to refit the biblical passages into a whole that is less problematic. He therefore starts by construing and reconfiguring those passages as isolated statements. In Spinoza's hands, the biblical books fall apart as books, so that it is left to him to put them back together somewhat mechanically by a design of his own free choosing.⁴³ The theological upshot of this procedure is that

⁴² Cf. 5.1 5-9, 12 1.3-6, with the argument of Ch. 4-5 of the *Treatise*.

⁴³ Here Spinoza's procedure stands in contrast to Maimonides' in the *Guide of the Perplexed*, which the *Theologico-Political Treatise* roughly parodies in biblical matters. Maimonides too acknowledges the possibly problematic fit among the component parts of the biblical text, given that prophecy is somehow bound up with the prophets' imaginations; but though Maimonides' approach like Spinoza's is often microscopic, his way of seeing the text's component parts cuts across Spinoza's. Instead of "sentences," Maimonides speaks, on the one hand, of

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insofar as what we have come to call biblical morality depends on the teaching of the biblical books as a whole (or at least as putative wholes) rather than on statements taken in isolation (cf. 14.2.3a), Spinoza's hermeneutical preliminaries preview the *Treatise's* redesigning of biblical morality as well—of which we have just caught a glimpse during what we may call his value-free treatment of Abimelech.

In the end, of course, there are limits to Spinoza's possible theological (or anti-theological) innovations. He cannot reorder the biblical canon as a corpus of books. He is too late—the canon is already complete. But he can, and does, reorder how we are to read (or perhaps misread) that canon. The freedom he takes in rereading it is another way of describing his freedom of philosophizing as it pervades his theological argument. We have already anticipated how, in Chapter 14, Spinoza will propose seven dogmas that are to serve as a civil religion to which devotees of all biblical sects can pledge allegiance in common. Methodologically, Spinoza's dogmas could only be arrived at from his first having reduced the biblical books to their constituent statements, afterwards gathered and reorganized according to subject-matter. In this respect, the seven dogmas are the most universal statements in the sense that they are the most frequently repeated (cf. 7.3.1, 5.1-7). What they share has little to do with their content except coincidentally. Their claim to higher authority is that they populate the biblical text most densely according to Spinoza's (or anyone's) numerical survey. Yet while slicing up the Bible into statements as Spinoza does may well reveal a number of patterns in its artificially exposed cross-section, it sacrifices the natural contours of the text as visible to any observant reader. After all, there is no evidence in the statements themselves that the principle guiding the composition of the text has to do with their being repeated numerically in proportion to their importance theologically. Spinoza's assumption to that effect is strictly *ad hoc*, i.e., freely chosen. If not quite true to the text as it presents itself, however, his advice that we ought to construe the text in that way is, by Spinoza's own lights, not just clever but useful and public-spirited. It lets the various biblical religions reach an otherwise elusive consensus based on the certainty of the mathematical. Competing sects can dispute the ultimate theological implications of Spinoza's top-seven statements, but not that those seven occur most frequently. Enlightened sectarians—the *Treatise's* "Philosopher" readers who are receptive to Spinoza's practical advice, even if not to his full philosophical argument—can then agree on where to agree and where to disagree about what the Bible says, assuming of course that Spinoza's numbers are accurate and that, all things considered, numbers ought to rule.

Spinoza's recalibrating of the biblical text may be described as a subtle feat of creative engineering. It is supported by the text in the sense of being tolerated by it rather than mandated by it. Yet to remind ourselves that his free-minded approach also has the serious aim of redirecting Bible study to suit the requirements of a public life undisturbed by theological polemics, we may wish to adapt a figure of speech

"terms occurring in the books of prophecy" which are problematic for their being ambiguous (equivocal, derivative, amphibolous) and, on the other hand, of prophetic parables that are problematic for their being either puzzling or invisible, i.e., either unintelligible at first reading or unnoticed at first reading (*Guide I*, Introduction [trans. Pines, 5f.]). Maimonides' approach is congenial with the parts' of the text being parts of a prior whole; Spinoza's is not.

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derived from his erstwhile mentor in matters of method, René Descartes.⁴⁴ Spinoza as biblical exegete is like an independent or rogue city-planner masquerading as a routine on-site inspector. Given the need to argue cautiously in a hostile theological environment, his *Treatise* is a rezoning, demolition and reconstruction program designed to look like a series of remedial spot-checks. Under what appear at first to be old-fashioned theological auspices, Spinoza's method works to construct a wall between two sectors. On the one side are the seven dogmas together with any or all narrative passages that serve to illustrate and clarify those dogmas, which may then function as a civil religion for the purpose of underwriting the moral behavior to which every decent citizen should subscribe in Spinoza's new dispensation. On the other side is the remainder of the biblical text, whose interpretation is to be left by law to everyone's private discretion so long as it is kept more or less private, i.e., voluntary. The "mathematical" definitions, inferences and formatting of evidence which Spinoza introduces in Chapter 1 supply the first draft of the structural-engineering blueprints for the theological component of his innovative project.

In sum, our first "aphorism" has to do with the difference between Spinoza's understanding of biblical prophecy and Jews' traditional self-understanding, i.e., with his downplaying the pious certainty evident in the latter's wholesale appeal to God as authorized or encouraged by the biblical books, in favor of the scientific certainty afforded by subjecting those books to a methodical redesign. The theological argument of the *Treatise* moves between these two kinds of certainty. Inasmuch as they are not the same, Spinoza's first step away from the religion of his contemporaries may be said to be his construing the certainty he attributes to biblical piety as a defective alternative to the certainty he attributes to scientific knowledge about biblical piety.

Step 2: Scientific Understanding vs. Active Imagination

Besides, so that God might reveal to Moses that, since the Israelites adored the calf, they became like the rest of the nations, he says in Exodus 33:2-3 that he will send an angel, that is, a being that will take care of the Israelites in the role of the supreme being, and that he is unwilling to be among them. For in this mode, he left Moses nothing by which it would be established for him that the Israelites were more favored by God than the other nations, whom God had also handed over into the care of other beings, or angels, as is established from verse 16 of the same chapter. [2.9.20]

Our second "aphorism" serves two functions in Spinoza's theological argument, according to whether it is to be taken retrospectively or prospectively. In the light of what Spinoza has already argued, it is part of a list of biblical statements designed to illustrate further how the prophets' certainty was based on their imagination. In the light of what Spinoza will argue in subsequent Chapters, it anticipates the fruits of the

⁴⁴ See Descartes' reference to buildings and cities, etc., "composed of several pieces and made by the hands of diverse masters," as opposed to those worked on by "one .. alone"; *Discours de la méthode*, Pt. II, ¶ 1 (Heffernan, 26f.-27f.).

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alternative, scientifically based certainty being promoted by the *Treatise* itself. Altogether, it contributes to refining the difference between the two kinds of certainty for Spinoza's reader, who has tended to confuse them.

Retrospectively, Spinoza's reference to God's promising Moses to send an angel to lead the Israelites in the aftermath of the golden calf incident (Ex. 32-34) shows that Moses' revelations did not differ from those of any other prophet in being accommodated to his own psychological temperament, peculiar imaginativeness and preconceived opinions. Chapter 2 begins by reiterating, as its axiomatic starting-point, that all prophets had active imaginations rather than powerful intellects (2.1.1). Spinoza infers that differences among revelations are to be traced entirely to the prophets' differing moods, fantasies and prejudices, and in no way to differing degrees of knowledge or wisdom. That the resulting certainties of the prophets had little to do with scientific knowledge, which is certain on its face, is said to follow from the fact that, for a prophet to be certain in cases where the revelation was novel or unprecedented, he needed alongside the vivid verbal and/or visual image a sign certifying what was being revealed to him—on the further supposition that God never deceived anyone whose spirit was “inclined solely to the equitable and the good” (2.4.5). A prophet's certainty was thus “moral,” not “mathematical”; it was based on his sincere conviction that the revelation was meant to foster his own and his hearers' unswerving devotion to the divinely revealed law, rather than on the inherent “necessity” or intelligibility of what was being revealed (cf. 2.5.1). Applying the foregoing premises to Moses in particular yields the result that God's revelations during the golden calf incident were a function of Moses' personal amalgam of commonplace opinions about God: that God is affected by such emotions as compassion, gentleness and jealousy (so that Moses could ask God to forgive the Israelites for their sin of idol-worship, Ex. 34:6-7); that God is visible, though not shaped like other visible things (so that Moses could ask to see God's face, Ex. 33:18); that God delegates the care of nations to others acting on his behalf (i.e., to human leaders here called “angels,” Ex. 33:2-3); and that God inhabits the heavens (so that Moses had to ascend a mountain to speak with God, Ex. 32:2-4).⁴⁵

Prospectively, what is revealed to Moses prophetically during the golden calf incident anticipates what Spinoza will argue theologically in the *Treatise*'s next Chapter. By telling Moses that as a result of the Israelites' sin he would delegate an angel to take care of them rather than doing so directly, God removed any basis for claiming that the Israelites were more favored by God than other nations, who, Spinoza says, were also put in the care of angels.⁴⁶ Nevertheless in his revealed Law, as we shall see, Moses did not abandon the claim to most-favored nation status for the Israelites. The reason, so far as Spinoza lets us glimpse it here, had to do with their incapacity, as

⁴⁵ From Ch. 2's “geometrical” premises (2.1.1-5.8), its empirical argument goes on to demonstrate variations among prophets with respect to each's bodily temperament (2.6.1-5), eloquence or imaginative power (2.6.6-7.11) and prior opinions or prejudices (2.7.12-9.34), so as to establish the conclusion that prophetic revelations were simply accommodated to the prophets' pathology and did not presume or provide any scientific sophistication (2.10.1-10). The discussion of Moses (2.9.8-26), which occurs at or near the center of a list of prophets and others (Solomon and Paul) whose various prejudices are exposed as unscientific, is Ch. 2's most extensive.

⁴⁶ See also 3.5.37-43 on Ex. 33:16, discussed in connection with our third “aphorism,” below.

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“human beings accustomed to the superstitions of the Egyptians, crude, and done in by a most miserable slavery” (2.9.23), to arrive at any sound understanding of God. Moses could only teach them a “mode of living.” Being unable to do so by appealing to their reason, which they were unused to exercising freely, he had to compel them by laws. At the same time, he needed to motivate them to obey the laws by encouraging them to be grateful to God for freeing them from Egyptian slavery, by terrifying them with punishments for disobedience, and by promising them rewards for obedience. In short, Moses was forced to treat the Israelites like children “who lack all reason” (2.9.25; cf. 16.6.13-14). Spinoza concludes from these circumstances that it is “certain” that the Israelites did not know “virtue and true blessedness” (2.9.26).

The certainty of Spinoza’s conclusion here depends on his maintaining the distinction reiterated at the outset of Chapter 2, between understanding and imagination. This distinction, the result of his distinguishing between first- and second-hand certainty in Chapter 1, we may therefore characterize as step two of Spinoza’s removing himself from the piety of his contemporaries. Even so, in his remarks on the golden calf incident, he leaves afterthoughts about how far that distinction lets us understand Moses’ lawgiving activity, which owed something to Moses’ understanding as well as to his imagination (cf. 1.13.1-2). These afterthoughts are taken up in Chapter 3.

Step 3: Jews’ Chosenness as Political Survival

Therefore, when Scripture says, to exhort the Hebrews to obedience to law, that God has chosen them for himself in preference to the other nations (see Dt. 10:15), that he is close to them and not so close to others (Dt. 4:4, 7), that he has prescribed just laws only for them (4:8), and, finally, that he has become known to them alone, to the neglect of the others (see 4:32), etc., it is only speaking to suit the grasp of those who—as we have shown in an earlier Chapter and as Moses attests as well (see Dt. 9:6-7)—did not know true blessedness. For surely they would not have been less blessed if God had called everyone to salvation equally. And God would have been no less propitious to them even though he had been equally propitious to the others, nor the laws less just, or they themselves less wise, even if they had been prescribed to everyone; nor would miracles have shown God’s power less if they had been made for the other nations as well. Nor, finally, would the Hebrews be less bound to worship God if God had bestowed all these gifts equally on everyone. [3.1.4]

Chapter 3 shifts the reader’s perspective on Moses’ lawgiving from its theological features to its political effects. The full extent of these effects is disguised by the theological language of the Bible itself. According to our third “aphorism,” all theological statements suggesting that God shows a preference for the Israelites—by choosing them for himself, by being closer to them than to other nations, by prescribing just laws only for them, and by neglecting other nations so as to favor them alone—must be offset by the fact that Scripture only speaks to suit the Israelites’ grasp. That their

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grasp was inadequate for understanding the true meaning of these blessings follows from what Spinoza has already shown in Chapter 2 and what Moses is now said to attest to as well, namely, the Israelites' ignorance of true blessedness on account of their lingering slave-mentality. Spinoza adds that the Israelites would have been no less blessed if God had promised to spread the same blessings equally among all nations. By going on to show that the Bible's statements about the exclusiveness of the Israelites' chosenness are no more than politically useful exaggerations, Spinoza indicates the need for new, more precise terms for ascertaining the true character of Israel's chosenness. The stipulations and definitions which open Chapter 3 are geared to supplying that need (3.1.1-5.1). The remainder of Spinoza's discussion is a rereading of the Bible's rhetorical excesses in the light of its political effectiveness in employing those excesses (3.5.2-69).

To see what is involved in Spinoza's rereading, let us look at his interpretation of Exodus 33:16 (3.5.37-43), to which he has already called attention in Chapter 2:

For by what reality will it be recognized that I and your populace have discovered grace in your eyes? Certainly when you go with us, and we shall be separated, I and your populace, from every populace that is on the surface of the earth. [3.5.38]

The Pharisees, Spinoza says polemically, cite this statement as their main proof-text for the mistaken conviction that prophecy is exclusive to Israel. They interpret it to mean that Moses was asking God to be present to the Israelites and reveal himself prophetically to them alone. Spinoza ridicules their interpretation for implying that Moses was envying God's presence to the other nations. According to Spinoza, Moses' repeated request at Exodus 34:9 indicates instead that he was worried only about the Israelites' stubbornness, which would prevent the completing of measures already undertaken for their self-preservation. God's reply at 34:10, promising to make unprecedented miracles on the Israelites' behalf, is said to confirm that the sole purpose of the miracles was to overcome that stubbornness by establishing that their self-preservation efforts had divine support. The issue between the Pharisees and Spinoza, then, is whether Moses wanted to be certain of God's ongoing presence in accord with the Pharisees' view that the Israelites were the sole repository of God-given laws and of knowledge of God, or whether he only wanted to be certain that God would provide miracles and the like as necessary for the Israelites' ongoing self-preservation.

Spinoza's disagreement with the Pharisees here is not merely exegetical. Consider his somewhat unliteral rendering of הלא (*ha-lo*), the first Hebrew word of Exodus 33:16b, as *Certainly*. The Hebrew word normally introduces a question:

... Is it not [הלא] when you go with us and we shall be separated, I and your populace, from every populace that is on the surface of the earth?

Why, we may ask, does Spinoza suppress the grammatical and rhetorical fact that the

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second half of the verse is, like the first half, a question?⁴⁷ Before answering, let us look at the term “certainty” and its cognates as they have occurred thus far in the *Treatise*, that is to say, till almost two-thirds through Chapter 3, where the translation I have quoted is found. There are some forty prior instances. We have already seen the expressions “certain counsel” in the Preface’s opening sentence and “certain knowledge” in Chapter 1’s opening definitions of prophecy and prophets (2.1.1-2). What is striking about these instances and others is the difficulty of establishing their precise meaning at first reading. It is hard to tell offhand whether the term is meant in a strict sense (“sure-fire”? “iron-clad”? “crystal-clear”? “reliable”?) or in a more casual sense (“some”? “sort of”? “putative”? “given”?). Occasionally we do find instances that seem to carry only the more casual meaning, as when Spinoza denies that God’s revealed word consists in “a certain number of books” (P.5.10), or when he glosses Deuteronomy 10:14-15 to the effect that God “chose the Hebrew nation and a certain area of land for himself” (2.9.12). Nevertheless other instances force us to think twice about whether Spinoza’s meaning in the aforementioned instances, and *a fortiori* in the rest, is simply casual. In one set of later instances, Spinoza includes among the “certain means” for security and self-preservation “forming a society with certain laws” and “occupying a certain area of the world” (3.4.5): the combined references to laws and territory, etc., indicate a not-so-casual concern with political security and territorial boundaries. And in another later instance, in connection with philological matters (i.e., with establishing Spinoza’s alternative to the aforementioned view that revelation consists of “a certain number of books”), he says that it is “certain” (i.e., confirmed or corroborated) that Balaam is a prophet equal in rank to the Israelite prophets inasmuch as the biblical Joshua speaks of him as a diviner or augur (3.5.36). From all these various instances, we are led to suppose either that Spinoza uses the term in question rather carelessly—despite the preponderance of evidence in the *Treatise* that he is not a careless writer—or else that the foregoing ambiguities are intended. Let us look again at Spinoza’s rendering of Exodus 33:16 in light of the latter possibility.

In the original Hebrew, verse 16 contains two questions, which we may paraphrase as “How might Moses and the Israelites know that they have found divine grace?” and “Is it not by God’s both staying with them and separating them from all other peoples?” Possibly the biblical writer means that the second question, once its interrogative character is removed, constitutes a certain or reliable answer to the first question. But then again, just because the Hebrew has the second question as a question, he may instead mean that, considered as an answer, it remains somewhat questionable. Consider that, even if the substance of the second question were true as far as it goes, might not divine grace still require more than God’s otherwise unspecified presence to the Israelites as a separate people; at a minimum, might not God’s presence and the Israelites’ separateness be a shorthand formulation for what is required—which would include the Israelites’ wholehearted obedience and persistent

⁴⁷ Spinoza suppresses the interrogative meaning of אלהים on three other occasions in the *Treatise*. At 12.2.11, as in the present instance, his translation of Jer. 8:8 renders the term as “certainly.” At 13.1.27, his translation of Jer. 22:15-16, which contains two instances of the term, seemingly overlooks them both.

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loyalty to the manifold details of the Law? Downsizing Exodus 33:16's second question into a simple declaration, as Spinoza does, suppresses the possibility of biblical understatement. What then does Spinoza mean by putting the word "Certainly" in Moses' mouth? If the resulting meaning is only casual, then it seems to certify that, as a matter of course, God's presence, however understood, and the Israelites' separateness from other peoples are necessary and sufficient conditions for divine grace. Yet given that Moses' certainty has been superimposed in the first place and so shares in the ambiguity indicated by other instances of that term, Spinoza may be deliberately giving his biblically literate reader pause to consider whether it has a stricter meaning as well—and if so, how that meaning would fit the present context.

That Spinoza himself is not entirely oblivious to this last consideration is evident from the gloss he attaches to his translation:

...after Moses noted that the mental cast and spirit of his nation were stubborn, he clearly saw that, without very great miracles and God's special external help, they could not complete the matters that had been begun. Indeed, they would necessarily have perished without such help. And so he sought this special external help of God, so as to establish that God wanted them to be preserved. [3.5.40]

Accordingly, Moses' second question—construed in the strict sense as the clear and certain answer to his first question—becomes a way to "establish" on his own an effective antidote to his people's persistent worries that they might not survive en route through the desert to their ancestral homeland, by promising them instead God's "special external help" and miracles, i.e., as Spinoza has explained these terms earlier in Chapter 3, natural occurrences whose causes lay outside the Israelites' purview and which would work in favor of their ongoing self-preservation (cf. 3.3.4-5, 4.6). In Spinoza's rendering, then, Moses' announced certainty, strictly understood, comes down to his reliance on the effectiveness of his own rhetoric—or, to speak crudely in today's language, successful spin—for persuading the Israelites in so many words that environmental conditions were favorable for them to sustain themselves throughout their desert wanderings and beyond. Once again, it seems, the flow of Spinoza's argument has all but eroded the distinctively theological element of the biblical text, this time by draining it into Moses' political rhetoric.

As in the two previous Chapters, Spinoza builds toward this conclusion by supplying its premises in Chapter 3's introductory apparatus (3.1.1-5.1). Chapter 3 as a whole aims at two overall conclusions, both of which inform his exegesis of Exodus 33:16: that the "calling" or chosenness of the Jews is coeval with their political independence (3.2.1-5.19, 51-69) and that prophecy per se is not particularly Jewish (3.5.20-50). The introductory apparatus includes five definitions, or redefinitions, of theological terms (3.3.1-7) and a threefold division of human psychological motives (3.4.1-6). Together, the five definitions and the threefold psychology structure the Chapter's biblical evidence—including Exodus 33:16—in support of the Chapter's twin conclusions.

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The five definitions make theological matters interchangeable with laws of nature, seen as God's decrees. The laws of nature themselves, which are said to order and determine everything, are called "God's direction." When those laws produce environmental conditions that foster human survival, they are "God's external help"; when those same laws move humans to make survival efforts on their own, they are "God's internal help." If the resulting efforts are successful, the laws of nature cooperating in that success are "God's choosing." Finally, when the laws of nature cooperate unexpectedly in survival efforts whether these are successful or not, the same laws of nature are "fortune." Notably absent from this list of definitions is the term "miracle," despite its imminent role in Spinoza's discussion of Exodus 33:16. Spinoza waits until discussing humans' threefold motivational psychology before giving something approximating a definition, which if spelled out would prove perfectly compatible with the five theological definitions just given. Miracles, we soon gather, are unexpected natural events successfully preserving human beings whose preservation has been in great danger (3.4.6). In other words, miracles are simply great and unexpected good fortune when one's future seemed hopeless. Spinoza puts off a full-fledged discussion of miracles till Chapter 6. Chapter 3's definitions have the more limited purpose of making possible the discovery of the "proximate and efficient causes" by which to pinpoint "what it was on account of which the Hebrew nation was said to have been chosen by God in preference to the rest" (3.3.8, with 3.4.2). These causes point in turn to the effectiveness of Moses' political rhetoric, in the manner we have already seen.

Spinoza's threefold motivational psychology meanwhile leads to the conclusion that chosenness in general is no more than a theological way of describing how fortune cooperates in what human beings successfully choose for themselves. Human longings, the ultimate goals of human choice, boil down to three: knowledge, virtue, and self-preservation (3.4.1). The first two, knowledge and virtue, remain wholly within the power of each of us. The third, self-preservation, depends in part on causes outside our power. Yet here too our own efforts can help considerably. Some means for preserving ourselves are more certain of success than others. The most certain means include forming a society with "certain" laws, occupying a "certain" area of the world, and merging our own efforts with those of other individuals so as to form a unified society (3.4.3). Certainty of success here is proportional to the effectiveness of "human direction," i.e., methodical or orderly decision-making, as well as vigilance (3.4.5). To the extent that these factors are present, they displace our need to rely on fortune, though admittedly they are never fully present. Still, human beings do succeed in forming stable societies, at least for a while, despite imperfect human direction and vigilance. Such success characterized the Israelites as well. They were "chosen" not in respect of their knowledge or their virtue (inasmuch as these characterize individuals only) but "by reason of their society, and fortune, by which they acquired an imperium and kept that same one for so many years" (3.5.1). The Israelites' chosenness thus consisted in their political longevity, the result of a successful combination of God's internal help, in the form of the laws of Moses, and God's external help or good fortune, including of course "miracles." Since comparable combinations of

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God's internal and external help were also achieved by other nations for as long as their societies lasted, however, chosenness as such is not particularly Jewish, any more than prophecy is.

Chapter 3 concludes with Spinoza's answering the counterclaim of the Pharisees, who cite biblical statements (e.g., Jer. 31:36, Ezek. 20:32) to show that God's choosing the Jews was forever and not necessarily tied to their political autonomy (3.5.51-69). In reply, Spinoza cites other biblical statements to show that immorality would destroy the Israelites just as it did the Canaanites and others (Lev. 18:27-28, Dt. 8:19-20), that only the pious or truly virtuous would survive such destruction (Ezek. 20:38, Zeph. 3:12-13), and that these same conditions presumably hold for all nations (Zeph. 3:10-11). He adds that prophetic statements about reinstituting the ancient sacrifices and other ceremonies, along with rebuilding the Temple and the city of Jerusalem, were only rhetorical references to the temporal (and of course temporary) restoration of their imperium⁴⁸ and Temple worship under Cyrus. Jews' survival since biblical times without an imperium of their own is to be explained instead not by the eternity of their covenant with God but by other nations' ongoing hatred of them as a result of their deliberately separating themselves by maintaining distinctive religious ceremonies. That the Jews' self-imposed separateness is the cause of the hatred, Spinoza shows empirically by the difference between the recent Spanish and Portuguese policies concerning Marranos (forced converts). Whereas in Spain all who converted rather than emigrate were immediately accorded the full political privileges of Christians, in Portugal the converts afterward kept to themselves and so were not accorded those privileges. At the same time, that Jews' separateness is self-imposed suggests to Spinoza that their slide to sub-political status could some day be reversed by their own efforts, given the changeableness of human affairs, so long as the distinctive practices to which they meanwhile cling did not soften their political will:

... indeed, I would absolutely believe that, unless the foundations of their religion were to make their spirits effeminate, they will someday, given the occasion—as human affairs are changeable—erect their imperium once more, and God will choose them anew. [3.5.67]

Spinoza's suggestion anticipates the program of modern political Zionism, which starts from his premise that Jews' well-being rests at bottom on strictly political initiatives rather than on divine ones.

Reducing chosenness to political longevity, and prophecy or revelation to rhetoric in service to that longevity, constitutes Spinoza's third step away from Jewish (and Christian) orthodoxy. Not quite fully articulated in that step, however, is his recourse to laws of nature, by whatever name, as the framework for understanding biblical matters and redefining theological terms. Moses' political rhetoric at Exodus 33:16 as Spinoza presents it, for example, would be inconceivable without imputing to him an implicit supposition that there are purely natural factors—nature's law-abiding regularities—permitting the Israelites to preserve themselves during their desert

⁴⁸ For the *Treatise's* own understanding of this term, see Ch. 16 and our comments on it, below.

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wanderings and beyond. In Chapter 4, Spinoza faces the resulting question of how, despite its unscientific way of presenting things, the Bible may be said to be aware of laws of nature.

Step 4: Traditional vs. Natural Divine Law

...since the noun “law” seems applied to natural things by transference, and commonly nothing else is understood by law than a command that human beings can either fulfill or neglect—inasmuch as a law confines human power within certain boundaries beyond which that power extends, and does not command anything above the strength of that power—therefore it seems that Law is to be defined more particularly: namely, it is a plan of living which a human being prescribes for himself or others in view of some end. [4.2.1]

A quick overview of Chapter 4 is as follows. Spinoza begins with two separate definitions of “law,” corresponding to the difference between scientific and political law (4.1.1-2.2). He goes on to treat an analogous ambiguity in the term “divine law” (4.2.3-4.11). Eventually he arrives at two theologically unorthodox conclusions. First, God does not prescribe laws as a human lawgiver, i.e., purposively (4.4.14-31). Second, the Bible in its own way endorses philosophy or science (4.4.32-50).

Spinoza’s argument in Chapter 4, as elsewhere, is studded with theological and other ambiguities. It differs from other Chapters in calling attention to those ambiguities explicitly rather than implicitly, by providing two separate definitions of law at its outset. On the one hand, law is that according to which individuals act “by one and the same certain and determinate plan” (4.1.1). Laws, so defined, are behavioral regularities expressed by a formula. Such laws fit, indifferently, inert bodies (as in the law stipulating the constant quantity of their motion during collisions), human minds (as in the psychological law of association) and political communities (including even the apparently free decision by human beings to submit to lawgivers in the first place, presumably since the fact that humans regularly make such decisions is enough to warrant our calling their doing so a law) (4.1.2-8). On the other hand, as our fourth “aphorism” says, from the viewpoint of political life itself the term “seems applied to natural things by transference” (4.2.1). Law in this second or political sense is synonymous with the purposive commands of lawgivers. More exactly, it is “a plan of living which human beings prescribe to themselves or others in view of some end” (4.2.1, 3). Evidently political laws are prior in time to scientific laws. Spinoza implies that philosophers or scientists arrive at scientific laws by supposing that nature is like a perfectly ordered political community, except that its ordering principles are not identical with commands strictly speaking, since these may not always be followed, but instead with the mechanical regularities found jointly in the spontaneous generating of commands by lawgivers and the unhesitant obeying of them by subjects—and by extension and analogy in an exhaustive web of regularities pervading intra-human and extra-human behavior as well. Yet while spelling out and schematizing in the macrocosm of nature the putative orderliness first found writ small within the microcosm

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of political life, scientific laws lose something in translation. They shed their subservience to the purposiveness with which political laws as such are earmarked by their original lawgiver.

Even so, the shift from political to scientific laws seems less smooth or more abrupt than Spinoza's all-too-brief account suggests. If Spinoza is correct about the genesis of scientific laws, we may ask, does the scientist's stripping them of purposiveness and reducing them to mechanical regularities follow from the brute exigencies of nature itself, or rather from the deliberate narrowing of focus required for looking in minute detail to see how far such regularities might extend beyond the horizon of political life? At issue here is whether all laws when fully or cosmologically understood are in the end like political laws in exhibiting some purpose conceived by a lawgiver beforehand (as the biblical account, for one, suggests) or whether all laws are like the laws of inert bodies, behavioral uniformities apart from any predetermined purpose (as Spinoza here maintains) or, finally, whether this question remains humanly unresolvable. A sign that the question is not quite resolved in Chapter 4, at least, is that his two accounts of law do not quite mesh. Among other things, why it is still necessary to call scientific laws "laws" in the absence of a purposive lawgiver is never exactly explained. Spinoza does not let this unsettling perplexity rise fully to the surface of the Chapter, however. He blurs it rhetorically and acknowledges it only indirectly. In this regard, we are once again reminded that the *Treatise* is less a quasi-geometrical system than a theologico-political project. Offsetting its biblically sophisticated reader's naive inclination to associate laws exclusively with purposive lawgivers, and redirecting him to look as far as possible for impersonal certainties, is another way of describing both the *Treatise*'s stated intention and its self-imposed limitation.

Correspondingly, the ambiguity of "divine law" also receives short shrift (4.2.4, 3.6-7, 4.10). From a traditional point of view, the divine law is identical with the law of Moses (4.4.5). From a scientific point of view, however, it is said to be the plan of living that our own mind, to the extent that we can conceive the idea of God clearly and distinctly, prescribes to us for the purpose of coming to know and benefit from the all-pervasive laws of nature, understood as God's decrees. In other words, it is the self-prescribed way of life of human beings whose freely chosen priority is philosophy or science rather than religious piety. Spinoza calls the divine law in this second sense the "natural divine law." The natural divine law thus differs from the traditional divine law in four respects: its way of life is open to all human beings; it does not require believing in any "histories" (or "stories"); it does not require performing any ceremonies; and following it is its own reward, inasmuch as knowing God, or (what is the same thing here) loving him freely and fully and steadfastly as the intelligible source of everything else, is inherently satisfying. Spinoza's confining his brief remarks about the natural divine law to the introductory apparatus of Chapter 4 suggests that he is less concerned with expounding it in full than with invoking it as a premise for answering four interrelated questions: Does God prescribe laws as a human lawgiver does (i.e., purposively)? What does the Bible teach about the natural divine law? Why does the Bible institute ceremonies? What is the point of the biblical

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histories (or stories)? Spinoza devotes the remainder of Chapter 4 to answering the first two questions, and Chapter 5 to answering the last two.

To his first question, about God as lawgiver, Spinoza answers that God prescribes laws but not purposively. His answer consists of a deductive argument based on the strict identification of God's understanding with God's will, from which it follows that God does not deliberate but simply decrees laws of nature impersonally or, as we might say, automatically (4.4.14-17). This answer is then corroborated by interpreting several biblical statements that appear to be saying the opposite (4.4.18-31). To his second question, about the natural divine law, Spinoza answers that the Bible commends it. This answer consists mainly of citing and interpreting several pertinent biblical statements. We limit ourselves here to showing the remarkable freedom Spinoza takes in interpreting those statements, by looking in particular at his citations from Proverbs, his central source for corroborating his second answer.

Here is Spinoza's interpolated gloss on Solomon's words at Proverbs 3:13, 16-17:

...Blessèd is the human being who has found science [חכמה], and the son of a human being who has extracted understanding [תבונה]. The reason is—as vss. 16-17 go on to say—that It gives length of days directly, riches and honor indirectly. Its ways—which, no doubt, science indicates—are charming, and all its paths are peace. [4.4.38b]

Spinoza glosses these verses as testimony to the benefits of science, which is said to enable human beings to acquire, among other things, not only understanding but also tranquility of spirit (cf. 4.4.38a). His gloss owes much to what we may call his freedom of philosophizing as translator. His rendering into Latin of חכמה (*chokhmah*), ordinarily “wisdom,” as “science,” is a bit startling, though not entirely unanticipated. In Chapter 1, Spinoza has already translated *chokhmah* in Deuteronomy 4:6 as “science” (1.20.15) and, alluding to I Kings 5:9-14, has referred to Solomon's *chokhmah* as “natural science” (1.18.6). In neither instance has he quoted the Hebrew, however. In the present instance, he surrounds his gloss on Proverbs 3:13, 16-17 with renderings of 16:22 and 13:14 on the one hand and 2:3, 5, 9-10 on the other (see 4.4.36-37, 40, 43). Each of these verses contains either or both of the aforementioned terms, and/or some other term or terms akin in subject-matter, all of which Spinoza translates rather promiscuously. For instance, whereas in 13:13 and again in 2:10 “science” is *chokhmah*, in 2:5 it is meanwhile (and more literally) דעת (*da'at*), the usual Hebrew word for “knowledge.” In 2:10, however, *da'at* is “wisdom,” the literal translation of *chokhmah*; and a third term, תבונה (*tevunah*), which the biblical author sets in parallel with *chokhmah* and *da'at*, becomes “prudence.” In 2:5, *tevunah* is also “prudence,” albeit just after it has shown up in both 3:13 and 2:3 as “understanding.” Finally, in 16:22 the adjective חכם (*chakham*), literally “wise,” makes a single prior appearance as “prudent.” Evidently Spinoza goes out of his way to mix the meanings of these several terms. If there is any warrant for his doing so, it would seem connected with his characterization of Solomon, the putative author of Proverbs. When first introducing the foregoing list of citations, Spinoza calls attention to the Bible's commending

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Solomon's "prudence and wisdom" rather than his "prophecy and piety," and comments on Solomon's popularity as author by saying that the Israelites embraced his "tenets" (or "sentences") as religiously as they did the prophets' (4.4.34).

Spinoza's prior treatment of Solomon in the *Treatise* requires some sorting out. Having conflated Solomon's wisdom in Chapter 1 with natural science (1.18.6), Spinoza goes on in Chapter 2 to sever it from prophecy altogether (2.1.2). He soon finds occasion to deny that Solomon was a mathematician, however, since according to I Kings 7:23 he thought of the ratio of the circumference to the diameter of a circle naively as three-to-one (2.8.9-11). Subsequently Spinoza observes that, although or because Solomon surpassed all his contemporaries in reason, he considered himself above the Law, indulged in pleasures in a manner inappropriate for a philosopher, and taught that all goods of fortune were vain but that human beings' greatest good was understanding and their greatest punishment foolishness (2.9.28). In Chapter 3, Spinoza argues that God's saying to Solomon that no one in the future would be wiser was "only a mode of speaking for signifying extreme wisdom," not an indication that God would never bestow such wisdom on anyone else (3.1.5). Finally, earlier in Chapter 4 Spinoza endorses a statement of Solomon's in support of the common definition of justice as "the steadfast and perpetual will to give each his right" (4.2.2). Viewing all these remarks as background for Spinoza's free renderings of Solomon's "tenets," we arrive at the following. Admittedly, Solomon's words—spliced and edited and air-brushed so as to highlight and blur the various terms for "science," "wisdom," etc.—give the appearance of saying more or less the same as Spinoza himself in the *Treatise*. The difference remains, however, that Spinoza's Solomon is no mathematician and, liberated as he is from the common opinions of those around him, does not see much need for his own private self-discipline in moral matters. By Spinoza's standards, then, Solomon could not have been a philosopher except honorifically.⁴⁹ Insufficiently mathematical, he lacked the scientific sophistication for understanding the laws of nature to which the *Treatise* ultimately appeals; insufficiently self-disciplined, he lacked political prudence as well. Spinoza's subsequent references to Solomon in the *Treatise* go so far as to suggest cumulatively that, as or although Israel's first hereditary king, he might have delayed the Israelites' political decline for at least a generation, but for the aforementioned shortcomings (cf. 11.1.16, 12.2.8, 18.3.5, 19.2.15, 3.14, with 17.12.52).

Despite the apparent dubbing of his words for Spinoza's and vice versa, then, Solomon plays only a cameo role in the *Treatise*. His "sentences," freely adapted under the rubric of the natural divine law, give a quasi-biblical imprimatur to the *Treatise*'s ongoing critique of and corrective for prophecy. As an intra-biblical alternative to the traditional divine law, they serve to certify in a simplified manner—as mere tenets—Spinoza's more elaborate argument. At the same time, they illustrate what we may call Spinoza's fourth step away from religious orthodoxy, his looking to mirror the difference between the traditional and the natural divine law, i.e., between piety and philosophy or science, in the biblical tenets themselves.

⁴⁹ Even so, at 6.1.94 Spinoza refers to Solomon as "the Philosopher "

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Step 5: Piety as Executing God's Will

Since, however, the ceremonies—those that are found in the Old Testament, at least—were only instituted for the Hebrews, and moreover were so accommodated to their imperium that for the most part they could not be performed by anyone away from the entire society, it is certain that they do not pertain to the divine law; and so they do not do anything for blessedness and virtue either. But they have to do solely with the choosing of the Hebrews, that is (by what we have shown in Ch. 3), solely with the temporal happiness of the body and the tranquility of the imperium; and on that account, they could only be of any use while their imperium was standing. [5.1.2]

Chapter 5 answers Spinoza's two questions left over from Chapter 4, before reaching a further conclusion (5.1.1-3.11, 3.12-4.18, 4.19-24). His first leftover question, concerning the point of the biblical ceremonies, requires a four-part answer: A brief introduction reiterates the distinction between the traditional and the natural divine law, except that Spinoza drops the term "natural" so that the latter is now referred to simply as the divine law (or the "universal" divine law) and the former simply as ceremonies (5.1.1-3). Next, biblical statements are cited to show that not ceremonies per se but only the universal divine law leads to blessedness (5.1.4-25). Third, a deductive argument demonstrates the need for political society in general (5.2.1-15). Finally, this last argument is extended to the need for ceremonies in the Israelites' political society in particular (5.3.1-11). Spinoza's second leftover question, concerning the point of the biblical histories, receives a simpler answer: A deductive argument demonstrates that histories (or stories) are only needed for persuading human beings who cannot follow rational arguments (5.3.12-4.18). Having answered both leftover questions in a manner consistent with Chapter 4's treatment of the natural divine law, Spinoza concludes Chapter 5 by rejecting outright the orthodox Jewish view as formulated by Maimonides that blessedness or salvation requires obeying the biblical precepts because they are prophetically revealed rather than because they are in accord with reason (5.4.19-24). Our fifth "aphorism" constitutes the bulk of Spinoza's brief introduction to his first answer, though it bears on his second answer and his conclusion as well.

In itself, this "aphorism" argues that the Bible's religious ceremonies were not part of the (universal) divine law since the latter applies to all human beings whereas the ceremonies were intended only for the Israelites. The ceremonies served the larger purposes of their political society—collective prosperity and peace, rather than individual blessedness and virtue. The moment that society became defunct, its ceremonies became outmoded and useless. Their merit, in other words, stood or fell with God's "choosing" of the Israelites.

As usual, the logical cogency of Spinoza's argument depends on his radical redefinitions of theological terms—"divine law," "choosing," etc. Its theological plausibility, however, depends no less on his gathering support from the biblical text. We have already seen how Spinoza's reducing the biblical text to its constituent

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“sentences” allows him—as translator, for example—to tailor them to his theological argument. In the present instance, we see how he translates to suit his upcoming political argument as well.

Consider his rendering of Psalm 40:7, 9, the first of three biblical citations that Spinoza quotes in the original Hebrew before translating to show that only the universal divine law and not particular religious ceremonies leads to blessedness:

Sacrifice and tribute you have not wanted; ears you have hollowed out for me; holocaust and sin offering you have not sought. Your will, my God, I have wanted to execute [לעשות]. For your law [ותורתך] is in my entrails.
[5.1.7]

Spinoza’s apparent gratuitousness in rendering לעשות (*la‘asot*) as “to execute” draws our attention. Unlike “to do” or “to make,” the presumptive translations of the Hebrew infinitive, “executing” implies single-mindedly “following out” something. It introduces overriding considerations of efficiency. Spinoza thus renders the Psalmist’s words as stating a quid pro quo. By virtue of excluding the ceremonies prescribed to the Israelites in particular,⁵⁰ doing God’s will is now said to mean “following out” the universal divine law inscribed in the minds of all human beings so as to achieve in return the blessing of spiritual tranquility. Spinoza effectively rules out any likelihood that the Psalmist is moved to obey the divine law (the Hebrew reads “Torah”) first and foremost by pious devotion, if not by attraction to the Torah’s inherent wisdom as well (cf. Dt. 4:6-8). Spinoza’s Psalmist obeys the law for its strictly extrinsic results. To see what Spinoza may be getting at here, let us look at the other instances of “executing” in the *Treatise*.

Earlier we find the term twice. Spinoza employs it first as a noun when stating that “God uses the pious as the instruments of his piety and the impious as the executors and means of his anger” (2.4.1). This usage is tightly framed by references to Abigail’s scheme for circumventing her ungrateful husband Nabal to supply food for David and his guerilla warriors (I Sam. 25) and Micaiah’s scheme for foiling the false prophets who deceive King Ahab (I Ki. 22).⁵¹ On closer inspection, what is striking about Spinoza’s usage is that although the expression “executors and means” in the second clause appears synonymous with “instruments” in the first clause, nevertheless it refers only to the “impious” (e.g., Nabal and Ahab), not to the “pious” (e.g., Abigail and Micaiah). Spinoza insulates “executors ...” from any direct contact with the pious. Shortly afterwards, the term in question shows up in verbal form when

⁵⁰ Immediately prior to quoting Ps. 40:7, 9, Spinoza cites Is. 1:10 to the effect that sacrifices and festivals are excluded from the divine law, so that spiritual self-purification and good deeds and helping the poor are by themselves necessary and sufficient conditions for human blessedness (5.1.6; cf. 12.2.22). Spinoza disregards Maimonides’ interpretation of Is. 1:11 to the effect that the divine law does not command sacrifices for their own sake, but only as a means for redirecting and eliminating idolatrous habits (*Guide of the Perplexed* III.32 [trans. Pines, 525-31]). Maimonides’ Isaiah demotes sacrifices to a lower rank; Spinoza’s issues a dishonorable discharge.

⁵¹ These allusions are introduced by Spinoza’s appeal to the “old proverb” mentioned in I Sam. 24:14, although he neither quotes nor paraphrases the proverb itself. The biblical verse reads, *As the ancient proverb says, “From the wicked comes forth wickedness, but my hand shall not be on you.”*

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Abraham is said to pray that God not execute the sentence against Sodom until he knew whether all Sodomites were deserving of that punishment (2.9.6; see Gen. 18:17-32). When it next appears, in Spinoza's translation of Psalm 40:7, 9, it might seem at first glance to apply purely and simply to the pious.⁵² Yet its usurping the place of an innocent alternative like "to do" provokes some second thoughts. Why, we wonder, does Spinoza render the putatively pious words of the Psalmist by a term that, to judge by its two previous uses, does not sort with routine law-abidingness?

Of the eighteen subsequent instances of the term in the *Treatise* itself,⁵³ the first six occur in our present Chapter. The remaining instances except for two are evenly split between Chapters 16 and 17, which treat, respectively, the democratic basis of all political society, and the historical origin and demise of the biblical theocracy with a view to its democratic lessons nowadays. The present Chapter, then, contains the largest concentration of instances to be found in any single Chapter of the *Treatise*. If, however, Chapters 16 and 17 are combined as regards their common political subject-matter, then whereas Chapter 5 contains the most instances of the term in its predominantly theological use, Chapters 16-17 contain the most instances in its predominantly political use. The term thus participates in the *Treatise*'s gradual transition from biblical theology to liberal-democratic politics, to which it contributes considerably. Its contribution owes much to the theological respectability bestowed on it by its newfound biblical setting.

Consider that, of the six remaining theological instances of the term in Chapter 5, the first three refer to "executing commands" imposed by a single authority (God or Moses, though in principle any authority) (5.2.14, 15, 3.8); the last three are renderings of the Hebrew verb as found in Maimonides' *Mishneh Torah* concerning the conditions under which non-Jews who have executed the seven precepts revealed to the biblical Noah may be called pious under the traditional divine law (5.4.19 [twice], 20). Of the ten political instances in Chapters 16-17, the first concerns an individual's "power of executing whatever he wants" (16.5.17); the next six concern executing the commands of political authorities (16.6.4 [twice], 9, 7.15; 17.1.2, 6); the eighth is Spinoza's rendering of the Hebrew of Deuteronomy 18:16, which is added to a pastiche of Deuteronomy 5:21-24 and 18:15 in order to show how the Israelites renegotiated their earlier covenant promising to obey God directly, in favor of a new covenant promising to execute whatever Moses said as God's spokesman (17.5.2); finally, the ninth and tenth instances concern the difference between Moses' consequent right to compel the people to execute God's wishes on his own and his subsequently dividing that right among his successors via an administrative system of checks and balances (17.5.4, 20). The two intervening instances, in Chapter 14, refer to the biblical teaching of charity as "what anyone has to execute so as to gratify God," and, subsequently, to "what is absolutely necessary for executing this command" (14.1.13-14). With each use, the term's original meaning appears to undergo successive expansions to

⁵² Ps 40:8, the intervening verse that Spinoza omits without ellipsis from his translation, reads, *Then I said, 'Behold, I have come; in the scroll of the book it is written about me.'* It suggests, *contra* Spinoza, that the Psalmist may be thinking simply of the traditional divine law after all.

⁵³ Spinoza uses the term again in A.33 (in connection with 16 6 12), i.e., in one of the 39 marginal annotations subsequently appended to the *Treatise* (See the Translator's Remarks.)

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suit its expanding role. From “following out” secret decisions, first among human beings and then between human beings and God, it soon includes “following out” God-given laws (Ps. 40:7, 9), then “following out” whatever pleases God, afterwards “following out” whatever pleases oneself, and finally “following out” collective decisions first among human beings themselves and later in conjunction with God concerning whatever laws please human beings and, concomitantly, God. Little by little, the term may be seen to extend to all of human religious and political behavior—secret and public, extra-legal and law-abiding, and of course impious and pious. Meanwhile, throughout its various uses it continues to mean acting with narrowly-focused efficiency, i.e., succeeding at some task with no outside distraction or guidance beyond the original decision that the task be undertaken. By suggesting cumulatively that human beings’ characteristic religious and political actions involve “executing” tasks entailed by some prior decision-making, Spinoza frees each “executor” as such from unwarranted on-site interference that might disrupt his concentrating on the task at hand. As we shall see further when we return to the seven dogmas Spinoza proposes for his liberal-democratic civil religion in Chapter 14, his gloss on Psalm 40:7, 9 looks ahead to a new religiosity consisting of “following out” tenets that, whatever their intrinsic theological merit, have the additional political merit of encouraging non-interference with the diverse religiosities of others, i.e., of promoting theological (and political) tolerance. All this is to say that, once retrofitted to the biblical text, the term in question is gradually broken in to serve the joint requirements of liberal religion and liberal politics in Spinoza’s new dispensation.

If the *Treatise’s* new religiosity boils down to “following out” biblical tenets, then ceremonies themselves can have only instrumental value. These are to be attended to or ignored according as they are found consistent or inconsistent with the tenets to which they are believed to correspond. The same goes for the biblical histories (or stories) too. What is more important than either ceremonies or stories is that no one be prevented by others, whether human or divine, from acting consistently with the tenets he professes. Not even God is allowed to interfere with human autonomy here. To establish this last point, Spinoza once again has the biblical text do much of his work for him. If step five of his self-distancing from traditional piety is his construing it as executing, his next step can only be to show how God’s not intervening in human actions is confirmed not only by strictly philosophical considerations but also by the Bible itself.

Step 6: Clearing Away Miracles

The vulgar ... call unusual works of nature miracles, or works of God; and partly out of devotion, partly out of a longing to oppose those who cultivate the natural sciences, they long not to know the natural causes of things, and yearn to hear only what they are most ignorant of and what on that account they most admire. Viz., since they can only adore God, and refer everything to his imperium and will, for no other reason except by denying natural causes and imagining things outside the order of nature; and they

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do not admire God's power more than while imagining the power of nature
as if it were being subdued by God. [6.1.3-4]

Chapter 6 completes the *Treatise's* six-step lead-in to Spinoza's applying scientific method to reading the Bible (Ch. 7-11). It rounds out the theological preliminaries initiated in Chapter 1. Our sixth "aphorism" is thus a more sophisticated version of the first "aphorism" with which we began. Whereas our first "aphorism" simply warned not to be misled by the biblical writers' naïve manner of writing, the present "aphorism" finds Spinoza now in a position to discover the theological motive behind their naïveté.

He attributes to them a kind of pathetic fallacy. He starts Chapter 6 with the general observation that unscientific human beings who wonder about some unusual occurrence in nature which does not happen to fit with their customary opinions about nature are apt to attribute the cause of their wonderment to miracles, rather than to the limitations of their own opinions. They project rather than internalize the cause. Unfortunately, in doing so they pit God against nature, as if these were two different powers that could be at odds. God always wins, in their opinion, by subduing or suspending nature's power at will. Spinoza traces the habit of imagining an adversarial relationship between God and nature to the "first Jews." It began with the Bible's polemic against the idolatrous worship of "visible gods" (sun, moon, earth, water, air, etc.). In their unscientific way, the biblical writers imagined God as an invisible ruler who directs the whole of nature for the sake of their own people alone. Compared to the biblical God, the visible gods could easily be shown to be "weak and unsteadfast, or changeable" (6.1.5). Spinoza leaves the reader on his own to recall how the Bible's rhetoric in these matters subverted God's "choosing" of the Israelites, in the *Treatise's* sense of the term (see Ch. 3).

Chapter 6 as a whole claims to be nothing more than a clarification of the belief in miracles as just summarized (6.1.1-6). It aims to "teach the matter in order" (6.1.7). It ascertains what the belief in miracles involves, as we might say, systematically. Accordingly, the rest of the Chapter makes four main points. First, nature always acts by its own, divinely decreed laws; therefore, so-called miracles are nothing but stunningly favorable events as described by those unable to discover their natural explanations, even though all miracles have natural explanations in principle (6.1.12-19). Second, miracles do not prove God's existence or essence or providence, which are better understood in terms of the laws of nature (6.1.20-46). Third, the Bible itself identifies God's decrees with the natural order resulting from laws of nature (6.1.47-67). Finally, biblical passages narrating miracles are to be accounted for in terms of the unscientific prejudices of the writers and the means of expression peculiar to biblical Hebrew (6.1.68-89).

Spinoza ends Chapter 6 by calling attention to the difference between his method of arguing about miracles here and his method of arguing about prophecy, etc., in earlier Chapters (6.1.90-102). Here his argument has been "plainly philosophical," i.e., from premises whose truth is knowable by reason quite apart from what the Bible says or does not say. Arguments about prophecy, on the other hand, are "merely

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theological”: since prophecy depends on principles outside human grasp, he had been limited to arguing about prophecy from principles supplied only by the biblical text. Remarkably, Spinoza now says that in the case of miracles he could have argued either way—philosophically or theologically—and still come to the same conclusions. For the first time in the *Treatise*, philosophy and theology appear to have reached common ground with no need for further rhetorical ambiguities of the sort generated so far by Spinoza’s six-stage reworking of theological terms. Whether from a theological or a philosophical point of view, where the legitimacy of miracles is concerned, no means no. Now that theology can stop clinging to the belief in miracles as its basis, and philosophy can stop pretending to defer to that belief just to make its anti-theological arguments rhetorically persuasive, both can endorse the serious business of interpreting the Bible scientifically.

Step 7: The Bible as Historical Document

... just as the method of interpreting nature consists mainly in laying out a history of nature—from which, as from certain data, we conclude the definitions of natural things—so, too, it is necessary for interpreting Scripture to furnish its straightforward history and by legitimate inferences to conclude from it, as from certain data and principles, the mind of the authors of Scripture. For thus anyone (if, no doubt, he will admit that no other principles and data for interpreting Scripture and the things that are contained in it are to be discussed, except only those that are brought out by Scripture itself and its history) will always proceed without any danger of erring and will be able to discuss what exceeds our grasp just as securely as what we know by the natural light. [7.1.10]

Chapter 7 marks a new beginning. Spinoza starts by reiterating the need, first shown in the Preface, for applying scientific method to interpreting the Bible with a view to eliminating politically divisive theological controversies (7.1.1-24). He goes on to list the three components of the method he now proposes: recovering the grammatical properties of biblical Hebrew; gathering and classifying the biblical “tenets” (*sententiae*) according to subject matter; and compiling a case history of each book’s authorship, process of composition and reception (7.2.1-3, 3.1-13, 4.1-7). Of the three components, the central one—organizing the biblical tenets—is also central in importance, as we have already seen. Spinoza treats its implementation in some detail, before treating the details of the other two components—recovering the grammar and compiling the case histories—as difficulties accompanying implementation of the central one (7.5.1-30, 5.31-9.3, 10.1-11.13). He concludes the Chapter by refuting three competing methods (7.11.14-50). Maimonides’, which occupies the center of Spinoza’s list of competitors, receives the most detailed refutation (7.11.21-39). As our seventh “aphorism” indicates, the model for Spinoza’s method, and his standard for refuting the Maimonidean alternative, is the method of modern natural science.

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For reasons that will become clear in a moment, we limit ourselves to indicating the connection between this “aphorism” and Spinoza’s refutation of Maimonides.

According to our “aphorism,” biblical criticism like natural science must start by assembling the Bible’s “straightforward history” as the sole basis for interpreting the biblical text. By straightforward history, Spinoza means construing the raw data of the biblical text—the biblical tenets plus the narrative passages, which together exhaust the text—entirely in their own terms. He emphatically rules out any attempt to demonstrate the truth of the biblical tenets from theological or philosophical principles arrived at independently of the text. Such an attempt, he implies, would skew our efforts to understand the Bible as it understands itself. After all, as Spinoza has indicated in previous Chapters, there is little textual evidence to substantiate the claim that the tenets have been derived by reasoning from prior principles. Looking at the tenets as if they were derivative, then, would compromise the certainty we might otherwise have concerning the Bible if we considered the text *sui generis*. We must proceed instead by way of careful inferences from the biblical data pure and simple (tenets plus narratives), with only the grammar and the case histories for further guidance. In brief, Spinoza’s method aims at no more than the plain intra-textual or literal meaning of the Bible. For, not to repeat the entire argument of Spinoza’s Preface, what we can know for certain about the Bible, in contrast to what the various competing sectarian theologies claim, is the motive for Spinoza’s proposed method in the first place.

The basic difficulty Spinoza is addressing here is the Bible’s evident inability or unwillingness to spell out on its own and to all comers the means for settling the theological disputes its tenets and narratives have incited, if perhaps inadvertently. Spinoza therefore takes matters into his own hands. He works to secure the Bible’s cooperation, forcibly as it were. He places the Bible under constraint before cross-examining it. In Spinoza’s hands, the Bible is only allowed to answer questions about what it has to say by repeating verbatim its own previously recorded statements. For guarding against taking statements that are unrepresentative of its overall teaching as the Bible’s last word, moreover, his method goes on to make all biblical statements on any given subject available at a glance for mutual comparison. Classifying and subclassifying the biblical statements according to subject matter would reorganize them for all practical purposes into a handy data bank or topically arranged concordance. Wild theological inferences from hastily conceived prooftexts could then be safely fenced in, by surrounding them with a sufficient number of counter-instances whose plain meaning is clear and which may be drawn with ease from the concordance. In this way, all philologically unwarranted and theologically outlandish prooftexting would be effectively discredited, and discouraged from the outset.

Spinoza’s refutation of Maimonides is both the main test and the underlying purpose of his proposed method. Unlike the two other methods Spinoza refutes, only Maimonides’ incorporates philosophy explicitly, whereas the explicit aim of Spinoza’s theological argument as a whole is to remove philosophy from theology as the cause of intra-theological disagreements. When it comes to interpreting the Bible, Maimonides is the arch-competitor he seeks to topple.

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Spinoza's refutation format resembles a mini-Chapter. First, he summarizes Maimonides' method with a view to showing its theological difficulties (7.11.21-29). Second, he asserts that Maimonides makes three suppositions that are contradicted by Spinoza's previous arguments (7.11.30-34). Finally, he shows how the aforementioned difficulties are avoided by choosing his own method over Maimonides' (7.11.35-39).

Maimonides' theological difficulties are shown by comparing his way of facing the initially perplexing character of the biblical text with Spinoza's own. Both agree that the text's intended meaning is not always clear at first glance, so that some scholarly effort is needed to recover it. For Spinoza, the meaning is recoverable on the assumption that the prophets' original, philosophically unsophisticated addressees understood them well enough; hence the scholarly task is by and large a matter of seeing how the unphilosophical mental cast of those addressees is reflected in the words of the prophets themselves—as Spinoza himself has been endeavoring to do since Chapter 1. For Maimonides, on the other hand, each biblical passage is ambiguous (or perhaps allusive) to begin with; hence the only way to arrive at what it means for certain is to discover whether or not the passage in question agrees with reason—an inherently philosophical task. If a passage's literal meaning turns out to agree with reason, then the literal meaning must be the one originally intended. If not, then the interpreter must discover some metaphorical meaning that agrees with reason, and the metaphorical rather than the literal meaning is thereby shown to have been originally intended. There is a fundamental difficulty concerning passages that teach the creation of the world, however. According to Maimonides, creation is not rationally demonstrable, yet it is the foundation of the biblical Law. Like its contrary, the eternity of the world as taught by Aristotle, creation is in the final analysis a matter of belief rather than of rational demonstration. Nevertheless as Spinoza points out, if the eternity of the world were rationally demonstrable, Maimonides would admittedly reinterpret all passages that teach creation, by assigning to them a metaphorical meaning that agrees with what is rationally demonstrable. Maimonides retains their literal meaning only because rational demonstration cannot decide the issue between creation and eternity, whereas creation unlike eternity preserves the Law. But Spinoza finds two unsatisfactory consequences here. First, if Maimonides were correct that the foundations of the Law are not fully accessible to reason, it would follow that interpreting them must be left to those who would decide its meaning by some other means. Second, since those interpreters would nevertheless make some use of rational demonstrations, they must to that extent be philosophers, who for the sake of their credibility with the vulgar must also claim inerrancy for their interpretations—a claim likely to provoke laughter rather than veneration. The unsatisfactory consequences of the Maimonidean theology, so understood, are a template for the unsatisfactory consequences of the Christian theology with which the *Treatise* began.

Be that as it may, the core of Spinoza's refutation of Maimonides is that Maimonides makes three suppositions that are incompatible with the *Treatise's* argument so far. First, as against what Spinoza has argued in Chapter 2, Maimonides is said to suppose that the prophets agreed among themselves in all matters and—or perhaps because—they were competent philosophers. Second, as against what Spinoza has argued earlier in

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Chapter 7, Maimonides is said to suppose that we cannot recover the meaning of the biblical tenets by simply staying within the biblical text. Finally, Maimonides is also said to suppose that we are allowed to interpret the literal meaning of biblical passages to suit our own prior opinions. Spinoza's peremptory appeal to previous steps in his own argument relieves him, though perhaps not us, of the burden of wondering what Maimonides might have said in reply. A Maimonidean reply to Spinoza's first point, for example, might be that the prophets may well have agreed among themselves in all matters (despite their obvious rhetorical differences) for the simple reason that they understood all matters through the eyes of the Law, with which they agreed unhesitatingly—even though they may also have gone beyond the letter of the Law in both their public speeches and their private thoughts for the purpose of defending the Law. Similarly, a Maimonidean reply to Spinoza's second point might be that in going beyond the letter of the Law in the aforementioned manner, the post-Mosaic prophets by Spinoza's own account did not thereby misunderstand the literal meaning of any of the passages of the Pentateuch, or convey such a misunderstanding to their immediate addressees: might not the same be so, then, for still later interpreters as well? Last but not least, a Maimonidean reply to Spinoza's third point might be that, likewise by Spinoza's own account, the post-Mosaic prophets interpreted the the literal meaning of, say, the laws concerning sacrifices to suit their own or their addressees' prior opinions on a rhetorical level—again without necessarily being oblivious to the meaning of those laws per se: are not such rhetorical accommodations possible for subsequent interpreters also, Maimonides included? Spinoza's bypassing consideration of these and other possible Maimonidean replies seems to have more to do with his keeping to the momentum of his own theologico-political thought-experiment, i.e., with executing its steps consistently and efficiently, than with his having demonstrated that his critique of Maimonides is unanswerable.

Ultimately, then, Spinoza's reasons for leaving Maimonides behind are practical. On the basis of what he has said in the foregoing, he dismisses the Maimonidean approach as "harmful, useless and absurd" (7.11.39). By its harmfulness, he appears to mean its depriving unphilosophical readers of arriving at certainty about the biblical teaching by way of a straightforward reading; by its uselessness, its inability to explain with certainty those biblical tenets whose truth is indemonstrable and which make up the bulk of the text; and by its absurdity, not just its incompatibility with what Spinoza has demonstrated earlier in Chapter 7, but especially Maimonides' freely deviating from the strict literalism by which Spinoza's own method is guided. Spinoza's dismissal of Maimonides is underwritten by an implicit promise to show, in contrast, the benefit, usefulness and reasonableness of his own method. He endeavors to follow through on this promise in the next four Chapters by outlining case histories of the Pentateuch and the Early Prophets (Ch. 8-9), and of the remainder of the Old Testament (Ch. 10) and of the New Testament (Ch. 11), before arriving at what his method lets us know for certain about the overall teaching of the Bible (Ch. 12-15). The certainty Spinoza has in mind becomes possible if and only if he has first reduced the Bible to a set of manageable historical documents, as the present Chapter has endeavored to do. This step—step seven by our count—requires in turn both Spinoza's brief encounter with,

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and his hasty departure from, Maimonides.

Step 8: No Autographs in the Dodecateuch⁵⁴

...Ibn Ezra's words, which are found in his comments on Deuteronomy, are these.... *'Beyond the Jordan,' etc. Provided that you understand the mystery of the twelve, as well as 'And Moses wrote the law,' 'And the Canaanite was then in the land,' 'On God's mountain it shall be revealed,' also 'Behold his bed, a bed of iron' as well, then you shall know the truth.* With these few words, however, he indicates, and at the same time shows, that it was not Moses who wrote the Pentateuch, but someone else who lived long after, and furthermore that the book Moses wrote was another one. [8.1.8-10]

In Chapter 8, Spinoza picks up a rabbinic precursor in Ibn Ezra, whose cryptic comments on Deuteronomy 1:2—our eighth “aphorism”—point tacitly to the unsettling conclusion that Moses was not the author of the five books traditionally ascribed to him. Spinoza spells out the arguments Ibn Ezra had merely hinted at, and adds several of his own (8.1.7-26, 27-58). He goes on to show, by similar arguments, that the Books of Joshua, Judges, Samuel and Kings are not autographic either but, together with the Book of Ruth, were written by a single author, namely, as he conjectures, the biblical Ezra (8.1.59-72, 73-83, 84-98). He waits till Chapter 9 before answering the question whether that author succeeded in finishing the job he started—a question to be answered in the negative (8.1.99). Meanwhile Spinoza opens his argument in Chapter 8 by emphasizing its dependence on Chapter 7's identifying the Bible's “foundations and principles” with its straightforward history, and laments the flawed condition of the foundations themselves (8.1.1-6). He worries about being up to the task of restoring them here and now. He may, he says, be too late. The point of Spinoza's worry is that restoring the foundations would require radically reconstructing them. That Spinoza proceeds with the reconstruction anyway has the practical effect of prying himself and his reader further from traditional theology by philological means.

Spinoza's philological assessment of the condition of the biblical text differs from Ibn Ezra's, in the first instance, by its outspokenness. Ibn Ezra had quietly pointed to six passages implying that someone besides Moses wrote the Pentateuch and that what Moses actually wrote was different (8.1.9-26): Moses himself never went “beyond the Jordan” (Dt. 1:2); Moses' own book was small enough to fit the circumference of a single altar (Dt. 27); the Pentateuch speaks of Moses in the third person (Dt. 31:9); Moses lived before the expulsion of the Canaanites (cf. Gen. 12:6); the Pentateuch names Mt. Moriah anachronistically (Gen. 22:14); and Moses' farewell speech also contains anachronisms (Dt. 3:11, 13-14). Spinoza pointedly adds seven sets of passages of his own. Four indicate even more decisively that Moses did not write the Pentateuch: the Pentateuch not only speaks of Moses in the third person but also characterizes and biographizes him; besides narrating his death and funeral, it

⁵⁴ For this term, see Robert D. Sacks, *A Commentary on the Book of Genesis* (Lewiston, N.Y. Mellen, 1990), 243.

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compares him with later prophets; it names places anachronistically; and it narrates events long after Moses lived (8.1.27-42a). Three other sets of passages pinpoint Moses' actual writings—*The Wars of the Lord* and related matters, *The Book of the Compact*, and *The Book of the Law of God* (8.1.42b-58). Spinoza goes beyond Ibn Ezra, however, not only by speaking forthrightly but also by castigating the rabbinic tradition as custodian of the biblical text. He complains against a rabbinic paraphrast's misleading rendering of Joshua 24:26 into the Aramaic vernacular (8.1.48-50): whereas the biblical Hebrew speaks of Joshua's inserting words he himself had written into the book of the Law, the Aramaic has him merely safeguarding those words by the book of the Law. Presumably the paraphrast had wished to avoid the implication that Joshua was altering a Law that by its own stipulation was supposed to remain as is (cf. Dt. 4:2, 12:32); but Spinoza's complaint is that the paraphrast has thereby denied what the Bible literally says and forged a new one out of his own brain. The complaint illustrates Spinoza's larger worry in Chapter 8—not just that the biblical text has come down to us flawed, but that in their creative endeavor to make sense of it traditional interpreters have distorted it further (8.1.3). Spinoza's philological reconstruction aims to remove the traditional distortions once and for all. It would make the Bible theologically tamper-proof.

Step 9: The Fragmentariness of the Dodecateuch

If someone were only to pay attention to this fact—that all the precepts and histories in these five books are narrated indiscriminately and without order, and there is no plan of the times, and one and the same history is often repeated, sometimes differently—he will easily recognize that they were all gathered and accumulated indiscriminately, so as to be more easily examined and reduced to order afterwards. Yet not only these things that are contained in the five books, but also the remaining histories down to the devastation of the city, which are contained in the remaining seven books, have been gathered in the same mode. [9.1.21-22]

The philological flaws in the biblical text turn out to be even worse than Spinoza has already disclosed. Not only are the various books of the Dodecateuch not autographic, but as they stand they are little more than haphazard compilations—unfinished and poorly preserved (9.1.3-58a, 58b-121).

That they are unfinished, Spinoza establishes by a more or less self-contained argument, of which our ninth “aphorism” is the centerpiece. He introduces this argument by observing that the Dodecateuch as we have it contains extensive narrative passages that are more or less identical with passages in the Books of Chronicles (9.1.3-10a). He adds his regret over the lack of outside evidence to show conclusively that the Dodecateuch passages have originated either in Chronicles or in some further source. To ascertain nevertheless that the Dodecateuch has been compiled from outside sources, he proceeds to show disorder and disconnection, contradictory incidents, and ill-fitting dates and times within the Dodecateuch, and likewise within Chronicles

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(9.1.11-21a, 21b-26, 27-48, 49-56). Finally, to confirm his findings, he challenges anyone who still disputes the fragmentariness of those books to come up with an alternative hypothesis that would reconcile the discrepancies he has shown (9.1.57-58a). His argument is meant to silence any challenger. The same intent is evident in his parallel argument for the poorly preserved state of the text. He points at length to its many variant readings and truncated passages (9.1.66-115, 116-21 with 33-36). Meanwhile he polemicizes against rabbinic attempts to explain the textual variants and gaps as mysteries intended by the text, instead of merely as philological phenomena (9.1.58b-65, 101, 108, 117-18). As his previous polemic in Chapter 8 has castigated the rabbis' philologically unwarranted distortion of the text, so his present polemic castigates their philologically unwarranted inferences from the text. He waits to finish his survey of philological case histories in Chapters 8-11, before trimming those excesses in Chapters 12-15 by remodeling old-fashioned biblical theology on the basis of the newfound philology.

Step 10: Piggybacking Theology onto Philology

... [T]he Book of Daniel ...without a doubt, on the basis of chapter 8, contains the writings of Daniel himself. Where the first seven chapters were from, however, I do not know. We can suspect that they were from the Chronologies of the Chaldeans, inasmuch as, except for the first, they are written in Chaldean. If this were to be clearly established, it would be very enlightening attestation for evincing that Scripture is only sacred to the extent that through it we understand the matters signified in it, and not to the extent that we understood the words or language and speeches by which the matters are signified. And, besides, the books that teach and narrate the best things, in whatever language and by whatever nation they were ultimately written, are equally sacred. [10.2.7-10]

A sign of Spinoza's intent to refit the old theology to the new philology is the order in which he now treats the case histories of "the remaining books of the Old Testament" (10.1.1). Instead of the traditional order followed by either Jewish or Christian Bibles, he groups the books according to their likely dates of composition. He begins with five books that he shows to have been written during the period of the second temple—I and II Chronicles, Psalms, Proverbs, and Ecclesiastes (10.1.2-12). He then shifts to the books of the literary prophets—singling out Isaiah, Jeremiah, Ezekiel, Hosea, and Jonah—which he shows to consist of narrative fragments derived in part from Chronicles, etc. (10.1.13-31). Subsequently he turns to Job, whose origins he shows to be controversial and ultimately conjectural, and afterwards to four other books—Daniel, Esther, Ezra and Nehemiah—all four of which he shows to have been written by the author of Ezra (10.2.1-6, 7-27). Having established what can be known for certain about the dates of each of the foregoing, he goes on to consider literary flaws that would indicate the haste of their authors (10.2.28). Some flaws are evident from the variant readings found in marginal annotations, as in the Dodecateuch;

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these Spinoza sees no need to treat further (10.2.29-30, with 9.1.65-115). He limits himself here to exposing unannotated flaws that he discovers in Ezra and Nehemiah—miscalculations in tallying population counts and mix-ups in recording proper names (10.2.31-44, 45-53). At least half his remarks about these, in either case, consist of polemicizing against traditional commentators in the manner we have already seen in Chapters 8-9 (10.2.38-44, 49-52). Following all these case histories, Spinoza concludes that canonization must have been decided by a council of Pharisees sometime after the Maccabean rededication of the second temple (10.2.54-61). Finally, after disclaiming the philological wherewithal for restoring the foundations of the New Testament as he has done for the Old, he promises some pertinent remarks in Chapter 11 anyway (10.2.62-64).

Meanwhile our tenth “aphorism” serves as a reminder that the motive for Spinoza’s biblical foundation-repair is only partly philological. It is also theological. During the course of his treatment of the Book of Daniel, he goes out of his way to draw a more than philological inference from his suspicion that Daniel 2-7, being written in Chaldean rather than Hebrew, must have some otherwise unknown Chaldean source (10.2.8-11). If so, he infers, it would provide enlightening evidence that the sacredness of the biblical books, or any books, is not coextensive with how far we understand its “words and language and speeches,” but with how far we understand from these philological details the theological teaching they are meant to convey. However that may be, having temporarily withdrawn the biblical text from theological use for philological refurbishing, Spinoza now makes it available once again in its reconditioned form. The resurfacing of theology on newly solidified philological foundations, we may speak of as step ten of the *Treatise*’s theological argument.

Step 11: Prophets versus Teachers

No one who reads the New Testament can doubt that the Apostles were Prophets. But since the Prophets did not always speak from revelation, but on the contrary did so quite rarely, as we showed at the end of Chapter 1, we can doubt whether the Apostles wrote the Epistles as Prophets, from revelation and expressed command, as did Moses, Jeremiah, and the others, or, rather, as private men or—especially since in I Corinthians 14:6, Paul indicates two kinds of preaching, one from revelation, the other from knowledge; and therefore, I say, it is to be doubted whether, in the Epistles, they prophesy or, in truth, teach. [11.1.1-2]

At first glance, Chapter—or step—11 seems the simplest one so far. It answers the philological question posed by our eleventh “aphorism.” Namely, did the Apostles write as prophets, or only as teachers? Spinoza’s answer is that although the Apostles were also prophets, they wrote only as teachers (11.1.3-43). That is, each exercised an independent judgment about how to propagate the faith. This answer leads Spinoza to show how Apostles were unlike prophets in two ways. On the one hand, they reasoned or debated rather than declaimed (11.1.3-20). On the other hand, they were never

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commanded exactly what or where to teach (11.1.21-43). A further doubt remains, however. Namely, how could they teach revealed matters by means of nothing more than their own “natural knowledge” (11.1.44)? Spinoza’s all-too-brief answer is threefold (11.1.45-61). First, each Apostle based his teaching only on his own experiences and on prior revelations—presumably the Old Testament’s and the Gospels’—which he was able to analyze historically and spell out to willing audiences on his own (11.1.46). Second, in narrating the simple history (or story) of Christ, each sought to bring out its moral lessons, which are its main point (11.1.47). Third, each deliberately accommodated his teaching to what his audiences could grasp in common (11.1.48-61). Spinoza prefaces this threefold answer by saying that it follows with “no difficulty” from what he has already said about Bible interpretation in Chapter 7 (11.1.45). He thus invites our consideration of how each sub-answer incorporates the *Treatise*’s larger philological argument.

First, as regards the basis of their teaching, the Apostles share with Chapter 7’s biblical hermeneutic a quasi-detachment from the rest of the Bible. They taught the Bible’s revealed or prophetic statements and narratives, though not in a revealed or prophetic manner. They were, to that extent, observers and analysts rather than simple devotees and defenders of the Bible. The Apostles’ approach to the Old Testament and the Gospels is thus an intra-biblical precedent for the *Treatise*’s approach to the Bible as a whole. Nevertheless the Apostles subordinated their observations and analyses to a pious devotion to and defense of the Bible, i.e., to Christian apologetics, whereas the *Treatise* maintains that a studied neutrality is both necessary and possible. From the *Treatise*’s point of view, the difference is between looking devoutly for prooftexts in support of Christian faith and looking philologically for whatever “sentences” (or “tenets”) happen to occur in and among the biblical narratives. The *Treatise* aims at gradually replacing its reader’s pious or sectarian concern with prooftexts, in favor of a philosophical or scientific concern with “sentences” (or “tenets”) and their intra-textual implications as such.

Second, as regards the Apostles’ concentrating on Christ’s moral lessons, the Gospels themselves conveniently summarize these in the Sermon on the Mount (11.1.8). According to a passing remark in Chapter 7, however, the same moral lessons are demonstrably true quite apart from whether or not the Bible teaches them (7.1.13-14). It follows that demonstrating that they are true and demonstrating that the Bible in fact teaches them are two separate demonstrations. The *Treatise* itself supplies only the latter demonstration. The former is left to the *Ethics*.⁵⁵ We may therefore describe the *Treatise*’s philological Chapters as resting on the untraditional premise that the biblical text is not the sole, nor even the best conveyor of the moral lessons it contains, but only the one most familiar to us through inherited belief (cf. 1.5.2-3). This premise underlies the remainder of its theological argument as well. Ultimately, Chapter 15 will endeavor to help the reader sort out the theologico-political confusions with which the *Treatise* began, by separating once and for all the theological teachings familiar to us through inherited belief, on the one hand, from our “acquir[ing] the habit of virtue from the guidance of reason alone” (15.1.67), i.e., from philosophy

⁵⁵ Cf., e.g., 4.3.5-7 with *Ethics*, Pt III, Preface (*Opera*, II, 137-38).

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or science, on the other.

Third, as for each Apostle's deliberately accommodating his teaching to his given audience, Chapter 11 goes on to infer a biblical imprimatur for the freedom to teach despite disagreements among teachers (11.1.48b-61). The disagreement between the Apostles Paul and James over whether human beings are justified by faith or by works, for example, is relegated to a pedagogical disagreement, a disagreement over means rather than ends. More exactly, the Apostles are said to have "built the religion upon different foundations" or "upon foundations very well recognized and accepted at the time" (11.1.59). The *Treatise* understands differences between one teacher and another as differences in how to construe, or construct, the subject matter at hand for adaptation to its various addressees. Thus Paul, in preaching to the gentiles of his time, added a quasi-philosophical component to his apologetics to make the Gospels more accessible to them, while those who preached to the Jews had no need to, especially since Jews as such consider philosophy contemptible (11.1.60). The intrusion of philosophy into biblical theology, it seems, started with the Apostles themselves. By looking at biblical theology in general and its Pauline version in particular as constructs, built on freely chosen foundations, Spinoza can deconstruct it with a view to isolating and removing its putatively extraneous elements here and now.

Step 12: Holiness as a Means

A thing is called sacred and divine which is designated for the exercise of piety and religion; and it will be sacred only so long as human beings use it religiously. For if they cease to be pious, it ceases at the same time to be sacred as well. And if they dedicate that item to perpetrating impious things, then that same item that was sacred before, is rendered unclean and profane.
[12.2.1]

Is Spinoza an atheist? He preempts his old-fashioned theological opponents by raising this accusation rather abruptly at the outset of Chapter 12 (12.1.1-10). The evidence they are likely to shout against him is the philology of Chapters 7-11, which leads to the conclusion that the biblical text is corrupt and, therefore, an unreliable source for God's word. In his defense, Spinoza claims on biblical authority that the biblical text and God's word are not the same. Whereas the former is written in parchment and ink, the latter is written only on the human heart, i.e., in the mind in the form of the "idea" of God. Chapter 12 undergirds Spinoza's defense with three arguments (12.1.11-12). First—as our twelfth "aphorism" says—what makes something holy is its usefulness for promoting piety (12.2.1-16). Second, God's word in the non-metaphorical and non-sectarian meaning of the term is the same as the universal divine law treated in Chapter 4 (12.2.17-41a). Third, this last remains impervious to corruption or distortion (12.2.41b-54). Spinoza adds that any adulterations or faults found in the biblical text—whether in the details of some history or prophecy which has been adapted for homiletical purposes, or in putative miracles recorded for anti-philosophical purposes, or in quasi-theoretical matters

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inserted anachronistically for sectarian purposes—are irrelevant to its being God’s word, as he promises to show more fully in Chapter 13 (12.2.55-61).

Spinoza’s preemptive strike against his opponents is not entirely abrupt, however. Consider that Chapter 11 has concluded by remarking with evident wishfulness that our age would be happy indeed if it could free itself from all superstition (11.1.60). In turn, Chapter 12 begins by anticipating theological opposition whose root cause is superstition in the form of venerating the letter of the biblical text while ignoring the message of the text (12.1.5-6). Chapter 11’s concluding remark had followed on the heels of Spinoza’s calling attention to the Apostles who, preaching to the Jews in particular, “taught a religion stripped of philosophical theories” (11.1.60). It could not help suggesting the following analogy: just as those Apostles were able to build and spread theologies free of philosophical embellishments to suit the mentality of their time, so too we might be able nowadays to build and spread one free of superstitious embellishments as well (cf. 11.1.61). Nevertheless Chapter 12 immediately shows that analogy to be contrary to fact. That is, in case the elaborate philology of Chapters 7-11 has charmed the reader into forgetting the *Treatise*’s starting-point, he is reminded by the shouting of Spinoza’s opponents that superstition is at bottom ineradicable from public life, so that the *Treatise*’s thought-experiment must confine itself for all practical purposes to the philosophical question of how it is to be contained (12.1.1-2; cf. P.1.1-2.4, 6.1-2). Far from purporting to provide a cure-all for superstition, then, Chapter 12’s removing holiness from the words of the biblical text and from outward worship as such is only one step—step twelve—of the multi-step containment process being proposed by the *Treatise*. Just because this step by itself is unlikely to persuade his opponents here and now, at least so long as they keep shouting, Spinoza goes on to consider what further steps might at least quiet them down.

Step 13: God as Moral Exemplar

... God through the Prophets seeks from human beings no other knowledge of himself but the knowledge of his divine Justice and Charity—that is, such attributes of God as human beings can imitate by a certain plan of living....
[13.1.26]

Our thirteenth “aphorism” indicates how, from a theological point of view, Spinoza proposes living cheek by jowl alongside those with whom it is impossible to see eye to eye. He appeals to the authority of the biblical text (13.1.1-10). What God wants there is simply put. The be-all and end-all of the biblical teaching, we are told, is love of neighbor. As we have already seen, of course, Spinoza’s showing the simplicity of the biblical teaching depends on a number of complicated steps. He begins Chapter 13 with brief reminders of relevant premises arrived at in earlier Chapters: that revelation comes via the prophet’s imagination rather than his intellect (Ch. 2); that the Bible corroborates its teaching with miracles and histories (or stories) rather than arguments (Ch. 5); and that any difficulties in understanding the Bible are philological rather

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than philosophical (Ch. 7). These premises serve as footholds for resisting the view that the Bible contains humanly inexplicable mysteries, which old-fashioned theologians nevertheless try to penetrate by imputing to it philosophical insights that turn out instead to be derivative from Aristotle or Plato and the like. To refute his opponents' view head-on, Spinoza's remaining theological Chapters undertake to convince anyone who still doubts his overall argument that the biblical teaching is inherently unphilosophical. Chapter, or step, 13 proceeds by way of ascertaining that the Bible's intent is strictly moral and not intellectual.

That the Bible aims to inculcate moral obedience rather than philosophical or scientific understanding follows from two inferences Spinoza draws concerning the philological significance of the biblical names for God (cf. 13.1.11-12). First, according to the Bible itself, knowledge of God is neither routinely bestowed on believers nor in any way commanded of them (13.1.13-25). Spinoza's evidence is Exodus 3:6, where God is said to praise the Patriarchs Abraham, Isaac and Jacob for their faith in God's promises even though unlike Moses they were ignorant of the special name, Jehovah, by which the Bible refers to God's essence, i.e., to what God is in himself—in contrast to the name Elohim, by which it refers merely to God's power over created things. To the objection that Genesis nevertheless describes the Patriarchs as preaching in Jehovah's name, Spinoza answers by recalling the Pentateuch's use of anachronisms, as shown in Chapter 8. Exodus 3:6's use of the name Jehovah is thus attributable to its being the divine name most highly revered by the biblical writer's addressees, not by the Patriarchs themselves. Second, then, Spinoza cites its subsequent use in Jeremiah 22:15-16 and 9:23, in Exodus 34:6-7, and implicitly in I John 4:13 (the *Treatise's* epigraph), to the effect that the practice of justice and charity is the necessary and sufficient knowledge of God incumbent on any believer (13.1.27-37). To paraphrase our thirteenth "aphorism," the Bible presents justice and charity as the sole attributes of God which human beings are both bound and able to imitate. Indeed, only because the Bible views God via revelation rather than demonstration, i.e., via the untheoretical but psychologically compelling imaginings and preconceptions of the prophets to which Spinoza has called attention in Chapter 2, does God show up as a—or the—just and charitable role model for human beings in the first place. The God of the philosophers, which post-Pauline theologians have egregiously confused with the God of Abraham, Isaac and Jacob according to Spinoza, does not particularly care about justice and charity. In this respect, Spinoza's removing from biblical theology any and all philosophical outgrowths is meant to give it a much needed moral facelift.

Step 14: Determining the Faith

...For just as Scripture was once accommodated to the grasp of the vulgar, so too each is allowed to accommodate it to his own opinions, if by that plan he sees that, in the things that have to do with justice and charity, he can obey God with a more complete consent of the spirit....[14.1.4]

Having arrived at the Chapter containing the seven dogmas of Spinoza's civil

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religion, let us consider how our fourteenth “aphorism” helps explain, or at least offset, the two difficulties we noted earlier—the evident theological triviality of those dogmas and their evident moral obtuseness.

Consider first their theological triviality. As its heading indicates, Chapter 14 covers four subtopics: what faith is (14.1.6-19), who the faithful are (14.1.20-37), the foundations of faith (14.1.38-54), and the ultimate separation of faith from philosophy (14.2.1-5). The seven dogmas are the Chapter’s third subtopic. As the foundations of the *Treatise*’s “universal” or non-sectarian faith and “the fundamentals of the intent of Scripture as a whole,” they are to be “determined” or deduced one-by-one from definitions of faith and the faithful, Chapter 14’s first two subtopics (14.1.38, with 5, 17). Yet why, we may ask, does Spinoza not rest content with establishing these dogmas on purely philological grounds? Why not just leave it at showing that they are the most frequently repeated statements in the Bible? Spinoza’s answer is evident from the Chapter’s introductory remarks, which recall the politically disruptive religious persecutions that have occasioned the *Treatise* to begin with (14.1.1-5; cf. P.4.1-5.18). Accordingly, the *Treatise* has undertaken the project of revamping the Bible so as to transform it from being a politically destabilizing instrument for authorizing religious persecutions into a politically salutary instrument for authorizing religious toleration. Our present “aphorism” thus asserts the Bible’s infinite adaptability—in its newly restructured form, needless to say—for strengthening each believer’s commitment to just and charitable works here and now. Nevertheless Spinoza’s mere assertion to that effect would prove unproductive, even counterproductive, without his also “determining the dogmas of the faith...by certain rules” (14.1.17). Otherwise, as he says, “anyone will be able to introduce whatever he wants under this very pretext—that it is a necessary means for obedience.” Were Spinoza to leave unresolved the controversial question of what dogmas all believers should accept, in other words, he would merely be adding fuel to the sectarian broils his *Treatise* is designed to dampen and, if possible, smother. He therefore needs to demonstrate by an indisputable logic not only that at bottom the Bible teaches no more than a bare minimum of dogmas necessary for underwriting just and charitable works, but also that those dogmas are already in place and agreed on by all believers (14.1.39-46, 36-37). Differently stated, removing hostilities among the biblical sects requires—along with Spinoza’s thirteen previous steps—the further step of convincing thoughtful sectarians unanswerably that the Bible’s theologically mandated minimum is the same as its theologically mandated maximum. The triviality of his seven dogmas, once these are securely demonstrated, is thus essential to their peacekeeping role.

As for their moral obtuseness, consider how our present “aphorism” applies as well to the theological argument of the *Treatise* itself. If the Bible is open to being interpreted at will according to the opinions of its various adherents so long as the resulting interpretations reinforce the practice of justice and charity, then like the biblical text on which they are based, both biblical theology and any religious dogmas formulated by it are only means to that end. The just and charitable end sanctifies the diverse theological means. Spinoza goes so far as to say that “faith does not require true dogmas so much as pious ones, that is, such as move the spirit toward

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obedience”—and adds by way of clarification, “. . . even though among them there may be many that do not have even the shadow of truth, yet so long as he who embraces them does not know they are false” (14.1.34). Spinoza’s addendum implies a division of labor within theology, including or especially his own. Its task is twofold. On the one hand, it must inspire and edify by showing, for example, how the seven dogmas commit believers to the practice of justice and charity. On the other hand, in so doing it cannot help overlooking or dissembling the likelihood that such dogmas, etc., are needed for that purpose rather than simply true. It trumpets their practical necessity while muting their intellectual doubtfulness. All this is to say that, by Spinoza’s own lights, the theological merit of his seven dogmas is limited to their practical efficacy in promoting just and charitable behavior among those for whom the biblical text is already authoritative. In themselves, the seven dogmas are morally indifferent component parts in the *Treatise*’s new-and-improved promotional scheme for justice and charity among present-day sectarians; they are instruments of behavior modification in biblical guise.

Chapter 14 concludes by inferring from the foregoing the ultimate immiscibility of philosophy and theology. Their mutually exclusive goals, truth versus pious obedience, rest on mutually exclusive foundations. Philosophy is based on “common notions” or axioms derived from the investigation of nature alone (14.2.2; cf. 7.1.21-22). Theology, or rather the pious faith it serves, is based on the biblical text as construed in accordance with the philology of Chapter 7. Inasmuch as there is no sub-foundation underlying these two—nature as understood by modern scientific method and the biblical text as understood by Spinoza’s philological method—we seem to have reached bedrock. Spinoza’s subsequent argument builds on these twin bases. He first completes his theological argument by partitioning theology from philosophy, so as to prevent unwarranted intrusions from either side (Ch. 15). He then turns to political life, where as a practical matter he expects theology and philosophy to continue to confront each other, and addresses the need for a prudent accommodation between them there (Ch. 16-20).

Step 15: Partitioning Theology from Philosophy

Those who do not know how to separate Philosophy from Theology dispute over whether Scripture has to serve as handmaid to reason; or reason, on the contrary, to Scripture. That is, does the sense of Scripture have to be accommodated to reason; or reason, in truth, to Scripture? Yet the latter claim is defended by the skeptics, who deny the certainty of reason; the former, by the dogmatists. But that both the skeptics and the dogmatists err totally is established from what has already been said. [15.1.1-2]

Chapter 15’s partitioning of theology from philosophy is the last step of Spinoza’s theological argument proper. It results at the same time in a partition between revelation and reason (15.1.1-41). Nevertheless this result does not exempt Spinoza from answering the further question of whether it is reasonable to believe in revelation (15.1.42-67).

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Spinoza's partition, it seems, is not designed to keep us from passing between reason and revelation by, say, raising philosophical questions about theology or vice versa, as he himself does. It functions rather as a filter or checkpoint, with a built-in detection device to block the transporting of items from either side which are hazardous to the other.

Our fifteenth "aphorism," which opens the Chapter, thus presents the separating of theology from philosophy as a matter of sophisticated know-how, for which the previous fourteen Chapters have been prerequisites. Looked at as a whole, Spinoza's theological argument is complete once it serves to prevent border disputes concerning how far reason's and revelation's competing claims to jurisdiction over theology extend. Basically, the disputes are between those theologians he now calls dogmatists, who insist on making revelation fit the demands of reason, and those he now calls skeptics, who insist on making reason fit the demands of revelation (15.1.1-6). Spinoza has been elaborating a theological instruction manual for conflict resolution. On the assumption that Chapter 7's refutation of Maimonides *et al.* is sufficient for putting the dogmatists in their proper place, he now proceeds to evaluate the claim of the skeptics (15.1.7-41). The skeptics' position is largely a defensive one, an attempt like Spinoza's own to prevent the dogmatic encroachment of philosophical teachings onto the teachings of the Bible: Spinoza chooses as its spokesman one Judah Alfakhar, an obscure near-contemporary and critic of Maimonides'.⁵⁶ Yet Spinoza does not side with the skeptics. According to the argument of Chapter 15, they too overstep their bounds and must be made to toe the line.

Like Chapter 7's refutation of Maimonides, Spinoza's refutation of the skeptics resembles a mini-Chapter. He first reduces their claim to two premises; he then invalidates each premise "in order"; finally, he reflects on his having "exploded" both theological skepticism and theological dogmatism (15.1.8-21, 22-34, 35-41). The skeptics' premises are products of their inept reaction to the Maimonidean claim that we must protect reason against the encroachments of revelation by interpreting the biblical teachings metaphorically rather than literally whenever these are inconsistent with reason. The skeptics counter that, to protect revelation in turn against the encroachments of reason, we may interpret metaphorically if and only if we find biblical teachings that are inconsistent with one another. The skeptics' premises are as follows. First, every expressly stated biblical teaching is *ipso facto* true. Second, while no expressly stated teachings are directly inconsistent with one another, inconsistencies sometimes appear between an expressly stated and an implicitly stated teaching, and these may be removed by interpreting the implicitly stated teaching metaphorically (15.1.8, 19). Spinoza invalidates the first premise by showing expressly stated teachings that are inconsistent with reason—e.g., that God is jealous, that God occupies a place (15.1.22-27). He invalidates the second premise by pointing out not only that we cannot always decide which of two inconsistent teachings is being stated implicitly rather than expressly—e.g., whether God never changes his mind (I Sam. 15:29) or whether he sometimes does (Jer. 18:8, 10)—but also that inconsistencies are hardly removed by merely imposing metaphorical interpretations onto biblical passages that

⁵⁶ See Jacob Adler, "Letters of Judah Alfakhar and David Kimchi," *Studia Spinozana* 12 (1996): 141-67.

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may not warrant them, as is the case, Spinoza recalls, with the many mutually inconsistent passages he has cited in Chapters 2, 9 and 10 (15.1.28-34). In sum, given that revelation and reason fail to fit together smoothly whether we side with the skeptics or whether with the dogmatists, Spinoza advises adhering to the separation between philosophy and theology which he has already worked out.

The Chapter's concluding justification of religious belief conforms to the stipulated separation by not straying into either the dogmatists' or the skeptics' camp. Spinoza adopts a neutral position, a fence-sitting that lets him serve as beacon to both. His words are readily, though differently, grasped by either. On the one hand, he warns the dogmatists and reassures the skeptics that no philosophical argument for religious belief is "mathematically" sound, i.e., deductively airtight (15.1.45, 56-58). On the other hand, he counters the skeptics and assuages the dogmatists with the theological insufficiency of blind faith, inasmuch as otherwise we risk embracing revelation "foolishly" and "without judgment" (15.1.42). That the middle ground Spinoza stakes out between philosophy and theology is not simply a no-man's land, moreover, is indicated by his repeated appeal to the need for "judgment" on the part of dogmatists and skeptics alike (15.1.44, 56). Although or because either side's theological defense of revelation has collapsed under his withering attack, he supplies a third, safer if less ambitious alternative. Practically speaking, he argues, it is both necessary and possible for dogmatists and skeptics to share the prophets' sincere conviction that biblical revelation is meant to foster the believer's unswerving devotion to justice and charity. It is possible, since two of the three ingredients of the prophets' moral certainty as shown in Chapter 2—their public appeal to signs certifying their moral authority (in accord with the restrictions of Dt. 13 and 18) and their private penchant for equity and goodness—are able to persuade us nowadays just as convincingly via the biblical text as those same two ingredients together with the third—the prophets' rhetorically compelling imagery—were able to persuade their original audiences. And it is necessary since, in the wake of Spinoza's dismantling of both dogmatism and skepticism, no further theological support for biblical revelation has been left standing. Even so, inasmuch as Spinoza has concluded his theological Chapters by showing that belief in revelation is defensible by means of sound practical judgment rather than by means of strict philosophical argument, he has by the same token shown that it is irrefutable by means of strict philosophical argument.

Spinoza's Ongoing Need for Theology

Briefly summarized, the *Treatise's* first fifteen Chapters have sought to separate philosophy from theology and show as a result that theology does not stand in the way of anyone's freedom of philosophizing (16.1.1). Let us now see how far these Chapters let us answer our original questions about Spinoza's scattered self-references in the *Treatise*, which first prompted us to look at its argument as a whole.

One question was why Spinoza makes it a point of personal honor to solicit (albeit anonymously) the moral approval of his philosopher reader. We may now discern a larger moral motive behind that solicitation. By systematically purging philosophy

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from the reader's understanding of the Bible in particular, Spinoza can reconstruct the Bible's strictly moral teaching in terms of tenets that are neutral to sectarian differences and therefore immune to sectarian disputes. In that way, he rehabilitates biblical religion to serve as a civil religion. Still, he has not yet spelled out why, given the sectarian conflicts the Bible has long occasioned, the reader should continue to bow to its moral teaching at all. Facing this side of the question would require him to engage in further reflections about society as such. Such is the task to which Spinoza devotes the *Treatise's* last five Chapters.

A second question was why Spinoza himself remains aloof from both Judaism and Christianity. The *Treatise* approaches this why-question as a how-question. Its argument takes us Chapter by Chapter through fifteen steps—from biblical prophecy as the unphilosophical basis of Judaism and Christianity alike, to the separating of philosophy and theology as mutually exclusive alternatives. With theology now divorced from philosophy, direct cooperation between them can no longer be taken for granted. Philosophers henceforth are knowers only and not believers. Theologians are believers only and not knowers. Accordingly, Spinoza recognizes no strictly philosophical reason for any apostate or excommunicant (like himself) to return to, say, Judaism, and no strictly theological reason for anyone guided by the freedom of philosophizing (like himself) to convert to, say, Christianity. Choosing either religion—or, by implication, neither—is rather a matter of practical judgment. Again, Spinoza's larger theologico-political considerations here concern society as such, to which he turns in Chapters 16-20.

The *Treatise's* half-answers so far to both aforementioned questions point to the third question with which we began: Why does political society still need biblical religion? Because the *Treatise* goes on to treat this question in five Chapter-sized steps, we shall try to follow these as we have followed the previous fifteen, by way of an appropriate "aphorism" drawn from each Chapter.

Step 16: The Law of Nature as the Law of the Jungle

...it is certain that nature, considered absolutely, has the highest right to everything it can do; that is, the right of nature extends as far as its power extends. For the power of nature is the very power of God, who has the highest right to everything. But since the universal power of the whole of nature is nothing besides the power of all individuals together, hence it follows that each individual has the highest right to everything it can do, or that the right of each extends as far as its determinate power extends. And since the highest law of nature is that each thing endeavor, as much as is in it, to persevere in its state—and do so by taking no account of another but only of itself—hence it follows that each individual has the highest right to this, that is (as I have said), to exist and operate just as it has been determined to naturally. [16.2.3]

To judge by its title, Chapter 16 discusses the foundations of political society in

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three stages: each individual's natural right, each individual's civil right, and the right of the "highest"⁵⁷ or ruling powers (cf. 16.2.1-6.20, 7.1-15, 8.1-24). The Chapter as a whole is the first step of an orderly inquiry, culminating in Chapter 20, into how far freedom of thought and self-expression extends in the "best Republic" (16.1.2-3). The best republic turns out to be a democracy (16.6.2, 7-8, 15-18). Overall, Spinoza's argument favors what we today call a liberal democracy. Here—to paraphrase the title of Chapter 20—each is free to think what he likes and say what he thinks. At first glance, our present Chapter seems to stop far short of allowing that freedom, since the third stage of its discussion subsumes all "divine right," or organized religion, under the jurisdiction of the ruling powers. Nevertheless the details of the discussion point to the conclusion that if and only if organized religion remains under the thumb of the political can the freedom in question be safeguarded.

What is striking about the detailed discussion of Chapter 16 is that its three stages do not line up exactly in sequence. At what appears to be the transition from natural right (stage one) to civil right (stage two), Spinoza says that the foundations and right of the ruling powers (stage three) have already been adequately shown (16.6.20-7.1). The Chapter's discussion of natural right likewise upstages its discussion of civil right, by differentiating slaves and children from subjects (16.6.10-14, 7.4, 8-10). In short, the discussions of natural right, civil right and the right of the ruling powers overlap. While the Chapter gives the initial impression that it will first derive civil right from natural right and afterward derive the right of the ruling powers from these, the very terms of the discussion—"highest" instead of ruling powers, for example—depend on an offstage analysis of political life and human nature designed to fit the above-board argument. The situation resembles Chapter 1, where what looked like a simple gathering of textual evidence proved to rest on a prior analysis of the biblical text in terms of its *sententiae* ("tenets" or "sentences"). Here too Spinoza takes his bearings by a distinctive term that has been implicit in the discussion of political matters ever since the Preface: *imperium* ("imperium" or, occasionally, "empire").

In Roman law, an *imperium* is originally a military commander's administrative authority over conquered territory. Subsequently it refers to any magistrate's jurisdiction for exercising judicial and executive powers.⁵⁸ Spinoza stretches the term to cover not just all of political life but nature as well. Spinoza's "imperium" straddles the natural and the political. On the one hand, he speaks of human beings apart from political society as living under the "imperium of nature" (16.2.6, 8, 3.3). On the other hand, he identifies the right to rule exclusively with those who hold the "highest imperium" (16.6.1), and defines an enemy as an outsider who does not recognize the "imperium of the city" (16.7.9; cf. 16.3.3, 6.3). How the term guides the Chapter's entire

⁵⁷ For the term "highest power [*summa potestas*]," see P.3.3, 5.14, 7.1; 2.9.12; 7.11.41; 16.5.17, 6.3-6, 9, 12, 14, 20, 7.2, 4, 8, 10, 12, 15, 8.10, 15; 17.1.1, 6-7, 9-10, 2.2; 18.4.4, 6; 19.1.1-2, 6, 21, 2.6-7, 9-10, 16-17, 19-20, 22, 26, 33, 3.2, 17; 20.2.2, 3.3, 4.6, 8-10, 13, 5.1, 6.1, 3, 7.2, 6, 7, 8.2; A.2, 33.

⁵⁸ See *Oxford Classical Dictionary*, 2nd ed., s.v. "imperium." For its Machiavellian adaptation, to which Spinoza seems indebted, see Leo Paul S. de Alvarez, *The Machiavellian Enterprise: A Commentary on The Prince* (DeKalb, Ill.: Northern Illinois University Press, 1999), 9, and the translator's note on *l'imperio* in Niccolò Machiavelli, *The Prince*, trans. de Alvarez (Prospect Heights, Ill.: Waveland Press, 1989), 6f. n. 4. Cf. Spinoza, *Political Treatise* II 17 (*Opera*, III, 282).

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three-stage discussion may be seen in the light of our sixteenth “aphorism.”

It treats natural right as an individual matter. Nature is said to consist entirely of individuals, human and non-human. Each strives to persist in its current “state,” i.e., status or condition, even or especially at the expense of other individuals. Everything it does to that effect is done with the highest right, since it cannot help acting on the basis of laws of nature which “determine” or govern its power, and the power of nature is the same as the power of God, whose might is his right. An individual’s natural right thus coincides with its power. Fish, for example, have the right to the water they swim in, and bigger fish to the smaller ones they eat (16.2.2). Spinoza says nothing about fish fleeing from predators or staying in schools. Natural right belongs neither to the victimized nor to the cooperative as such, but only to those that acquire or possess, by whatever means the laws of nature or God put at each’s disposal. Naturally, each behaves as a possessive individualist. Under the imperium of nature, possession is *ipso facto* right for as long as it lasts.

A political imperium too is a possession. It is something that designated individuals either “hold,” “retain,” “defend,” “preserve,” etc., or else “lose” (16.6.4-6, 7.5, 8, 8.20).⁵⁹ Spinoza’s theoretical account of how an imperium is acquired—its “foundations”—is geared to his practical advice about how it is maintained. The link between theory and practice here is secured by two premises, supplied parenthetically (see 16.5.4). The first is that each individual is driven by appetites that pull him in various directions. The second is that no one wants to appear mindless or unreasonable. According to Spinoza’s first premise, each of us is a bundle of potentially conflicting drives; according to the second, each of us is nevertheless driven to avoid the embarrassment of seeming to be in conflict with ourselves. When combined, the two premises suggest that we are each open to new advice about how to satisfy our various drives, so long as it looks useful in our own eyes and consistent in the eyes of others (cf. 16.5.6-7). Such is the plane on which Spinoza conducts his political argument proper. He offers a plan (*ratio*) for restraining and redirecting natural drives as necessary for our individual self-preservation and self-esteem. An “imperium” is the political centerpiece of Spinoza’s plan. Putting our lives and reputations in the hands of possessive political authorities, he argues, both satisfies and enhances our natural egoism.

Humans acquire a political imperium not by leaving behind the natural one in which they originally find themselves but by building a more or less sustainable shelter within it. If the laws of nature are Spinoza’s gloss on the law of the jungle, political imperiums remain exposed to that jungle. They originate in the fact that individuals find living simply by the law of the jungle self-defeating. The remedy is a collective agreement to behave rationally and not just instinctively. Each must promise, among other things, to suppress any appetite that would result in harming another, to avoid doing to another what he dislikes done to himself, and to defend another’s right as his own. Still, under nature’s imperium anyone is free to break his promises, however rational, or promise insincerely if he believes it suits his self-interest.

⁵⁹ Cf. P.1.16, 18; 2.9.33; 3.5.1, 66; 5.1.4, 14, 17n, 2.1, 12-15, 3.2-5, 5.1, 5.1.4, 2.15; 6.1.78; 8.1.67; 17.3.1, 4.8, 13, 5.1, 23, 24, 12.52, 57; 18.3.5; 19.1.1-2, 6, 10, 17, 2.10, 17, 20, 29, 31, 3.4, 8; 20.6.1, 7.6; with 3.5.63, 5.1.22-23, 17.12.27.

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Disincentives must therefore be added. Besides embarrassment at the irrationality of going against prior promises, which however is not enough to deter most individuals from doing so anyway, there is fear of overwhelming retaliation. Each's promises must accordingly be part of a larger compact to transfer all his right or power to society. As the exclusive holder of everyone's artificially combined right or power, i.e., of the political imperium, society can then impose laws and enforce them by punishments. Two further disincentives minimize the risk that the resulting highest powers—the self-interested individuals in charge of the imperium proper—will impose laws that are “absurd,” or inconsistent with the terms of the compact (cf. 16.6.4-9). For one thing, absurd laws go against the highest powers' own self-interest, by dissolving public trust in the usefulness of the imperium and motivating subjects to revert to their merely instinctive behavior. Also, the likelihood of arriving at absurd laws lessens to the degree that the imperium is democratic, since large assemblies rarely agree on any law without at least some rational discussion.

Maintaining an imperium thus involves maintaining an equilibrium. On the one hand, the highest powers must impose laws that are consistent with the original compact. On the other hand, they must consult or appease the diverse and fluctuating self-interest of subjects, to keep their ongoing consent. Chapter 16 underwrites the autonomy of the highest powers, even as it places the burden entirely on them both to act in line with the compact and to anticipate the likely effects of their actions on the behavior of subjects, who continue to be governed as well by the laws of nature. To steady their hold on the imperium, then, the highest powers must either know something of the laws of nature or else welcome the advice of those who do. Spinoza obligingly offers user-friendly definitions of “civil right” and “wrong,” “justice” and “injustice,” “allies,” “enemies” and “traitors” for those in charge of imperiums (16.7.1-15). Each definition presupposes the identity of right and power, which our sixteenth “aphorism” has already shown to follow from the highest law of nature (16.2.3). The definitions serve as administrative guidelines for preserving an imperium's foundations. They are owners'-manual entries as it were, scientifically designed for the routine care and maintenance of imperiums.

Accordingly, Chapter 16's concluding discussion of the right of the highest powers aims at facilitating cooperation between imperiums and philosophers or scientists, by denying organized religion any prerogatives that might in principle interfere with that cooperation (16.8.1-24). The discussion takes the form of replies to three objections against Spinoza's gloss on Paul's statement that there is no sin before there is law, cited earlier to prooftext the account of the natural imperium (16.2.6-8): doesn't ascribing to individuals a natural right to harm others contradict the biblical command to love one's neighbor (16.8.1-9)? aren't political imperiums bound by biblical commands (16.8.10-16)? and shouldn't we disobey any imperium that disregards those commands (16.8.17-24)? Spinoza, it is objected, effectively forces organized religion to conform to the *modus operandi* of imperiums. The gist of his replies is that the natural imperium is prior to organized religion (since individuals know biblical commands only from divine revelation), that political imperiums in turn are part of the natural imperium (and so are free to defer to organized religion if and

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only if it seems useful), and that the need to obey political imperiums does not stand or fall with the conscience of the individual believer (i.e., with “each’s different judgment and emotion,” 16.8.18). The practical upshot—that the highest powers are not to be distracted by organized religion from looking to philosophers or scientists rather than theologians for advice—we may speak of as step one of Spinoza’s political argument proper.

Step 17: The Biblical Theocracy as a Flawed Imperium

....why was this nation more stubborn than the others? Was it by nature? Surely nature does not create nations, but individuals, who are not divided into nations except on the basis of the diversity of language, laws, and received mores; and only on the basis of these last two—laws and mores—can it arise that each nation has a special mental cast, a special condition, and, finally, special prejudices. [17.12.29]

Step two of Spinoza’s political argument consists of second-thoughts on step one. Chapter 16’s account of the right of the highest powers, he warns, is “merely theoretical” (17.1.1). In practice, an imperium’s sway is both less and more than what has been shown so far (17.1.2-2.1). It is less, since no individual ever abandons all his right or power to the extent of leaving nothing to his own discretion. Yet it is more, since an imperium has the power—or right—not just to enforce outward compliance, but above all to promote its subjects’ inner loyalty as well as their virtue and steadfastness in complying (17.3.1). An imperium’s power, in other words, is more motivational or inspirational than brachial or by force of arms. Chapter 17’s frequent citations of Tacitus and Curtius to that effect recall the Preface’s thumbnail sketch of the co-opting of religious beliefs by ancient imperiums (17.3.6-15, 8.9-11, 12.14, 33, with P.1.7-3.1). The bulk of Chapter 17 shows that it is both necessary and proper for imperiums to adapt religion’s motivating power to their needs, by analyzing the rise and fall of the biblical theocracy (17.2.2, 4.1-13.6). Spinoza disclaims any intent to draw from his analysis a formula for a perfectly viable or indestructible imperium. He limits himself to indicating instead that, as much as it needs the cooperation of its subjects’ religious passions, an imperium must avoid making laws or policy decisions on the basis of those passions. The biblical theocracy is Spinoza’s textbook case of an imperium slowly collapsing from a built-in defect that resulted from its founder’s having succumbed to the passions he was supposed to be regulating and restraining.

In Spinoza’s analysis, the biblical theocracy was an aborted democracy. The Dodecateuch documents its founding through three successive phases—a disguised democracy (17.4.1-5.1), an enhanced monarchy (17.5.2-8), and a mixed regime with checks and balances inadvisedly tilted to favor one tribe over the others (17.5.9-12.26)—before tracing its gradual decline (17.12.27-59). Its three-phase founding amounted to a double refounding by Moses himself, its original founder (cf. 8.1.44-47). In his two refoundings, Moses tried to correct the shortcoming of his first founding, in a manner reminiscent or illustrative of the Preface’s account of the infinite revisability

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of political advice.

Phase one proved defective from Moses' apparently overestimating his free hand as founder.⁶⁰ After the Israelites had been liberated from the Egyptians' imperium and consequently reacquired their natural right as individuals to establish an imperium to suit themselves, and meanwhile attributed their liberation and ongoing preservation to God alone, Moses advised transferring all their right to God rather than to any mortal. God then became the exclusive holder of their imperium, i.e., held the title of king, and civil law and divine command became one and the same. Since the transfer of right was simply the Israelites' unanimously agreeing to do whatever God commanded them via a prophetic revelation, the right to consult God, to receive and interpret laws and to hold offices remained equal for each. While officially theocratic, phase one was in fact democratic. Phase two came about abruptly, however, during the Israelites' first and only direct audience with God, which so terrified them that they transferred their right to consult God and interpret his edicts to Moses, who practically speaking then held the imperium as both absolute monarch and only legitimate prophet. This combination strengthened Moses' hold on his imperium as compared to other monarchs' on theirs, inasmuch as the divine decrees were now revealed entirely and exclusively to him, not handed down extemporaneously through other prophets. Still, phase two was not to last beyond Moses' lifetime, since he exercised his right to choose a successor by splitting, subdividing and interlocking the imperium's religious and civil powers among a plurality of successors (including Aaronide priests and their fellow Levites on the one hand, and the princes of the remaining tribes on the other, 17.5.13-6.5). Phase three—unlike phase one a “very solid” imperium (17.12.15)—owed much, though in the end not enough, to Moses' legislative attention to the potentially destructive passions that needed to be checked and balanced against one another.

Moses' success was considerable (17.7.1ff.). On the one hand, he hemmed in any tyrannical urge on the part of tribal princes or other leaders by downsizing them into administrators (17.8.1-12.5, with 5.15, 22-23). For example, princes had to defer to the Levites as the sole interpreters of the laws by which they were bound, including a law preventing them from employing mercenary soldiers; tribes were allied by a common religious bond, so that any prince who broke with the alliance became a religious enemy; new prophets who met constitutional tests could overrule princes and priests alike; a prince's right to rule depended on seniority and virtue, not on birth or nobility; finally, because each tribe's military were citizen soldiers with concurrent peacetime interests, no prince could keep their allegiance simply as warlord. On the other hand, Moses forestalled unrest on the part of citizens by underwriting and safeguarding their private freedom (17.12.6-25). For example, religious worship reinforced patriotic self-esteem by reiterating regularly that the Israelites' imperium was God's kingdom and they themselves were God's children, the other nations being

⁶⁰ As for whether Moses' initial overestimate was deliberate, consider 5.2 15 Cf. also Machiavelli, *Discourses on Livy* 1.9 (trans. Mansfield and Tarcov, 28-30).

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God's enemies who deserved hatred⁶¹ for following religiously unacceptable mores and rituals; the jubilee law made homestead property inalienable; charity toward impoverished fellow citizens was a prerequisite for God's beneficence toward the society as a whole; the religiously mandated levelling of social classes prevented civil disturbances, albeit at the price of increasing the hatred that isolated the Israelites from other nations; finally, because the prescribed education inculcated a lifelong reverence for prohibitive laws governing, e.g., farming practices, festive celebrations and cultic worship, obeying them was seen as freedom rather than servitude. Nevertheless the Israelites intermittently, and ultimately, failed to abide by those laws.

As our seventeenth "aphorism" shows, Spinoza doubts the suggestion that the cause was their natural stubbornness as a nation (17.12.27-59). He does not dismiss this suggestion out of hand, but determines its grain of truth by a twofold argument, philosophical and theological. Philosophically, he insists that stubbornness could not be any nation's natural trait, since nations as such are not natural, but—in keeping with the argument of Chapter 16—consist merely of individuals sharing the same language, laws and received mores. If so, the Israelites' stubbornness must have come from a defect in their laws and/or mores in particular. Theologically, then, he cites Jeremiah and especially Ezekiel, who says in so many words that God gave the Israelites defective laws that would end up destroying them, to let them know that he was God (Jer. 32:31, Ezek. 20:25). To pinpoint the putative defect of the Mosaic laws, Spinoza recalls Moses' undemocratically shifting authority over cultic matters from family elders to the Levites (Num. 8:17ff., Dt. 10:8)—a shift made in anger in the aftermath of the Israelites' idolatrous worship of the golden calf (Ex. 32) rather than on sober reflection. Following Moses' hasty restructuring, the laws were no longer seen as promoting the populace's self-interest—their honor, welfare and security—but as punishing their wrongdoings. Moses' moralizing proved demoralizing. The Levites' subsequent intrusiveness as tax-collectors, religious functionaries and moral censors aroused popular resentment and suspicion, to the point where the Israelites eventually abandoned the authorized ceremonies altogether, as Moses himself predicted in his farewell speech (Dt. 31:21, 27). Consolidating religious authority had already provoked rebellion during Moses' lifetime, first from a faction of nobles, whom Moses could not calm on his own but who were removed violently by a divine miracle, and then from the populace as a whole, who suspected that the miracle was an artifice of Moses' and refused to be calmed until a plague exhausted them both physically and morally (Num. 16-17). After Moses' death, the ongoing slackening of the religious bond brought generations of political instability and off-and-on foreign subjugation, until the Israelites tried to restabilize their imperium by transferring the right of kingship from God to human kings—whose inevitable rivalries with the Levites and priests, however, led to further destabilization. To circumvent the Levites, who continued to administer the cultic worship, the kings let other temples be dedicated to (other) gods; and to circumvent the priests, who retained the right to interpret the laws, they encouraged large numbers of prophets. But like the Levites *et al.*, the prophets held

⁶¹ Limiting himself to citing Ps. 139:21-22 (at 17.12.7) and ignoring, e.g., Dt. 23:7-8, Spinoza follows Mt. 5:43 (see 19.2.12).

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an imperium of their own within the larger imperium, as they endorsed new pretenders to the throne whenever convenient or necessary to defend the divine authority, and thereby set in motion a revolving door of tyrannical rulers, factional tensions and civil wars. To make a long story short, the biblical imperium's fatal flaw—of which the Israelites' so-called stubbornness was only the recurring symptom—was the angry moralism of its founder. Step two of Spinoza's political argument proper is that an imperium stands or falls by being able to keep such passions under control.

Step 18: From a Closed to an Open Society

...the form of such an imperium could perhaps only be useful for those who wanted to live to themselves alone, without outside commerce, and enclose themselves within their own limits and segregate themselves from the rest of the globe, and hardly for those for whom it is necessary to have commerce with others. [18.1.4]

Chapter 18's title advertises "some political dogmas" derived from the Israelites' "Republic and histories." The dogmas turn out to be four in number, although they are not listed right away and are not called by that name when they show up in due course (18.4.1-21). Spinoza introduces them simply as what "we see very clearly," following his seven-part answer to a question he raises at the outset of the Chapter, concerning whether the biblical imperium as conceived in the previous Chapter is to be imitated nowadays (18.1.1-3.9). Deriving Chapter 18's political dogmas from Chapter 17, it seems, requires a complex intervening step.

As for the dogmas themselves, Spinoza does not state them straightforwardly, in the manner of the theological dogmas of Chapter 14 (cf. 14.1.36-46). Rather, each carries some qualification. The first dogma is not just that clergy have no right to decree or transact public business, but that such a right would be "pernicious" for both religion and the republic (18.4.1). Likewise, the second dogma is that it is "dangerous" to defer to organized religion in theoretical matters and make laws favoring disputable opinions, since political authorities must then placate popular (i.e., religious) anger against anyone doubting those opinions, by coercing doubters into adhering to them (18.4.2-5). The third dogma—whose fuller discussion is postponed till Chapter 19—is that it is "necessary" to grant the highest powers the right to decide what is sacred and sacrilegious (18.4.6-7). The last dogma is that it is "fatal" for populates of imperiums who are unused to kings to choose kings and, in general, for any imperium's form to be changed even to remove a tyrant, as may be seen in particular from the recent examples of Cromwell's failure to replace the monarchy in England and the Count of Leicester's to replace the aristocracy in Holland, as well as from the ancient example of the populace of early Rome, who replaced their short line of tyrannical kings rather easily but ended up with a long line of *de facto* tyrants until kings were eventually restored under a new name (Caesars) (18.4.8-21). Spinoza's way of stating these dogmas calls attention to their being political not only in subject-matter but also in intent. In spelling out philosophically or scientifically what is

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“pernicious,” “dangerous,” “necessary” and “fatal” for imperiums, he speaks as an adviser to holders and would-be holders of imperiums. That his advice is meant above all for holders of democratic imperiums—i.e., citizens of democracies, whether actual or potential—is clear from the seven-part discussion preceding the dogmas themselves.

The discussion, Spinoza’s complex answer to the question of whether the biblical imperium is imitable, matches the wording of the Chapter’s title, in comprising four sub-answers based on the biblical “Republic” and three sub-answers based on the biblical “histories” (18.1.2-8, 1.10-3.9). The first two sub-answers are that full imitation of the biblical republic is out of the question, on theological and political grounds respectively. Theologically, reinstituting a theocracy would require a new compact between those transferring their right to God and God himself, who has since revealed to the Apostles that he no longer writes compacts in ink or on stone, as he did with the ancient Israelites, but only with his spirit on the human heart (II Cor. 3:3). Politically—as our eighteenth “aphorism” remarks—adopting the form or institutional structure of a theocracy might be useful for a closed society, separated from the rest of the world, but hardly for an open society, dependent on commerce or interchange with the world. A theocracy, in other words, is both theologically impossible for Christians and politically inappropriate for all but, say, ghettoized Jews. Yet Spinoza’s next two sub-answers allow that open societies may well imitate the biblical theocracy in part, by institutionalizing the distinction between political and religious authority. For one thing, in giving Moses “the highest right to command,” the biblical theocracy placed religious authorities under his overall jurisdiction rather than vice versa. At the same time, it circumscribed those authorities by not letting them judge citizens or excommunicate anyone, but reserving these powers to the strictly political authorities. We note that if these last two features of the biblical theocracy—subordinating the religious to the political and denying political authority to purely religious decrees—are in some sense already part of Christian imperiums nowadays by virtue of being part of their biblical heritage, then political dogma number four, cautioning against changing an imperium’s form, may nevertheless allow for scaling back any undue political authority claimed by organized religion.

This last implication is confirmed by a glance at Spinoza’s three remaining sub-answers, concerning what is noteworthy in the biblical histories. They show, respectively, the political incompetence of the three non-democratic ruling elements—priests, prophets, kings—when each was allowed to assert itself without restraint. The priests’ takeover of political rule during the restored theocracy under the Persian imperium led to the introduction of religious sectarianism, as they embellished their usurpation of the princes’ right and curried favor with a corrupt plebs by prooftexting the biblical law at their own discretion in noticeably unprecedented and controversial ways (18.1.10-15, with 17.13.3-4). Prophets, as merely private individuals, were ineffective and often intolerable in exercising their freedom to warn and chastise the populace—in contrast to the kings, who did so effectively (18.2.1-2). The kings, however, multiplied and exacerbated the civil wars that continued to weaken the imperium until its collapse, whereas such wars had occurred only once during the

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populace's rule and ended with the victorious Israelites' paying full reparations to the defeated Judeans; also, during the kings' rule the laws were corrupted, false as well as true prophets abounded, and the kings themselves remained morally incorrigible, whereas during the populace's rule the people were able to turn to God and restore the laws when necessary to save themselves from political calamities (18.3.1-9). By the Bible's own standards, then, all non-democratic candidates for running the imperium proved unsuccessful, both singly and in combination. Construing biblical politics in terms of an imperium has turned out to favor democracy over all other imperiums, just as construing biblical theology in terms of a collection of tenets (or sentences) turned out to favor devotion to justice and charity over all other elements of religious worship. On Spinoza's reading, the more attention we pay to the biblical imperium then and there, the less deference we owe it here and now. If—recalling “aphorism” eighteen—the most useful imperium today is one designed to govern an open rather than a closed society and if biblical religion is more useful the more it can serve such an imperium, then whatever else might be meant by, say, a Christian imperium (or a Jewish one, as adumbrated at 3.5.67), it follows that, to be useful, modern Christianity (or Judaism) is well-advised to reorient itself with a view to motivating and inspiring within its circumscribed limits any liberalizing and democratizing tendencies already present in that imperium. Such has been the *Treatise's* own political undercurrent all along. Now that this current has come closer to the surface in the rationale for Chapter 18's political dogmas, we may speak of it as step three of Spinoza's political argument proper.

Step 19: Christianity's Mission Within Political Imperiums

...Religion among the Hebrews received the force of right solely from the right of the imperium; and this being destroyed, it could no longer be considered as the bidding of a special imperium, but the catholic lesson of reason. Of reason, I say. For the Catholic Religion had not yet become known by revelation. [19.1.16]

According to its title, the argument of Chapter 19 is twofold—political and theological. Politically, Spinoza argues, the highest powers have complete jurisdiction over organized religion (19.1.1-22). Theologically, then, all “outward worship” must be adapted to “the peace of the Republic” as determined by the highest powers (19.2.1-33). Spinoza acknowledges that this joint theologico-political argument is apt to stir controversy, by seasoning it with polemical remarks and supplementing it with an explanation of why the controversy is peculiar to Christian imperiums (19.1.1-2, 2.16-17, 22-23, 29-30, 3.1-17). The argument is meant to stand on its own, however, given the results of the previous Chapters. Its political component is a reworking of those results so as to support its theological component “easily” (19.1.22; cf. 19.1.6). In turn, its theological component further refines the *Treatise's* recipe for serving God and the imperium at the same time.

For the argument's political component, Spinoza retrieves premises sketched in

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four earlier Chapters (19.1.3, 4-6, 7-8, 9) and summons biblical precedents glossed on three prior occasions (19.1.10, 11, 12-15). Chapter 7's distinction between inward and outward worship suggests that religious piety has an inalienable source within each individual's mind, separate from any overt behavior and so not subject to political regulation. Chapter 14's identification of piety with devotion to justice and charity implies that God's kingdom is wherever just and charitable behavior has the backing of a political imperium. Chapter 16's description of humans' natural state as one where reason and appetite have equal right means that prior to founding an imperium there is neither sin nor divine judgment, hence no place for justice or charity. Finally, Chapter 4's denial that God may be understood as a lawgiver warrants the inference that just and charitable behavior is possible only through the decrees of those who hold imperiums. Yet there is more. While the foregoing premises are enough to certify the highest powers' jurisdiction over religious behavior for a religion "revealed by the natural light," i.e., based simply on the idea of God as it occurs naturally in the human mind, Spinoza adds that the same conclusion follows for a religion "revealed . . . by the prophetic light," i.e., based on the biblical text (19.1.10). Thus, God's rule over the Israelites began only with the compact by which each Israelite agreed to yield his natural right and obey whatever God revealed prophetically, and which resulted in practice in a democratic imperium until the Israelites abruptly transferred their right to Moses and God henceforth ruled exclusively through him (cf. 17.4.1-5.9). Before that compact, Moses could not so much as enforce, e.g., sabbath observance, whereas he could afterward (cf. 5.3.1-9). Nor did divine rule last beyond the collapse of the Israelites' imperium and the transfer of their right to the king of Babylonia, as Jeremiah 29:7 attests in warning them for their own safety to submit peacefully to the laws of their new imperium even though these differed from the laws they were used to (cf. 16.8.21).

While summarizing and reformulating the political component of his argument to clarify it further (19.1.18-20), Spinoza connects the persistence of the Israelites' religion after the collapse of their imperium with the foregoing distinction between natural and prophetic religion. The distinction, as it turns out, permeates Chapter 19 (cf. 19.1.5, 10, 16-20, 2.33, 3.17). In the words of our nineteenth "aphorism," the Israelites' religion persisted as "the catholic lesson of reason." Spinoza leaves us to infer exactly what this means. What persisted was not, he hastens to add, the same as the Catholic religion, which had not yet been revealed. Nor, of course, was it the same as the biblical law as such. We seem invited to probe more. Chapter 19 speaks repeatedly, with reference to Chapter 16, of "the lessons of true reason," and synonymously, with reference to Chapter 4, of "the divine lessons" (19.1.9-10, 20). For its part, Chapter 16 makes no mention of "lessons," but connects what our Chapter calls "the dictate of reason" specifically with the pre-political decision to yield one's natural right and submit to a political imperium—which our Chapter connects as well with the Israelites' imperium in particular (16.2.7, 4.2, 5.1, 4, 6.6, 8.11; 19.1.10). Yet Chapter 4 identifies "the opinions and lessons [*sic*] universal to the human race, that is, . . . opinions that are common and true," with the teaching of Christ rather than with "the opinions of the Jews" (4.4.26). These scattered references,

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we gather, add up to Spinoza's accounting for the persistence of the Israelites' religion insofar as it embodied true and universal opinions that are found to some extent in all religions, though they are spelled out more fully in Christianity. Despite Spinoza's initial disclaimer that the opinions in question are not identical with the Christian religion per se, or perhaps in agreement with the disclaimer, they do bear some resemblance to the seven dogmas of the "catholic or universal faith" of Chapter 14.⁶² We are led to expect Spinoza to pick up this loose thread in the theological component of the argument of Chapter 19, especially given the latter's pointed references to Christ and Christian imperiums—the *Treatise's* last (19.2.12-15, 20, 25-26, 28-30).

For the theological component of the argument, Spinoza supplies three sub-arguments—philosophical, political, religious (cf. 19.2.33)—for why organized religion remains entirely subject to the decrees of the highest powers, who are therefore its proper interpreters and defenders. The sub-arguments, when freed of their polemical trappings, are as follows. Philosophically, obeying God's command to venerate our neighbor by behaving justly and charitably toward him requires first and foremost our obedience to the imperium's decrees, lest we weaken the rule of law, the sole support for just and charitable behavior (19.2.1-20). Politically, then, denying the highest powers' authority over preachers *et al.* is tantamount to weakening the rule of law by introducing competing moral authorities (19.2.21-26). Finally, organized religion is enhanced when the justice and charitableness it teaches are backed by the rule of law (19.2.27-32). Yet each sub-argument also appeals to at least one theological precedent. The first sub-argument in particular contains the *Treatise's* last references to Christ. Here the political occasion for Christ's teaching is compared to the political occasion for the aforementioned teaching of Jeremiah's. Both Christ and Jeremiah lived in imperiums undergoing collapse. They differed in that whereas Jeremiah foresaw the need for the Israelites to extend their pious devotion to justice and charitableness to their new rulers, the Babylonians, Christ foresaw the need to extend it further, to "absolutely everyone," since the Israelites were going to be dispersed to imperiums throughout the globe (19.2.12). Even so, Christ did not differ from Jeremiah in teaching that religion is to be accommodated to the imperium.⁶³ Their common teaching, taken by itself, is another way of describing what Chapter 19 means by "the catholic lesson of reason," what Chapter 16 means by reason's role in the founding of imperiums, and what Chapter 4 means by "the mind of Christ" in contradistinction to the universal and common moral opinions that show up in Christ's teaching as spelled out in the New Testament (cf. 4.4.24-30). Generally, if piety means the

⁶² Unlike "the lessons of true reason," a.k.a. "the divine lessons," the seven dogmas are exempt from being true, though not from being conducive to "true virtue," i.e., to equity and goodness (cf. 14.1.4, 34-36, 42, with 14.1.1, 6, 9, 22, 32, 39, 48-49, 21).

⁶³ Ch. 19's remaining references to Christ face the immediate objection that Christ's own disciples are an exception to the rule that religion has always been accommodated to the imperium, since they preached simply as "private men" (19.2.13-15). Spinoza's answer is that the disciples were exempt from the general rule because Christ gave them the power to contend with "impure Spirits," along with or including the advice not to fear those who kill bodies (Mt. 10:1, 28). He adds that Christ's miraculous empowerment was given only to the disciples, not to anyone else, and does not otherwise override Solomon's advice to fear both God and the king (Prov. 24:21).

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pre-political or infra-political disposition to justice and charitableness, then we may speak with Spinoza of natural piety as the rational insight into lawfulness and its desirability for human life, of which there is some glimmer in each individual prior to and independent of his entry into an imperium. When that natural piety is combined with a closed imperium like the biblical one, it results in what Spinoza has called “defending the jurisdictions of the Republic” (5.1.14), whether Israelite or Babylonian, say, but in any case the jurisdictions of a particular imperium to which exclusive loyalty is owed by anyone who enters it. When, on the other hand, the same natural piety is combined with an imperium whose inhabitants are subject to global dispersion as in Christ’s time and beyond—or, for that matter, with a cosmopolitan commercial imperium like Spinoza’s Amsterdam—it results in the “dogmas of the universal faith” (14.1.38), i.e., in moral lessons that are compatible in principle with any imperium.

Chapter 19 concludes by showing why controversy over religion’s subservience to the imperium is a distinctively Christian phenomenon (19.3.1-5). Because Christianity’s first teachers were simply “private men” who took no account of political life, and because its teaching did not change when it was later introduced into the (Roman) imperium and taught to the emperors, churchmen themselves rather than the emperors became its acknowledged teachers, interpreters, pastors, and quasi-representatives of God. Churchmen then sought to preserve their prerogatives against possible encroachment by Christian kings, by prohibiting marriage for the church’s chief functionaries and highest theologian, and by multiplying its dogmas and mixing them with philosophical theories so that only those with the leisure to dabble in philosophy could interpret its teaching authoritatively. The eventual result was the ongoing conflict between church and imperium, to which Spinoza has referred polemically during the theological component of his argument (19.2.24-26, 28). Comparing the church with the biblical priesthood further exposes the factional politics that are, accordingly, part and parcel of post-biblical theology. The claim that priests *et al.* were politically autonomous never arose in the biblical imperium—neither before there were kings, when tribal princes had the right to decree concerning sacred matters and priests were not the sole religious teachers, nor afterward, when kings organized and supervised the priests and Levites, as Spinoza documents during the remainder of the Chapter (19.3.6-17). From an intra-Christian point of view, one might say that Spinoza means to awaken Christians to proper public-spiritedness by recalling their Old Testament heritage. But while he writes for Christians, he is in the end not of them.⁶⁴ His deeper premise is the natural piety, or commonplace appreciation of the need for law-abidingness among human beings, on which the argument of Chapter 19 as such rests. We may speak of this non-sectarian premise as step four of Spinoza’s political argument proper.

Step 20: Home Free

...Take, for example, the city of Amsterdam, which, to its considerable

⁶⁴ Cf. Leo Strauss, *Persecution and the Art of Writing* (Glencoe, Ill.: Free Press, 1952), 190ff

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enhancement and with the admiration of all nations, experiences the fruits of [religious and political] freedom. For in this most flourishing Republic and most outstanding city, all human beings of whatever nation and sect live with the greatest harmony; and for them to trust their goods to someone, they care to know only whether he is rich or poor and whether he is used to acting in good faith or by a ruse. Otherwise Religion or sect does not move them at all, since it does not help at all in winning or losing a cause before a judge; and no sect is so altogether hateful whose devotees (so long as they harm no one and pay each what is owed and live honorably) are not protected by the public authority and enforcement of the magistrates...[20.6.4]

Chapter 20 comes with a handy six-point summary (20.7.1-6). Spinoza draws from it the *Treatise's* overall practical conclusion (20.7.7). The conclusion is that allowing freedom of thought and self-expression does not prevent the highest powers from guaranteeing their republic's safety or stability as much as can be done. There are two provisos, however. First, organized religion's be-all and end-all is charitable and equitable behavior. Second, the highest powers' jurisdiction over both organized religion and everything else is confined to regulating outward behavior. These provisos are compressed restatements of the *Treatise's* overall theological and political proposals, taken separately. Spinoza's underlying premise here, as throughout, is that political society remains in need of biblical religion or some reasonable facsimile of it. Since we have been wondering all along how this premise fits with the way of life exemplified in the description of his native Amsterdam—our last “aphorism”—I limit my final comments to indicating how Chapter 20 faces, or perhaps fails to face, this question.

The Chapter's built-in summary relies on the distinction between natural and prophetic religion bequeathed by Chapter 19, though for some reason Spinoza makes it hard to tell. The summary lists six tenets⁶⁵ more or less in the order in which he has argued for them:

1. No one can keep human beings from thinking and saying what they like (20.1.1-3.2).
2. Freedom of thought and self-expression does not undermine political society, so long as individuals remain outwardly law-abiding no matter what they think or say (20.3.3-4.11).
3. Such freedom is compatible with political stability, and any disadvantages resulting from it are easily controlled (20.4.12-19a).
4. Such freedom does not harm religious piety (cf. 20.4.13b-15).
5. Censoring philosophy or science is useless (20.4.19b-5.11).

⁶⁵ Cf. 20.4.7, 13b, with 8.2.

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6. The foregoing proposals are not only feasible, but needed for political stability (20.6.1-4).

The exception is tenet four—that freedom of thought and self-expression does not harm religious piety. It is not argued for as such. Spinoza only appeals to it in passing during his argument for tenet three—that freedom of thought and self-expression is compatible with political stability, etc. Attentive readers must wonder how it makes the list. It does not seem to do much more than sit between tenets three and five—that censoring philosophy or science is useless. If so, its function must be largely rhetorical (cf. 1.1.3). Consider how tenet four enters Chapter 20 inside Spinoza’s larger assertion, in support of tenet three, that good citizenship like religious piety is knowable only through charitable behavior:

For if ... we paid attention as well to the fact that each's faith toward the Republic, like that toward God, can be recognized solely by works—namely, on the basis of his charity toward his neighbor—we will never be able to doubt that the best republic grants the same freedom of philosophizing to each which we have shown faith grants to each. [20.4.15, underlining added]

Rather than arguing for tenet four here, Spinoza merely inserts an abbreviated version of Chapter 18’s description of religious piety, in keeping with his promise there to speak of it “more broadly” later on (18.4.5). Accordingly, he also retains the practical warning attached to that description and addressed to holders of Christian (and Jewish) imperiums, to the effect that bowing to organized religion in theoretical matters is dangerous, etc. (see 18.4.2-5). As in Chapter 18, so in the present context, Spinoza’s premise that religious piety shows up only as charitable behavior serves to hallow his conclusion that “the freedom of philosophizing” must be permitted, or more exactly, as tenet five puts it, that laws censoring philosophy or science are useless. In short, tenet four’s function is to smooth the reader’s transition from tenet three to tenet five, by encouraging the view that the freedom common to public outspokenness and private philosophizing, when both are exercised in an outwardly law-abiding manner, has the imprimatur of religious piety. Meanwhile, saying nothing in support of tenet four frees Spinoza from having to spell out whether the piety to which Chapter 20 ultimately appeals is the natural piety of Chapter 19 rather than the prophetic piety of, say, Chapter 1. It is either or both, though since these may not be at bottom not quite compatible, the implications of his silence here are theologically unsettling, as our final look at Spinoza’s Amsterdam will confirm.

Consider, in this regard, that Spinoza may well have failed to offer an argument for tenet four—that freedom of thought and self-expression does not harm religious piety—because it is, in the last analysis, indemonstrable. Again, have we not been perplexed from the outset over how religious piety is supposed to function in Spinoza’s Amsterdam, the *Treatise*’s philosophical home-base and practical test-case? If the seven dogmas of Chapter 14’s catholic or universal faith are meant to fit smoothly

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with Amsterdam's marketplace, we began by asking, aren't they subject as well to the ruses of the marketplace and, to that extent, ineffective? According to what we have since discovered in the *Treatise's* political argument, Spinoza's answer seems to be that religion's usefulness is no more and no less than its rhetorical effectiveness in promoting law-abidingness. Naturally, this differs from imperium to imperium. What promotes law-abidingness in pre-exilic Jerusalem, for example, may not in the Babylon about which Jeremiah speaks or in the Israelites' second imperium around the time of Christ, let alone in modern Amsterdam. The *Treatise* as a whole alerts its philosophical reader to the appropriate theologico-political consequences. Theologically, Amsterdam's religious heritage includes the globally applicable moral maxims of the Gospels, although these are all too often forgotten during quasi-philosophical controversies and sectarian religious persecutions. On the other hand, Amsterdam as a free republic would seem to allow and even require a public airing of issues affecting the stability of its imperium—including the place of Christianity itself, especially given the latter's shaky political track-record. Philosophically, then, the *Treatise* aims to remove the politically destabilizing traces of old-fashioned (Platonic-Aristotelian) philosophy from post-biblical theology, by constructing what amounts to a twenty-step alternative suitable for use in open societies like Amsterdam. Even so, there is a leftover difficulty having to do with the fact that the *Treatise* resembles a construction project rather than an observation site, however encumbered the latter would have to be by its admittedly cluttered theologico-political setting. That is, Spinoza prefers to assure us that his philosophical constructs are safe and useful as they stand, rather than that he has fully faced the discernible consequences of neglecting the salutary lessons of the biblical heritage which his constructs would have us overlook or obscure—e.g., that, contrary to the example of the morally obtuse business executive which we gave earlier, it is not permissible to arrive at rhetorically defensible ends by morally unconscionable means (cf. II Sam. 11, I Ki. 21, with Ex. 23:2). The *Treatise's* failure to supply an argument that would bring into view this larger tension between "the freedom of philosophizing" and biblical piety, or in other words the rhetorical priority Chapter 20 attaches to blurring rather than clarifying this tension, is the final step of Spinoza's political argument.

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INDEXES

As I mention in my Translator's Remarks, Spinoza's Latin is characterized by paragraphs and sentences of often considerable length. To ease the burden on the English reader and to facilitate references to Spinoza's text, I have numbered each Latin paragraph, as well as each Latin sentence within that paragraph. I have then treated each numbered sentence as a separate paragraph and punctuated Spinoza's Latin half-stops as English full-stops. As a result, the third Latin sentence of the second paragraph of Spinoza's first chapter, say, is 1.2.3. An "A" instead of a Chapter-number in the citation refers to Spinoza's Annotations; a "P" to the Preface; a "T" to a Chapter-title; and "TP" to the Title Page. To help the reader's eye while scanning the Indexes, I have placed all Chapter-numbers (and the aforementioned letters) in boldface.

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¹ In citing Spinoza's implicit sources, my footnotes make no claim to completeness. A further list of sources and parallel passages may be found, e.g., in Leo Strauss, *Spinoza's Critique of Religion* (New York: Schocken Books, 1965; reprint; Chicago: University of Chicago Press, 1996), 311-27.

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abandon; *see* defect.

abide; *see* suffer.

accommodate; *see* advantage.

accordance; *see* in accordance with.

account (*relatio*) **9.1.6**. on account of, on that account (*propter, propterea*) *passim*.
See also reason.

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ad hominem; *see* human being.

adduce (*ducere*) **7.5.38**; **11.1.5**; **18.4.21**; **19.2.20**, 3.4; trace (v.) **17.3.9**; **19.2.20**; *see also* guide; trace.

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chance; *see case.*

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church (*ecclesia*) **P.4.1**, 3(3x), 5.1; **1.15.1**; **5.3.10**, 4.15; **7.11.27**; **11.1.59**; **13.1.4**, 5; **14.1.16**, 38; **19.1.1**, 2.16, 20(2x), 3.4(3x), 6; **20.5.6**. churchman (*ecclesiasticus*) **19.2.26**, 30, 3.4(3x).

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clarity; *see* transparency. clear (*clarus*) **2.8.2**, 3; **5.3.11**, 4.7; **6.1.43(2x)**; **7.3.2**, 5(2x), 5.17, 19, 11.7, 21, 21, 23; **8.1.7**; **9.1.71**, 77; **10.2.29**, 40, 41, 42, 51; **11.1.39**; **13.1.27**; **14.1.19**; **15.1.8**, 33; **A.8**; renowned **17.23.57**; **18.4.3**; clear and distinct (*clarus et distinctus*) **2.3.1**; **4.3.2**; **5.4.9**; **A.6**. clearly (*clare*) **P.1.8**, 2.1, 4.8, 5.1, 6(2x); **1.5.4**, 6.2, 9.14, 13.1 14.2, 16.1, 20.15; **2.3.2**, 9, 4.1, 6.4, 7.8, 9, 10, 11, 8.1, 9.11, 33, 10.3; **3.5.2(2x)**, 15, 18, 28, 36, 40, 42, 52; **4.1.6**, 2.4, 4.9, 28, 40, 41, 47(2x); **5.1.5**, 16, 3.9, 4.4, 8; **6.1.1(2x)**, 13, 17, 26, 27, 37, 44(2x), 53, 55, 89, 94, 95; **7.1.4**, 11, 3.5(2x), 7, 12, 5.1, 5, 13, 11.10, 13, 21; **8.1.16**, 22, 42, 66; **9.1.47**, 58; **10.1.23**, 26, 2.10, 23, 29, 52, 56, 60; **11.1.20**, 48, 50, 53, 54; **12.2.3**; **13.1.11**, 37; **14.1.13**, 31(2x); **15.1.9**; **16.5.3**, 6.15, 7.12, 14; **17.1.3**, 8, 2.1, 3.1, 5.3, 12.6, 12, 26; **18.4.1**; **19.1.18**, 2.7; **20.6.4**; clearly and distinctly (*clare et distincte*) **P.5.6**; **1.4.1**; **5.4.1**, 8; **6.1.25**, 27(2x); **A.6**. become clear (*liquescere*) **6.1.101**. splendid (*praeclarus*) **3.5.68**.

codex (*codex*) **6.1.80**; **7.5.31**; **9.T**, 1.66, 74, 100.

collectively; *see* gather.

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company; *see* society.

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confirm; *see* firm up.

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consent (n.) (*consensus*) **4.4.30**; **5.1.14**, 2.14(2x); **6.1.85**; **8.1.45**; **14.1.4**, 49(2x); **17.3.16**; **19.1.10**(2x).

consequence (*consequentia*) **4.4.19**; *see also* inference. by implication (*per consequens*) **6.1.48**; **15.1.8**, 21, 28, 29, 33. consequently (*consequenter*) **1.21.4**(2x); **3.5.11**; **4.2.2**, 4.7; **5.2.8**, 3.9; **6.1.8**, 9, 21, 22, 34, 43, 54; **7.11.24**, 26, 27, 47; **8.1.2**; **10.2.42**; **12.1.8**, 47; **13.1.31**; **14.1.48**, 49(2x); **15.1.10**, 40; **16.3.3**, 6.4, 14, 20, 8.5; **17.1.2**, 7, 4.7, 8, 5.4, 6, 23; **19.1.2**, 10, 11, 2.7, 32; **20.2.2**, 4.6, 6.1, 3; **A.6**, 21, 38.

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subsist **19.2.1.** be consistent (*constare*) **7.11.40; 9.1.18; 12.1.1.** inconsistency; *see* conflict.

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